

EXHIBIT A

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8 UNITED STATES DISTRICT COURT
9 NORTHERN DISTRICT OF CALIFORNIA
10 SAN FRANCISCO DIVISION

11 IN RE: CATHODE RAY TUBE (CRT)
12 ANTITRUST LITIGATION

13 This Document Relates to:
14 ALL INDIRECT PURCHASER ACTIONS

Case No. 07-5944 SC
MDL No. 1917
JAMS Reference No. 1100054618

REPORT, RECOMMENDATIONS AND
TENTATIVE RULINGS REGARDING
DEFENDANTS' JOINT MOTION TO
DISMISS THE SECOND
CONSOLIDATED AMENDED
COMPLAINT OF THE INDIRECT
PURCHASER PLAINTIFFS

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1 The indirect purchaser plaintiffs have filed a Second Consolidated Amended
2 Complaint ("SCAC"); document 716. Pursuant to stipulated procedures (document 725),
3 defendants have filed a joint motion to dismiss certain portions of that complaint; document
4 733. The motion is opposed by the indirect purchaser plaintiffs; document 736. And
5 defendants have filed a joint reply in support of their motion; document 742.

6 The motion was argued and submitted for decision on August 24, 2010. The
7 stipulated date for the issuance of this ruling on the motion is September 30, 2010.

8 The Special Master has reviewed the SCAC, the moving and opposing papers, the
9 authorities cited, and the oral arguments of counsel. This ruling constitutes the report,
10 recommendations and tentative rulings of the Special Master on defendants' motion.

11 The three general types of claims which defendants are attacking in their motion are
12 (1) alleged violations of certain states' antitrust statutes; (2) alleged violations of certain
13 states' consumer protection and unfair competition statutes; and (3) alleged violations of
14 certain states' common law of unjust enrichment and disgorgement of profits.

15 The decisions on this motion require analysis of the law of each specific state to
16 which defendants are objecting.

17 ARKANSAS STATUTES AND COMMON LAW

18 Plaintiffs attempt to allege a claim based upon Arkansas' Deceptive Trade Practices
19 Act ("ADTPA"), which prohibits (1) certain specified and enumerated "deceptive and
20 unconscionable" trade practices, and (2) other unspecified "unconscionable, false, or
21 deceptive act of practice in business, commerce, or trade." Ark. Code Ann. §4-88-107 (a).
22 Price fixing is not one of the specified trade practices. And no Arkansas court has applied
23 this statute to an alleged antitrust violation. Further, Arkansas courts have held that to be
24 "unconscionable" under that language of the ADTPA plaintiffs must establish that
25 defendants exploited a "gross inequality of bargaining power." State v. R&A Inv., 985 S.W.
26 2d 299 (Ark. 1999). No such showing is made in the SCAC. Further, the decisions of two
27 Northern District courts have dismissed the claims of indirect purchasers under the ADTPA;
28 In re: Graphics Processing Units Antitrust Litigation, 527 F. Supp. 2d 1011 (N.D. Cal 2007);
and LCD I, 586 F. Supp. 2d 1109 (N.D. Cal 2008). There is simply no Arkansas statute or

1 decision, or decision of this forum, holding that the ADTPA applies to alleged antitrust
2 violations. The Special Master therefore recommends that this claim be dismissed with
3 prejudice.

4 Plaintiffs also attempt to allege an unjust enrichment claim under the common law of
5 Arkansas. As discussed above, the ADTPA does not apply to alleged price fixing.
6 Therefore, plaintiffs cannot seek recovery for such alleged conduct through the device of
7 common law equitable pleading. E.g., Cole vs. Rivers, 861 S.W. 2d 551 (Ark. 1993). See
8 also pages 7-12 below. Since the legislature of the state has declined to provide such a cause
9 of action, a federal court in another state should not attempt to create an equitable remedy in
10 Arkansas. The Special Master recommends that the common law claim be dismissed with
11 prejudice.

12 Because of the above conclusions that Arkansas law does not grant plaintiffs a cause
13 of action for price fixing, the parties' arguments about whether the allegations of the SCAC
14 in Arkansas relate back to a prior filing date are moot.

15 MONTANA STATUTES AND COMMON LAW

16 Plaintiffs purport to allege a claim under Montana's antitrust statute; Mont. Code §30-
17 14-201 et seq. However, Montana has not legislatively or judicially "repealed" the bar on
18 indirect purchaser actions stated by the U.S. Supreme Court in Illinois Brick Co. vs. Illinois,
19 431 U.S. 720, (1977). And the private standing provision of Montana's antitrust statute is
20 substantially identical to the private standing provision of the federal Clayton Act. In LCD
21 II, Judge Ilston of this district dismissed with prejudice the claims of indirect purchasers
22 under the Montana statute, explaining that the Montana and federal standing provisions are
23 "almost identically worded." 599 F. Supp. 2d 1179 at 1186-87 (N.D. Cal 2009). The
24 Montana Supreme Court has also concluded that courts interpreting the Montana statute must
25 give "due weight to the federal courts' interpretation." Smith vs. Video Lottery Consultants,
26 858 P. 2d 11 (Mont. 1993). The Ninth Circuit has agreed; Healow vs. Anesthesia Partners,
27 Inc., 92 F. 3rd 1192 (9th Cir. 1996). Therefore, the U.S. Supreme Court decision in Illinois
28 Brick must control. Plaintiffs' cited cases to the contrary are subordinate to the decisions of
the Montana Supreme Court and the Ninth Circuit.

1 Plaintiffs' allegations under Montana's common law of equitable remedies do not
2 state a valid cause of action, because the alleged right of action by indirect purchasers has
3 been barred by the statutes and court decisions of Montana.

4 The Special Master recommends that the alleged claims under Montana law be
5 dismissed with prejudice. Because of the absence of a substantive right of action, the Special
6 Master need not address the issues of whether there is a class representative for Montana or
7 whether the allegations of the SCAC can relate back.

8 STANDING IN STATES WHERE NO RESIDENT PLAINTIFF IS NAMED

9 Defendants move to dismiss the claims under the laws of Illinois, Maine and Montana
10 because there is no named plaintiff in those states who is claiming injury under the laws of
11 that state. Because the Special Master recommends above that Montana substantive law does
12 not provide a cause of action, this issue need not be discussed as to that state. Defendants
13 contend that standing is a prerequisite to suit, because it involves the constitutional power of
14 a court to hear a case. The importance of addressing standing as a first judicial step in
15 litigation has long been recognized. E.g. Nelson v. King County, 895 F. 2d 1248 (9th Cir
16 1990)

17 Plaintiffs, however, contend that it is not necessary that there be a named plaintiff
18 resident in each state. Plaintiffs argue that when plaintiffs' allege an injury caused by certain
19 defendants, and purport to represent a class of similarly situated persons under the analogous
20 laws of other states, a separate representative for each state is not necessary. In essence,
21 plaintiffs' contention is that class certification may enable someone who is resident of one
22 state to represent a class in another state where no plaintiff is named. The result would be
23 that class certification can at least initially subsume the issue of standing.

24 Plaintiffs rely primarily upon Ortiz v. Fiberboard Corporation, 527 U.S. 815, (1999)
25 for that proposition. They argue that Ortiz supports their request that the class certification
26 issues be addressed prior to addressing the standing issues. Ortiz did address the class issues
27 before the standing issues in the case before it. But in that case the central issues of the case
28 were class issues; page 831. And, the Supreme Court in Ortiz did not set down any rule of
procedure that class issues must be resolved before standing issues. Further, in Easter v.

1 American West, 381 F. 3rd 948 (9th Cir. 2004), the Ninth Circuit specifically stated that Ortiz
2 “does not require courts to consider class certification before addressing standing issues;” p.
3 962. The court said that the district court in Easter had “correctly addressed the issue of
4 standing before it addressed the issue of class certification;” id. And it cited with approval a
5 statement from the Ortiz decision that “a court must be sure of its own jurisdiction before
6 going to the merits.”

7 The overlap of standing issues and class issues has led the parties to cite numerous
8 decisions from around the country which have discussed and have variously resolved the
9 issues in view of the dispute in a particular case. The Special Master need not discuss all of
10 those cases, except to note that three cases in this district have dismissed actions for lack of
11 standing in indirect purchasers’ suits in states where there was not a named plaintiff; and
12 they did so before considering class certification. In re Ditropan XL Antitrust Litigation, 529
13 F. Supp. 2d 1098 (N.D. Cal. 2007); In re Apple and AT&T Antitrust Litigation, 596 F. Supp.
14 2d 1288 and (N.D. Cal. 2008); G.P.U. Antitrust Litigation, 529 F. Supp. 2d 1011 (N.D. Cal.
15 2007).

16 Notwithstanding whatever split of authority there might be nationally, the law in this
17 circuit appears consistent, and the Special Master believes that the pleadings in this case
18 point in the same direction. That is, unlike the allegations as to all of the other states, there is
19 no named plaintiff in Illinois (paragraph 254) or in Maine (paragraph 257). There is no one
20 in those states who alleges purchase of any product affected by the alleged conspiracy or
21 harm by any violation of the state’s laws. While plaintiffs allege a national class regarding
22 federal law (paragraph 235), they also assert 26 separate state “indirect purchaser state
23 classes.” These are specifically identified in paragraphs 236 and 237.

24 The Special Master concludes that where, as here, separate state classes are sought,
25 there must be a plaintiff in each state who alleges purchase of the alleged price-fixed product
26 and harm from a violation of that state’s laws. Such allegations are absent from the SCAC as
27 to Illinois and Maine.

28 The Special Master therefore recommends that the claims based upon the laws of
Illinois, Maine, and Montana be dismissed.

UNJUST ENRICHMENT CLAIMS

As to the following states, defendants contend that plaintiffs may not recover disgorgement of profits or restitution under the common law of unjust enrichment in those states. The defense argument is that the common law of unjust enrichment cannot apply where the states' antitrust or consumer protection statutes preclude such relief. See Karahalios v. National Federation, 489 U.S. 527 (1989).

Defendants made a similar argument in their first motion to dismiss. The Special Master mentioned that argument in his report; document 597, at page 32. The Special Master noted the general principle argued by defendants, but required defendants to explain the application of those principles in the states whose allegations defendants sought to dismiss. Defendants have now done that in the present motion, and plaintiffs have stated their oppositions to each.

Before addressing the law of each state, a comment should be made on one of plaintiffs' overarching arguments. Plaintiffs cite a U.S. Supreme Court case for their argument that a statute does not abrogate a common law right unless it expressly says so; United States v. Texas, 507 U.S. 529 (1993). And in an extended footnote, plaintiffs cite to numerous other cases from various states. However, the Special Master believes that the citations go too far. A legislature is not required to say "we hereby abrogate or supersede state common law." What is required is that it be evident that the purpose of the legislation is contrary to what was the common law, United States v. Texas, at p. 534; Astoria Federal Savings & Loan v. Solimino, 501 U.S. 104 (1991). Nor is the mere fact that a common law right existed prior to the statutory law a per se basis for finding no abrogation. We must therefore examine the statutes of each of the states at issue to determine whether it is evident that a purpose of the legislation is contrary to the common law of unjust enrichment.

1. HAWAII

Under Hawaii's antitrust statutes, the remedies available are damages, injunctive relief, costs, and attorneys' fees. Haw. Rev. Stat. § 480-13(c). There is no statutory provision for any other form of relief for violation of the antitrust laws. Hawaii's Supreme

1 Court has held, albeit not in an antitrust context, that statutes providing for a specific remedy
2 supersede other claims, including common law claims, seeking some other form of relief.
3 Herring v. Gulik, 5 Haw. 57 (1884). And the Hawaii antitrust statute provides an adequate
4 legal remedy for violations of the antitrust laws. The statutory objective was to create a self
5 contained body of law, with its own expressly stated remedies. The Special Master therefore
6 recommends that the unjust enrichment claims under Hawaii's common law be dismissed
7 with prejudice.

8 2. MASSACHUSETTS

9 Plaintiffs' SCAC renews their unjust enrichment claim under Massachusetts law.
10 Defendants' motion argues that Massachusetts adheres to the Illinois Brick ban on indirect
11 purchaser suits. See, O'Connell v. Microsoft, 2001-2 Trade Cases (CCH) paragraph 73419,
12 13 Mass. L. Reporter. 435 (Mass. Super. 201). And defendants cite Massachusetts law that
13 where a statute bars a plaintiff's right of action, the plaintiff cannot seek the same damages
14 through an equitable claim such as unjust enrichment; E.g. Havarty v. Commissioner, 792
15 N.E. 2d 989 (Mass. 2003).

16 In response, plaintiffs cite authorities that an action for price fixing might be filed
17 under the state's consumer protection statute. However, that does not establish a right to sue,
18 as plaintiffs attempt here, under the Massachusetts common law of unjust enrichment.
19 Plaintiffs' allegations under Massachusetts' consumer protection statute are discussed on
20 page 12 below.

21 The Special Master therefore recommends that the unjust enrichment and
22 disgorgement of profits allegations made under the common law of Massachusetts (SCAC
23 paragraph 288) be dismissed with prejudice;

24 3. MINNESOTA

25 The only remedies available under the antitrust statutes of Minnesota are damages,
26 injunctive relief, costs, and attorneys' fees. Minn. Stat. §§ 325 D. 57-58. The statute does
27 not set forth any equitable remedy of restitution. The courts of Minnesota adhere to the
28 principle that courts should avoid reading additional remedies into statutes that have expressly

1 enumerated the types of relief available. Becker v. Mayo Foundation, 737 N.W. 2d 200
2 (Minn. 2007). And the Minnesota court quoted with approval the following statement from
3 Transamerica Mortgage v. Lewis, 444 U.S. 11 (1979): “It is an elemental canon of statutory
4 construction that where a statute expressly provides a particular remedy or remedies, a court
5 must be chary of reading others into it”. Becker v. Mayo, at p. 207. The Special Master
6 concludes that Minnesota’s specific antitrust remedies preclude a common law remedy of
7 unjust enrichment and disgorgement of profits.

8 4. MISSISSIPPI

9 Mississippi’s antitrust statute limits relief to actual damages, plus a \$500 penalty.
10 Miss. Code Ann. § 75-21-9. Equitable relief is expressly limited by statute to suits brought
11 by the state’s attorney general. Miss. Code. Ann. § 75-21-1 (i). That statutory pattern of
12 relief excludes private recovery under the common law of unjust enrichment. The courts of
13 Mississippi have limited relief for statutory violations to the remedies enumerated in the
14 statute. See Delmas v. Pascagoula State Railway, 103 Miss. 235 (1912). The Special Master
15 therefore recommends that the claims under the common law of Mississippi be dismissed.

16 5. NEBRASKA

17 Nebraska’s antitrust statute also expressly limits relief to actual damages, and states
18 that injunctive relief is available only in actions by the attorney general. Neb. Rev. Stat. Ann.
19 § 59-821 and § 59-813. In addition, Nebraska’s consumer protection statute limits relief to
20 actual damages, plus costs and attorneys’ fees. Neb. Rev. Stat. Ann. 59-1609. And the
21 courts of Nebraska interpret its antitrust laws in accordance with federal law. Kanne v. Visa
22 U.S.A., 272 Neb. 489 (2006). Those statutes permit no form of equitable relief, and such
23 relief would be contrary to the limitations on damages defined by the statutes. The Special
24 Master therefore recommends that the common law claims under the law of Nebraska be
25 dismissed.

26 6. NEVADA

27 The Nevada statute expressly limits recovery to treble damages, attorneys’ fees, and
28 costs. Nev. Rev. Stat. Ann. § 598A. 210 (2). And Nevada courts refrain from reading

1 additional remedies into statutes that expressly enumerate the available relief. Builders
2 Association v. City of Reno, 776 P. 2d 1234 (Nev. 1989); and Stockmeier v. Nevada DOC,
3 183 P. 3rd 133 (Nev. 2008). As stated, the statute under which plaintiffs sue here limits
4 recovery to treble damages, attorneys' fees, and costs. Therefore the Special Master
5 recommends that the claims under Nevada's common law be dismissed.

6 7. NEW YORK

7 New York's consumer protection statute expressly limits its relief to damages,
8 injunctive relief, and attorneys' fees. N.Y. Gen. Bus. Law § 349 (h). No common law or
9 restitution remedies are provided in the statute. And under New York law, the remedies
10 enumerated in a statute are presumed to be exclusive. Broughton v. Dona, 101 A.D. 2d 897
11 (N. Y. App. Div. 1984). Plaintiffs' cited authority to the contrary is Cox v. Microsoft, 778
12 N.Y.S. 2d 147 (N.Y. 2004). However, New York courts have declined to follow that holding
13 where indirect purchasers make an unjust enrichment claim for alleged price fixing. Sperry
14 v. Crompton, 26 A.D. 3rd 488 (N.Y.A.D. 2006); affd. 8 N.Y. 3rd 204, (N.Y. 2007); State of
15 New York v. Daicel Chemical Industries, 42 A.D. 3rd 301(N.Y.A.D. 2007); and Samiento v.
16 World Yacht, Inc., 10 NY 3rd 70 (2008). The Special Master therefore recommends that
17 plaintiffs' common law claims under New York law be dismissed with prejudice.

18 8. NORTH CAROLINA

19 The only remedies provided under North Carolina's antitrust and consumer protection
20 statutes are damages and attorneys' fees. N.C. Gen. Stat. §§ 75-16, 75-16.1. The North
21 Carolina courts have held that the remedies set forth in those statutes are exclusive. See,
22 Lawson v. Bennett, 81 S.E. 2d 162 (N.C. 1954).

23 The Special Master therefore recommends that plaintiffs' claims under the common
24 law of unjust enrichment be dismissed.

25 9. TENNESSEE

26 Tennessee's antitrust statute limits recoveries to "the full consideration or sum paid
27 by the person for any goods, wares, merchandise, or articles, the sale of which is controlled
28 by [an unlawful] combination or trust". Tenn. Code Ann. § 47-25-106. Tennessee law

1 provides that statutorily created remedies are presumed to be exclusive. Guy v. Mutual of
2 Omaha, 79 S.W. 3rd 528 (Tenn. 2002); and Turner v. Harris, 198 Tenn. 654 (1955).
3 Plaintiffs' attempts to distinguish those two cases are not availing. The issue in each was
4 whether the legislature had sufficiently expressed its intent, either explicitly or by the
5 substance of the legislation. Here Tennessee's antitrust statute clearly limits recovery to the
6 consideration paid by the plaintiff. That remedy does not allow for an additional common
7 law remedy of unjust enrichment or disgorgement of profits. The Special Master
8 recommends that the common law allegations under Tennessee law be dismissed.

9 10. VERMONT

10 Vermont's antitrust statute limits recoverable relief to damages, recovery of the
11 consideration paid, and reasonable attorneys' fees. Vt. Stat. Ann. Title 9 § 2465. As in other
12 state statutes, injunctive relief is available only in cases brought by the state's attorney
13 general; id. at § 2458. The Vermont Supreme Court has stated that the legislature intended to
14 exclude preexisting remedies for antitrust violations that are not set forth in the statute.
15 Elkins v. Microsoft, 174 Vt. 328 (2002). Defendants' position is also supported by
16 additional decisions of the Vermont Supreme Court; Gallipo v. City of Rutland, 178 Vt. 244
17 (2005); and Town of Battleboro v. Wait, 44 Vt. 459 (1872). The Special Master therefore
18 recommends that plaintiffs' claims based on the common law of Vermont be dismissed.

19 11. WEST VIRGINIA

20 Under the antitrust statutes of West Virginia, private litigants can recover treble
21 damages, attorney fees, and costs. W. Va. Code § 47-18-9. And injunctive relief can be
22 awarded only in cases brought by the state's attorney general. Id. § 47-18-8. The case law
23 of West Virginia has also held that express statutory remedies preclude relief under other
24 remedies; Lynch v. Merchants' National Bank, 22 W. Va. 554 (1883). Plaintiffs have argued
25 that Lynch did not expressly deal with West Virginia's antitrust laws. But the remedies
26 granted by the legislature in the antitrust statutes occupy the scope of available remedies.
27 The legislature created a statutory right of action for antitrust violations, and it expressly set
28

1 forth the remedies for such violations, both private and by the attorney general. Those
2 remedies do not include equitable unjust enrichment or disgorgement of profits.

3 The Special Master therefore recommends that the claims brought under the common
4 law of the state of West Virginia be dismissed.

5 12. WISCONSIN

6 Under Wisconsin's antitrust statutes, the remedies available to private litigants are
7 damages, attorneys' fees, and costs. Wis. Stat. § 133.18. And Wisconsin's courts have held
8 that the remedies under this antitrust statute are exclusive; Nordel v. Kinney, 62 Wis. 2d 558
9 (1974); see page 562: "When a statute such as [Wisconsin's antitrust statute] creates a cause
10 of action and provides the remedy, the remedy is exclusive." Plaintiffs' attempts to
11 distinguish the Wisconsin authority is not persuasive. The Special Master therefore
12 recommends that the common law claims under the laws of Wisconsin be dismissed.

13 The Special Master has reviewed a recent decision by a District Court in
14 Pennsylvania, In re Chocolate Confectionary Antitrust Litigation, No 1:08-MDL-1935, and
15 recognizes that the decision materially differs from some of the above conclusions.
16 However, the Special Master respectfully believes that the above analyses are correct.

17 PROCEDURES UNDER THE MASSACHUSETTS CONSUMER PROTECTION 18 STATUTE

19 In the first motion to dismiss, plaintiffs' allegations under the Massachusetts'
20 consumer protection statute were dismissed without prejudice, because plaintiffs had not
21 shown compliance with the notice requirements of the statute. Leave to amend was granted,
22 if plaintiffs could truthfully plead that the statutory demand (1) was given as required, or (2)
23 was not necessary because a respondent did not maintain a place of business or keep assets
24 within the state. In the SCAC, plaintiffs allege that on May 7, 2010, three days prior to the
25 filing of the SCAC, and months after the original consolidated complaint, plaintiffs mailed to
26 each of the defendants a written demand under the Massachusetts' statute; Mass. G. L. c. 93
27 (A) § 9, giving notice and requesting that defendants make a reasonable classwide tender of
28 settlement.

1 At this stage in the pleadings, it is clear that plaintiffs did not send the written notice
2 prior to the filing of their first complaint in March 2009, and mailed their demand only three
3 days before the SCAC was filed. Plaintiffs have not complied with the 30 day pre-filing
4 notice requirement. And plaintiffs have made no attempt to show that the notice was not
5 necessary because a defendant had no office or assets within the state.

6 Plaintiffs argue that defendants had actual knowledge of the claims. That may well
7 be true. And plaintiffs argue that defendants will probably not make a responsive settlement
8 offer, which again is probably true. But neither of those probabilities constitutes compliance
9 with the requirements of the statute. While the statute may well have been designed for more
10 simple and bilateral cases, its requirements nevertheless apply to all cases. Plaintiffs are
11 required to comply with those requirements, even though they may perform no practical
12 function in this particular case. The requirements are there, and it is not for plaintiffs or this
13 federal court in another state to choose when the requirements might not be applicable.
14 Plaintiffs must plead that they have complied with the notice requirements, as a prerequisite
15 to suit; Kanamara v. Holyoke Mutual, 72 Mass. App. Ct. 396 (2008). Plaintiffs have not
16 done so and their allegations under Massachusetts' consumer protection statute must
17 therefore be dismissed.

18 Plaintiffs again ask that the dismissal be without prejudice, raising the prospect that
19 they will file another suit now that 30 days have run since the May 7, 2010 notice. While
20 certainly no procedural dismissal of this nature should bar the filing of a truly new suit, that
21 does not answer the question here. Plaintiffs' original complaint and SCAC did not comply
22 with the Massachusetts requirements. The allegations of both complaints have been, and are
23 recommended to be, dismissed. Would a future filing of the same claims constitute
24 compliance with the statute if they do nothing more than repeat the prior allegations? The
25 objectives of the Massachusetts' statute would not be accomplished if a plaintiff could fail to
26 comply, but could cure that failure by sending a late notice and then re-filing the same
27 complaint.
28

1 Since plaintiffs have now had two opportunities to comply and plead compliance, the
2 Special Master recommends that the allegations under the Massachusetts' consumer
3 protection statute should be dismissed with prejudice.

4 RELATION BACK

5 Finally, defendants' motion seeks a ruling that the allegations of the SCAC cannot
6 relate back to the claims previously alleged under the laws of Arkansas, the District of
7 Columbia, Illinois, Maine, and Montana. Those disputes are answered by certain of the
8 decisions above, and by comparing prior pleadings with the present SCAC. The prior
9 pleadings are the first Consolidated Amended Complaint in this action, and Figone v. L.G.
10 Electronics, U.S.D.C., N.D. of Ca., No. CV 07-6331, filed on December 13, 2007, a case
11 related to the present consolidated actions.

12 The Special Master has ruled above that the SCAC's allegations under the laws of
13 Arkansas, Illinois, Maine and Montana should be dismissed. Therefore, the issue of relation
14 back of those allegations is moot.

15 The SCAC now makes allegations on behalf of District of Columbia residents, albeit
16 with a different named class representative, under (1) the district's antitrust statute, 28 U.S.C.
17 § 4501, (2) the District of Columbia code 28-3901, (3) and the common law of unjust
18 enrichment. Both of the statutes were alleged in the Figone complaint, although they were
19 not alleged in the first Consolidated Amended Complaint.

20 The Special Master recommends that the SCAC regarding the District of Columbia
21 should relate back to the filing of the Figone complaint. F.R.C.P. No. 15(c). The two
22 alleged statutes are the same in both Figone and the SCAC; the newly named plaintiff class
23 representative is not a material change, since the class is the same and only the named
24 representative is different. The same alleged conduct is involved in both complaints. The
25 earlier Figone complaint gave defendants adequate notice of the claims, and the relation back
26 does not unfairly prejudice defendants. The addition of an unjust enrichment claim to the
27 previously alleged statutory claims is not such a material difference as to deny relation back,
28 although that claim may be subject to later attack by defendants on the grounds discussed
regarding other states on page 5 et seq above.

1 The Special Master therefore recommends that the allegations under the laws of the
2 District of Columbia should relate back to the filing of the Figone complaint.

3 SUMMARY OF RECOMMENDATIONS

4 For the reasons discussed above, the Special Master makes the above tentative
5 rulings, and recommends that the District Court:

- 6 1. Dismiss with prejudice the allegations of the SCAC under the statutes and common law
7 of the states of Arkansas, Illinois, Maine and Montana.
8 2. Dismiss with prejudice the unjust enrichment claims of the SCAC under the laws of
9 Hawaii, Massachusetts, Minnesota, Mississippi, Nebraska, Nevada, New York, North
10 Carolina, Tennessee, Vermont, West Virginia and Wisconsin.
11 3. Dismiss with prejudice the claims of the SCAC under the Massachusetts consumer
12 protection statute.
13 4. Allow relation back of the allegations of the SCAC regarding the District of Columbia.

14 Respectfully Submitted.

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18 Date: September 30, 2010

Charles A. Legge
Hon. Charles A. Legge, Ret.
Special Master

EXHIBIT B

1 COUNSEL LISTED ON SIGNATURE BLOCK

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8 UNITED STATES DISTRICT COURT

9 NORTHERN DISTRICT OF CALIFORNIA

10) No.: M-07-5944 SC

11 **In Re CATHODE RAY TUBE (CRT)**)

12 **ANTITRUST LITIGATION**) MDL NO. 1917

13)

14) **STIPULATION AND ~~PROPOSED~~**

15 **ORDER MODIFYING AND ADOPTING**

16 **SPECIAL MASTER'S REPORT,**

17 **RECOMMENDATIONS AND**

18 **TENTATIVE RULINGS REGARDING**

19 **DEFENDANTS' JOINT MOTION TO**

20 **DISMISS THE SECOND**

21 **CONSOLIDATED AMENDED**

22 **COMPLAINT OF THE INDIRECT**

23 **PURCHASER PLAINTIFFS**

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WHEREAS on September 30, 2010, Special Master Charles A. Legge issued and filed via ECF his Report, Recommendations And Tentative Rulings Regarding Defendants' Joint Motion To Dismiss The Second Consolidated Amended Complaint of the Indirect Purchaser Plaintiffs (Docket No. 768) (the "Report");

WHEREAS on October 7, 2010, Defendants filed a Motion to Adopt the Special Master's Report in full (Docket No. 780);

WHEREAS by letter dated October 8, 2010, the Indirect Purchaser Plaintiffs ("Plaintiffs") asked the Special Master to clarify certain recommendations and tentative rulings in the Report;

1 WHEREAS on October 15, 2010, the Court entered an Order extending the time
2 for the parties to file objections to the Special Master's Report, to allow time for the Special
3 Master to respond to Plaintiffs' request for clarification of the Report;

4 WHEREAS the parties have met and conferred, and, in consultation with the
5 Special Master, reached a resolution concerning further action on the Special Master's Report;

6 IT IS HEREBY STIPULATED AND AGREED, by and between counsel for the
undersigned defendants and plaintiffs, that:

7 1. The parties agree that the Special Master's Report shall be modified at
8 page 15, lines 6-7, to provide that the allegations of the Second Consolidated Amended
9 Complaint under the law of the states of Illinois and Maine shall be dismissed with prejudice,
10 provided that Plaintiffs may file a Third Consolidated Amended Complaint naming plaintiffs in
those states claiming injury under Illinois or Maine law, as applicable.

11 2. Except as so modified pursuant to Paragraph 1 above, the Special
12 Master's Report shall be adopted in full as the Order of this Court, and no party shall file
13 objections to or further motions to adopt or modify the Special Master's Report. Defendants'
14 Motion to Adopt the Special Master's Report is denied as moot.

15 3. By agreeing to this Stipulation and the adoption of the Special Master's
16 Report as modified, Defendants have not waived their rights to assert arguments with respect to
17 the relation back of any future claims filed by Plaintiffs under Illinois or Maine law, even
18 though the Special Master's Report denied those challenges as moot.

19 4. In addition, by agreeing to this Stipulation and the adoption of the
20 Special Master's Report as modified, no party has waived its appellate rights with respect to
21 any argument presented to the Special Master concerning the Second Consolidated Amended
Complaint.

22 5. Plaintiffs shall have 45 days from the entry of this Order to file a Third
23 Consolidated Amended Complaint. The only amendments allowed in the Third Consolidated
Amended Complaint shall be:

- 24 a. Amendments naming plaintiffs in Illinois or Maine claiming injury under
25 Illinois or Maine law;
26 b. Amendments adding or dropping named plaintiffs with respect to claims and
27 states already at issue (i.e., no new claims or states); and
28 c. Amendments to conform to the Special Master's Report and this Order.

1 IT IS SO STIPULATED.

2
3 Dated: October 25, 2010

By: /s/ Mario N. Alioto
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malioto@tatp.com
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*Interim Lead Counsel for the Indirect
Purchaser Plaintiffs*

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13 By: /s/ Michael W. Scarborough
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21 *Attorneys for Defendants*
Samsung SDI America, Inc.,
Samsung SDI Co., Ltd.,
Samsung SDI (Malaysia) Sdn. Bhd.,
Samsung SDI Mexico S.A. de C.V.,
Samsung SDI Brasil Ltda.,
Shenzhen Samsung SDI Co., Ltd., and
Tianjin Samsung SDI Co., Ltd.,
And On Behalf of All Other Defendants

22
23
24 Pursuant to General Order, § X-B, the filer attests that concurrence in the filing
25 of this document has been obtained from the above signatories.

Case 3:07-cv-05944-SC Document 796 Filed 10/25/10 Page 4 of 4
Case 3:08-cv-04063-SC Document 14 Filed 10/27/10 Page 4 of 4

1
2 **PURSUANT TO STIPULATION, IT IS SO ORDERED.**

3
4 DATED: October 26, 2010



Hon. Charles A. Legge
United States District Judge (Ret.)
Special Master

5
6
7
8
9 DATED: October 27, 2010



Hon. Samuel Conti
United States District Judge

EXHIBIT C

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA**

| | | |
|-------------------------------------|---|-------------------------------------|
| |) | No.: M-07-5944 SC |
| In Re CATHODE RAY TUBE (CRT) |) | |
| ANTITRUST LITIGATION |) | MDL NO. 1917 |
| |) | |
| |) | STIPULATION AND [PROPOSED] |
| This Document Relates to: |) | ORDER MODIFYING AND ADOPTING |
| |) | SPECIAL MASTER’S REPORT, |
| ALL INDIRECT PURCHASER |) | RECOMMENDATIONS AND |
| ACTIONS |) | TENTATIVE RULINGS REGARDING |
| |) | DEFENDANTS’ JOINT MOTION TO |
| |) | DISMISS THE SECOND |
| |) | CONSOLIDATED AMENDED |
| |) | COMPLAINT OF THE INDIRECT |
| |) | PURCHASER PLAINTIFFS |

WHEREAS on September 30, 2010, Special Master Charles A. Legge issued and filed via ECF his Report, Recommendations And Tentative Rulings Regarding Defendants' Joint Motion To Dismiss The Second Consolidated Amended Complaint of the Indirect Purchaser Plaintiffs (Docket No. 768) (the "Report");

WHEREAS on October 7, 2010, Defendants filed a Motion to Adopt the Special Master's Report in full (Docket No. 780);

WHEREAS by letter dated October 8, 2010, the Indirect Purchaser Plaintiffs (“Plaintiffs”) asked the Special Master to clarify certain recommendations and tentative rulings in the Report;

1 WHEREAS on October 15, 2010, the Court entered an Order extending the time
2 for the parties to file objections to the Special Master's Report, to allow time for the Special
3 Master to respond to Plaintiffs' request for clarification of the Report;

4 WHEREAS the parties have met and conferred, and, in consultation with the
5 Special Master, reached a resolution concerning further action on the Special Master's Report;

6 IT IS HEREBY STIPULATED AND AGREED, by and between counsel for the
undersigned defendants and plaintiffs, that:

7 1. The parties agree that the Special Master's Report shall be modified at
8 page 15, lines 6-7, to provide that the allegations of the Second Consolidated Amended
9 Complaint under the law of the states of Illinois and Maine shall be dismissed with prejudice,
10 provided that Plaintiffs may file a Third Consolidated Amended Complaint naming plaintiffs in
those states claiming injury under Illinois or Maine law, as applicable.

11 2. Except as so modified pursuant to Paragraph 1 above, the Special
12 Master's Report shall be adopted in full as the Order of this Court, and no party shall file
13 objections to or further motions to adopt or modify the Special Master's Report. Defendants'
14 Motion to Adopt the Special Master's Report is denied as moot.

15 3. By agreeing to this Stipulation and the adoption of the Special Master's
16 Report as modified, Defendants have not waived their rights to assert arguments with respect to
17 the relation back of any future claims filed by Plaintiffs under Illinois or Maine law, even
though the Special Master's Report denied those challenges as moot.

18 4. In addition, by agreeing to this Stipulation and the adoption of the
19 Special Master's Report as modified, no party has waived its appellate rights with respect to
20 any argument presented to the Special Master concerning the Second Consolidated Amended
21 Complaint.

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23 Consolidated Amended Complaint. The only amendments allowed in the Third Consolidated
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25 Illinois or Maine law;
26 b. Amendments adding or dropping named plaintiffs with respect to claims and
27 states already at issue (i.e., no new claims or states); and
28 c. Amendments to conform to the Special Master's Report and this Order.

1 **IT IS SO STIPULATED.**

2
3 Dated: October 25, 2010

By: /s/ Mario N. Alioto
MARIO N. ALIOTO, Bar No. 56433
malieto@tstp.com
LAUREN C. RUSSELL, Bar No. 241151
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21 ***Attorneys for Defendants
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Case 3:07-cv-05944-JST Document 796 Filed 10/25/10 Page 4 of 4

PURSUANT TO STIPULATION, IT IS SO ORDERED.

DATED: _____

Hon. Charles A. Legge
United States District Judge (Ret.)
Special Master

DATED: _____

Hon. Samuel Conti
United States District Judge

EXHIBIT D

COUNSEL LISTED ON SIGNATURE BLOCK

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

| | |
|---|---|
| <p>In Re CATHODE RAY TUBE (CRT) ANTITRUST LITIGATION</p> <hr/> <p>This Document Relates to:</p> <p>ALL INDIRECT PURCHASER ACTIONS</p> <hr/> | <p>) No.: M-07-5944 SC</p> <p>)</p> <p>) MDL NO. 1917</p> <p>)</p> <p>) STIPULATION AND [PROPOSED]</p> <p>) ORDER MODIFYING AND ADOPTING</p> <p>) SPECIAL MASTER'S REPORT,</p> <p>) RECOMMENDATIONS AND</p> <p>) TENTATIVE RULINGS REGARDING</p> <p>) DEFENDANTS' JOINT MOTION TO</p> <p>) DISMISS THE SECOND</p> <p>) CONSOLIDATED AMENDED</p> <p>) COMPLAINT OF THE INDIRECT</p> <p>) PURCHASER PLAINTIFFS</p> <p>)</p> <p>)</p> <p>)</p> |
|---|---|

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14 Motion to Adopt the Special Master's Report is denied as moot.

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16 Report as modified, Defendants have not waived their rights to assert arguments with respect to
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18 though the Special Master's Report denied those challenges as moot.

19 4. In addition, by agreeing to this Stipulation and the adoption of the
20 Special Master's Report as modified, no party has waived its appellate rights with respect to
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25 Illinois or Maine law;
26 b. Amendments adding or dropping named plaintiffs with respect to claims and
27 states already at issue (i.e., no new claims or states); and
28 c. Amendments to conform to the Special Master's Report and this Order.

1 IT IS SO STIPULATED.

2
3 Dated: October 25, 2010

By: /s/ Mario N. Alioto
MARIO N. ALIOTO, Bar No. 56433
malioto@tatp.com
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*Interim Lead Counsel for the Indirect
Purchaser Plaintiffs*

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21 *Attorneys for Defendants*
Samsung SDI America, Inc.,
Samsung SDI Co., Ltd.,
Samsung SDI (Malaysia) Sdn. Bhd.,
Samsung SDI Mexico S.A. de C.V.,
Samsung SDI Brasil Ltda.,
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And On Behalf of All Other Defendants

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Case 3:07-cv-05944-JST Document 796 Filed 10/25/10 Page 4 of 4
Case 3:07-cv-05944-JST Document 797 Filed 10/26/10 Page 4 of 4

PURSUANT TO STIPULATION, IT IS SO ORDERED.

DATED: October 26, 2010

Charles A. Legge

Hon. Charles A. Legge
United States District Judge (Ret.)
Special Master

DATED: _____

Hon. Samuel Conti
United States District Judge

EXHIBIT E

1 COUNSEL LISTED ON SIGNATURE BLOCK

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8 UNITED STATES DISTRICT COURT
9 NORTHERN DISTRICT OF CALIFORNIA

10) No.: M-07-5944 SC
11 **In Re CATHODE RAY TUBE (CRT)**)
12 **ANTITRUST LITIGATION**) MDL NO. 1917
13)
14) **STIPULATION AND [PROPOSED]**
15 **This Document Relates to:**) **ORDER MODIFYING AND ADOPTING**
16) **SPECIAL MASTER'S REPORT,**
17) **RECOMMENDATIONS AND**
18) **TENTATIVE RULINGS REGARDING**
19) **DEFENDANTS' JOINT MOTION TO**
20) **DISMISS THE SECOND**
21) **CONSOLIDATED AMENDED**
22) **COMPLAINT OF THE INDIRECT**
23) **PURCHASER PLAINTIFFS**
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26)
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3 Dated: October 25, 2010

By: /s/ Mario N. Alioto
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And On Behalf of All Other Defendants

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25 of this document has been obtained from the above signatories.

Case 3:07-cv-05944-JST Document 799 Filed 10/27/10 Page 4 of 4

PURSUANT TO STIPULATION, IT IS SO ORDERED.

DATED: October 26, 2010




Hon. Charles A. Legge
United States District Judge (Ret.)
Special Master

DATED: October 27, 2010



Hon. Samuel Conti
United States District Judge

EXHIBIT F




19 captures
1 Nov 11 - 24 Jan 16

<http://www.crtsettlement.com/>

Go

NOV 1 2011



If You Bought A Cathode Ray Tube Product, A Class Action Settlement May Affect You.

Si Ud. ha comprado un producto con tubos de rayos catódicos,
Ud. puede verse afectado por un acuerdo de demanda colectiva.

Legal Notice to Indirect Purchasers

You may be affected by a class action lawsuit involving CRT Products purchased indirectly from the Defendant companies. "Indirect" means that you did not buy the CRT Product directly from any Defendant. A Settlement has been reached with **Chunghwa Picture Tubes Ltd.** The litigation is continuing against the remaining Defendants. A complete list of defendants is set out in the Detailed Notice.

Important Documents to read:

- [Summary Notice](#)
- [Detailed Notice](#)
- [Settlement Agreement](#)
- [Preliminary Approval Order](#)

IMPORTANT DATES

February 1, 2012:

- Deadline for Requests for Exclusion and Objections.
- Fecha límite para las Solicitudes de Exclusión y de las Objeciones.**

March 15, 2012 at 2:00 p.m.:

- The Special Master will hold a Fairness Hearing.
- El Auxiliar Judicial Especial tendrá una audiencia de equidad.**

Location of Hearing / **Dirección de la Audiencia:**
JAMS, Two Embarcadero, Suite 1500, San Francisco, CA 94111

Aviso Legal a los Compradores Indirectos

Usted puede verse afectado por una acción judicial colectiva que concierne productos con TRC comprados de forma indirecta de alguna de las compañías demandadas. De forma "indirecta" significa que usted no adquirió el producto TRC directamente de alguno de los acusados. Se ha llegado a un acuerdo con **Chunghwa Picture Tubes Ltd.** Una lista completa de los demandados se encuentra disponible en el Aviso Pormenorizado.

Documentos importantes para leer:

- [Resumen de Aviso](#)
- [Aviso Pormenorizado](#)
- [Acuerdo \(Inglés\)](#)
- [Orden de aprobación preliminar \(Inglés\)](#)

What are Cathode Ray Tube Products?


Cathode Ray Tube (CRT) Products include Cathode Ray Tubes and finished products that contain a Cathode Ray Tube such as Televisions and Computer Monitors.

Where are the Claim Forms?

There are no claim forms to submit in connection with the current settlement with Chunghwa Picture Tubes Ltd. The lawyers will be pursuing the lawsuit against the remaining Non-Settling Defendants to see if any future settlements or judgments can be obtained.

Will Proof of Purchase or Ownership of CRT Products be Required?

Please retain any receipts or other evidence of purchase of any CRT Product. Before disposing of any CRT Product please see the Detailed Notice for



All document links above are to PDF files, which can be read using Adobe Reader. You can download Adobe Reader for [free from the Adobe website](#). [Click here](#).

If you prefer to have these documents mailed to you, please write to us.

CRT Antitrust Litigation

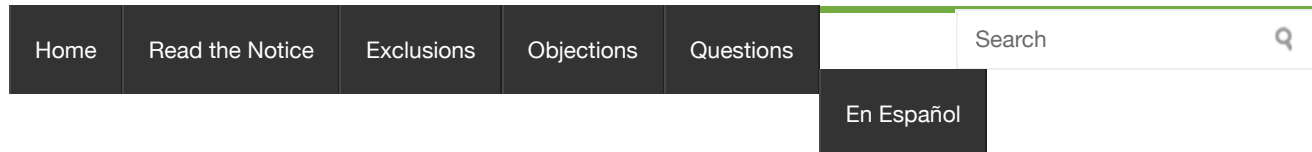
2/9/16, 12:47 PM

recommendations on preserving proof of ownership.



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EXHIBIT G



Legal Notice

Welcome to the Information Website for the Cathode Ray Tube (CRT) Antitrust Litigation

(All Indirect Purchaser Actions: 07-cv-05944-SC, MDL No. 1917, USDC, N.D. Cal.)

CLICK HERE to see the Detailed Notice, the Settlement Agreement with LG Electronics, Inc. and other important case documents.

Overview of the Certified Class

On September 19, 2013, the US District Court for the Northern District of California certified plaintiff classes in 21 states and the District of Columbia, of individuals and businesses that indirectly purchased any CRT Product from the Defendant Companies or any co-conspirator (collectively "Defendants"), for their own use and not for resale, from March 1, 1995 through November 25, 2007 (the "Litigated Class"). "Indirect" means that you did not buy the CRT Product directly from any of the Defendants.

The lawsuit claims that numerous Defendants conspired to fix, raise, maintain or stabilize prices of CRT Products resulting in overcharges to consumers who bought CRT Products such as televisions and computer monitors. The Defendants deny that they did anything wrong. The Court has not decided who is right.

Overview of the Class Settlement with LG Electronics, Inc.

A Settlement has been reached with LG Electronics, Inc.; LG Electronics USA, Inc.; and LG Electronics Taiwan Taipei Co., Ltd. ("LG"). On December 9, 2013, the Court preliminarily approved the LG Settlement and certified a nationwide (excluding Illinois, Oregon and Washington, which are bringing their own separate cases) settlement class of individuals and businesses that indirectly purchased any CRT Product from the Defendants, for their own use and not for resale, from March 1, 1995 through November 25, 2007 (the "Settlement Class"). The litigation is continuing against the remaining Defendants.

The Settlement provides for the payment by LG of \$25 million in cash, plus interest, to the Settlement Class. It also provides that LG will furnish information about the case, including other Defendants' involvement in the alleged conspiracy,

to Class Counsel. Money will not be distributed to Settlement Class members yet. See the [Detailed Notice](#) for further information on the Settlement.

Class Member Options

| | |
|--|--|
| Do Nothing | You will remain a member of the Litigated Class and the Settlement Class. |
| Exclude Yourself | The deadline to exclude yourself (opt out) from the Litigated Class or the Settlement Class is March 20, 2014 . |
| Object or Comment on the Settlement | The deadline to file objections or comments to the Settlement is March 20, 2014 . |

Consult the [Detailed Notice](#) for further information on Class Member Options.

Settlement Hearing

The Court will hold a Fairness Hearing at 10:00 a. m. on **April 18, 2014**, at 450 Golden Gate Ave., 17th Floor, Courtroom One, San Francisco, CA 94102. The hearing may be moved to a different date or time without additional notice, so it is a good idea to continue to check this website for updated information.

For more details, call toll free 1-800-649-8153 or write to:

CRT Indirect Settlement
c/o The Notice Company
P.O. Box 778
Hingham, MA 02043

IMPORTANT DATES

03/20/2014 Exclusion Deadline

03/20/2014 Objection Deadline

04/18/2014 Fairness Hearing:

Settlement Approved (LG)

NOTE: PDF documents may
be viewed using **Adobe Reader**,

which you may download
for free by clicking here.



www.CRTsettlement.com

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EXHIBIT H

Page 1

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

IN RE: CATHODE RAY TUBE
(CRT) ANTITRUST LITIGATION

No. 3:07-cv-05944-SC

MDL No. 1917

This Document Relates to:

ALL ACTIONS

ORAL ARGUMENT HEARING
San Francisco, California
Tuesday, January 5, 2016

Reported by:

SUZANNE F. BOSCHETTI

CSR No. 5111

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

IN RE: CATHODE RAY TUBE
(CRT) ANTITRUST LITIGATION

No. 3:07-cv-05944-SC

MDL No. 1917

This Document Relates to:

ALL ACTIONS

ORAL ARGUMENT HEARING held before Special
Master Martin Quinn, at JAMS, 2 Embarcadero
Center, Suite 1500, San Francisco, California,
beginning at 9:55 a.m. and ending at 1:17 p.m.,
on Tuesday, January 5, 2015, before SUZANNE F.
BOSCHETTI, Certified Shorthand Reporter No.
5111.

1 And beyond that on that point, it was a procedural issue
2 that could have been corrected in three seconds by the
3 issuance of a subsequent demand letter, and it would be
4 totaling, it would go back, and that would be that.

5 And I will also point to Kayes versus Pacific
6 Lumber Company 51 F.3d 1449 --

7 SPECIAL MASTER: We can't -- if you're citing
8 cases that aren't in your brief, I --

9 MR. BONSIGNORE: No, they're in the brief. I'm
10 just reminding -- I'm highlighting them. Kayes versus
11 Pac. Lumber, 51 F.3d 1449. The responsibility of class
12 counsel to absent class members whose control over their
13 attorneys is limited. And that's the point that I'm
14 making. The class members, lawyers other than lead
15 counsel, and more in this case than any other case I've
16 been in, had no sway whatsoever. He ran it like a
17 dictatorship. We had no control. All we could do was
18 argue and complain.

19 SPECIAL MASTER: All right. Thank you.

20 Ms. Moore, final, final, yes.

21 MS. MOORE: With regards to Massachusetts, Your
22 Honor, an error was made. An error was made two times.
23 It could -- Massachusetts could still have been valued
24 and put in the settlement and was not. And this is a
25 case in LCD. We just -- between Massachusetts and

1 Missouri, my partial investigation -- I haven't talked
2 to all the aggregators, but there was 41 and a half
3 million dollars distributed in LCD between those two
4 states alone.

5 SPECIAL MASTER: Okay. Well, let me --

6 MS. MOORE: So this is a valuable case that
7 they could have valued and put in the settlement and
8 they chose not to.

9 SPECIAL MASTER: Okay. Is it the duty of lead
10 counsel -- and I know we have a number of people in the
11 room who have served as lead counsel. Is it the duty of
12 lead counsel to go around to every state and phone
13 lawyers and say, you know, go make a claim, go scrounge
14 around and get a class representative. Is that part of
15 the fiduciary duty of lead counsel?

16 No, Mr. Bonsignore.

17 Go ahead.

18 MS. MOORE: Yes, Your Honor, I think he's
19 putative counsel -- he's counsel -- lead counsel is
20 appointed and is given this duty, and it is his
21 responsibility to make sure that these claims are
22 pursued. And I do think he has a right to make sure --

23 SPECIAL MASTER: But is it his duty --

24 MS. MOORE: -- that people are not left out.

25 SPECIAL MASTER: Is it his duty to find claims,

EXHIBIT I

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Gary Talewsky and
Harry Garavanian

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

**IN RE: CATHODE RAY TUBE (CRT)
ANTITRUST LITIGATION**

Case No. 3:07-cv-5944
MDL No. 1917

CLASS ACTION

This Document Relates To:

All Indirect Purchaser Actions

**OBJECTION TO THE PROPOSED CLASS
ACTION SETTLEMENT AGREEMENT
AND MOTION FOR ATTORNEY FEES
BY OBJECTOR ROCKHURST
UNIVERSITY, OBJECTOR GARY
TALEWSKY, AND OBJECTOR HARRY
GARAVANIAN**

Judge: Honorable Samuel Conti
Courtroom One, 17th Floor

1 **I. INTRODUCTION**

2 The proposed settlement has bargained away the rights of a Nationwide Class without
 3 adequate representation and must be rejected. Class Counsel must demonstrate that their
 4 proposed settlement meets the requirements of Rule 23 and falls within the range of possible
 5 approval. To satisfy this burden, the settlement must not provide any basis that would suggest
 6 unfairness to absent class members. Upon close examination, the proposed settlement is
 7 anything but fair. The settlement requires that individuals and entities in a Nationwide Class
 8 release all of their rights, including the right to bring an individual suit against the named
 9 defendants, without consideration or compensation for doing so. Ultimately the settlement
 10 provides no relief for Nationwide Class members and comes nowhere close to being fair,
 11 adequate, and reasonable. The Nationwide Class members are entitled to consideration for their
 12 injunctive claims.

13 **II. BACKGROUND OF OBJECTORS**

14 *Rockhurst University*. Objector Rockhurst University, established in 1910, is a Jesuit
 15 University in Kansas City, Missouri and has an approximate enrollment of 3000.¹ Matthew
 16 Heinrich is the Associate Vice President of Facilities and Technology for Rockhurst University
 17 in Kansas City, Missouri. The University's address and telephone number is 1100 Rockhurst
 18 Road, Kansas City, MO 64110, telephone number (816) 501-4000. *See Declaration Of Matthew*
 19 *Heinrich In Support Of Objection To The Settlement Agreement and Motion for Attorneys'*
 20

21 ¹ Rockhurst University is part of a National Association of 28 Universities throughout the
 22 United States including Boston College, Canisius College, College of the Holy Cross, Creighton
 23 University, Fairfield University, Fordham University, Georgetown University, Gonzaga
 24 University, Le Moyne College, Loyola Marymount University, Loyola University Chicago,
 25 Loyola University Maryland, Loyola University New Orleans, Marquette University, Regis
 26 University, St. Joseph's University, St. Louis University, St. Peter's University, Santa Clara
 27 University, Seattle University, Spring Hill College, The University of Scranton, University of
 28 Detroit Mercy, University of San Francisco, Wheeling Jesuit University, Xavier University,
 Boston College School of Theology and Ministry (assoc. member). Eighteen of these
 educational institutions are deprived of their recovery of the settlement funds in this case by the
 issues raised herein.

1 Fees (“Heinrich Decl”). Rockhurst University is a purchaser of CRT products for its own use,
 2 and not for resale between March 1, 1995 and November 25, 2007, *Id.*, ¶5-8, Rockhurst
 3 University meets the Nationwide Class Definition and therefore has standing to bring this
 4 objection.

5 **Gary Talewsky.** Objector, Gary Talewsky, is a Travel Professional and is part of a
 6 nationwide association of Travel Agency Owners & Agents of approximately 41 members. Mr.
 7 Talewsky works and resides in Massachusetts. *See* Declaration Of Gary Talewsky In Support
 8 Of Objection To The Settlement Agreement and Motion for Attorneys’ Fees (“Talwesky
 9 Decl.”). Mr. Talewsky’s address is 95 Washington Street, Suite 104-312, Canton, MA 02021
 10 and his telephone number is (781) 471-2310. Talewsky Decl., ¶2. Mr. Talewsky purchased
 11 multiple CRT products for his own use, and for the use of his business, and not for resale
 12 between March 1, 1995 and November 25, 2007. *Id.*, ¶4-9. Mr. Talewsky, as a purchaser of
 13 CRT products, meets the Nationwide Class Definition and therefore has standing to bring this
 14 objection.

15 **Harry Garavanian.** Objector, Harry Garavanian, is a Travel Professional and is part of a
 16 nationwide association of Travel Agency Owners & Agents of approximately 41 members. Mr.
 17 Garavanian works and resides in Massachusetts. *See* Declaration Of Harry Garavanian In
 18 Support Of Objection To The Settlement Agreement and Motion for Attorneys’ Fees
 19 (“Garavanian Decl.”). Mr. Garavanian’s address is 40 Vinal Sq., North Chelmsford, MA 01863,
 20 and his telephone number is (978) 251-2868. *Id.*, ¶ 2. Mr. Garavanian purchased multiple CRT
 21 products for his own use, and for the use of his business, and not for resale between March 1,
 22 1995 and November 25, 2007. *Id.*, ¶4-9. Mr. Garavanian, as a purchaser of CRT products, meets
 23 the Nationwide Class Definition and therefore has standing to bring this objection.

24 **III. ARGUMENT**

25 Final approval is warranted if the settlement is determined to be “fundamentally fair,
 26 adequate, and reasonable.” *Class Plaintiffs v. City of Seattle*, 955 F.2d 1268, 1276 (9th Cir.
 27 1992). The Manual for Complex Litigation (Fourth) § 21.632 (2004) (“Manual”) provides:

1 Fairness calls for a comparative analysis of the treatment of the class members
 2 vis-à-vis each other and vis-à-vis similar individuals with similar claims who are
 3 not in the class. Reasonableness depends on an analysis of the class allegations
 4 and claims and the responsiveness of the settlement to those claims. Adequacy of
 the settlement involves a comparison of the relief granted to what class members
 might have obtained without the class action process.

5 As discussed below, the proposed settlement bargains away the rights of indirect purchasers
 6 in 29 states, requiring that they release their injunctive and monetary claims without any
 7 consideration, as well as the rights of all claimants without sufficient compensation.

8 **A. Class Members Did Not Receive Adequate Representation**

9 To satisfy constitutional due process concerns, absent class members must be afforded
 10 adequate representation before entry of a judgment which binds them. *Hanlon v. Chrysler*
 11 *Corp.*, 150 F.3d 1011, 1020 (9th Cir. 1998). The fulcrum of the adequacy requirement is an
 12 alignment of interests and incentives between the representative plaintiffs, counsel, and the
 13 absent class members. *See Hopson v. Hanesbrands Inc.*, 2009 U.S. Dist. LEXIS 33900, at *10
 14 (N.D. Cal. Apr. 3, 2009) (Adequacy standard is met if “(1) the class representative and counsel
 15 do not have any conflicts of interest with other class members; and (2) the representative
 16 plaintiff and counsel will prosecute the action vigorously on behalf of the class”). Thus,
 17 representation is inadequate where the settlement terms prefer some class members over others
 18 and there are divergent interests between class members. *See Hanlon, supra*, 150 F.3d at 1020-
 19 21.

20 **Nationwide Settlement Class**

21 The proposed settlements are contingent on settlement approval of a Nationwide Class
 22 consisting of “all persons and or entities who or which indirectly purchased in the United States
 23 for their own use and not for resale, CRT Products manufactured and/or sold by the Defendants,
 24 or any subsidiary, affiliate, or alleged co-conspirator thereof, at any time during the period
 25 March 1, 1995 through November 25, 2007.” Dkt. 3861, at p. 6. Class Counsel also seeks to
 26 certify Statewide Damages Classes, consisting of persons and entities who purchased CRT
 27 Products in the District of Columbia and in twenty-one states. *Id.* Although class members in
 28

1 the Statewide Damages Class may fall into the Nationwide Class, the inverse is not true, leaving
2 purchasers in 29 states without any type of relief.

3 In exchange for the release of “all federal and state-law claims...concerning the
4 manufacture, supply, distribution, sales or pricing of CRT products,” the Settling Defendants
5 are providing consideration in the amount of \$563 million and an agreement to provide
6 “specified cooperation to Plaintiffs in the prosecution of any continuing litigation” (Dkt. 3861,
7 at p. 9 and Dkt. 3875, at p. 2) (although there is no continuing litigation with these settlement
8 approvals). The “Releasers” are defined in each Settlement Agreement as “the indirect-
9 purchaser plaintiff Class representatives and the indirect-purchaser Class Members...”² Each
10 agreement further states that the release is “in consideration of payment of the Settlement
11 Amount as specified in Paragraph [...] of this Agreement.”³ Pursuant to the terms of the
12 settlement agreements, the Nationwide Class appears to fall within the provision for the
13 monetary relief, yet the Nationwide Class is prevented from making a claim on the CRT claims
14 website unless they fall within the State Class as well. Class Counsel’s proposed Plan of
15 Distribution provides only compensation to “members of the Statewide Damages Classes...who
16 claim their pro-rata share of the Settlement Fund based on the number of valid claims filed, and
17 the number and type of CRT Products each claimant purchased during the class period.” Dkt.
18 3862, at ¶ 43. There is no mention of injunctive relief or the monetary value of such relief for
19 the Nationwide Class. The Nationwide Class now is left in the wind, with no consideration of
20 any type actually being received. Members of the Nationwide Class receive *nothing* in return
21 for their release of claims.

22 //

23
24 ² CPT Settlement, at ¶5; LG Settlement, at ¶5; Hitachi Settlement, at ¶5; Panasonic Settlement,
25 at ¶5; Philips Settlement, at ¶5; Samsung Settlement, at ¶5; Toshiba Settlement, at ¶5; and
Technicolor/Thomson and Technologies Displays Settlement, at ¶5.

26 ³ CPT Settlement, at ¶13; LG Settlement, at ¶13; Hitachi Settlement, at ¶13; Panasonic
27 Settlement, at ¶13; Philips Settlement, at ¶13; Samsung Settlement, at ¶13; Toshiba Settlement,
28 at ¶13; and Technicolor/Thomson and Technologies Displays Settlement, at ¶13.

1 **State Settlement Classes**

2 The distribution for the earlier approved settlements of Chungwa Picture Tubes
 3 (“CPT”) and LG hinge on the approval of the settlements and Distribution Plan at bar and are
 4 thus at issue herein. Between the issues in the earlier settlements coupled with the issues in the
 5 current settlements and distributions, multiple states have been blindsided with the loss of any
 6 recovery whatsoever. Multiple states were part of the earlier state damage class definitions and
 7 were removed subsequent to settlement, e.g. Massachusetts. Other states were part of the earlier
 8 National Settlement Class⁴ but now cannot recover, e.g. Missouri.

9 For instance, members of the Massachusetts Statewide Class were included as part of the
 10 initial settlement with CPT. Dkts 884-1; 437; 716. Both Class Counsel and Defense Counsel
 11 represented to the court that their injunctive settlement provided monetary consideration. CPT
 12 settled the “50-state federal injunctive claim in exchange for monetary consideration.” Dkt. 942,
 13 at p 3:23 - 4:2. In light of “a colorable monetary claim in all 50 states,” CPT “settle[d] all those
 14 claims—known or unknown, weak or strong, foreseen or unforeseen, based on existing law or
 15 the creation of new law—that arise in a litigation.” *Id.* at p. 4:3 – 4:8. Yet, indirect purchaser
 16 plaintiffs in Massachusetts, as well as Missouri, are precluded from the claims process and
 17 receiving any monetary compensation or consideration in exchange for the release of their
 18 injunctive and monetary claims, despite the fact that CPT settled for a “50-state federal
 19 injunctive claim in exchange for monetary consideration.” Dkt. 942, at 3:23 – 4:2.

20 At the time of the settlement with CPT, Massachusetts was part of the State Damage
 21 Class (Dkt. 884-1; 437; 716). Following the CPT Settlement, Class Counsel did not move for
 22 Preliminary Approval for two years. During that period of time the Court dismissed the
 23 Massachusetts claim with leave to amend for failure to plead that Plaintiffs had notified the
 24 Defendants 30 days before filing the Consolidated Amended Complaint (CAC). Dkt. 597. Class
 25

26 ⁴ Because they were part of the Nationwide Class it was not necessary for the State to sue on its
 27 citizens behalf since Class Counsel was handling the recoveries, but with the issues at bar these
 28 claims are lost.

1 Counsel then filed their Second Amended Complaint (SCAC). Dkt. 716. Class Counsel again
 2 failed to comply with the procedural requirements and properly plead that they had notified
 3 Defendants 30 days before filing the SCAC. Dkt. 768, at p. 12-13; 796. This time Massachusetts
 4 was dismissed with prejudice. Dkt. 768, at p. 12-13; 796.⁵ Objectors believe Class Counsel did
 5 not appeal.⁶ Objectors have seen nothing to indicate Class Counsel filed anything to adequately
 6 inform Massachusetts claimants of their right to file Direct Action Suits. On the contrary, Class
 7 Counsel filed a Stipulated Proposed Order (which did not make any reference to
 8 “Massachusetts”) (Dkt. 796) and filed the Third Amended Complaint (TCAC) without
 9 Massachusetts (Dkt. 827). The proceedings were not very transparent. There does not appear to
 10 be anything in the docket filed by Class Counsel that would clearly inform a consumer of, much
 11 less suggest, a need to file a separate complaint (assuming the Statute of Limitations had not
 12 run). In the subsequent Motions for Approval of the CPT settlement, many states were included
 13 in the state damage classes, although they had previously been dismissed. In fact, Counsel had
 14 argued to the court that it was possible to do so. *See* Dkt. 942, 943, 944. CPT intended to
 15 include the Nationwide Class in its monetary settlement, and advocated that position to the
 16 Court.⁷ However, even though CPT had already paid for the Massachusetts claims, Counsel did

17
 18 ⁵ “Since plaintiffs have now had two opportunities to comply and plead compliance, the Special
 19 Master recommends that the allegations under the Massachusetts’ consumer protection statute
 should be dismissed with prejudice.” Dkt. 768, at p. 12-13; 796.

20 ⁶ The Special Master and the Court was aware that Independent Direct actions could be filed,
 21 and discussed it. “While certainly no procedural dismissal of this nature should bar the filing of
 22 a truly new suit...” *Id.* Class Counsel never informed the Massachusetts claimants of the need to
 do so, and any Statute of Limitations has presumably run.

23 ⁷ “Nothing prohibits CPT from settling the 50-state federal injunctive claim in exchange for
 24 monetary consideration. Indeed, this is an important component of the settlement because every
 25 member of the proposed settlement class holds a federal Sherman Act claim for injunctive
 26 relief—even in those states for which no damages claim has been asserted. *In exchange for*
 27 *monetary or other consideration provided in the settlement, class plaintiffs will release their*
 28 *federal Sherman Act injunctive claims as well as any other potential state or federal damage*
claims against CPT. Moreover, the anticompetitive conduct alleged in this case is illegal in
 every state in the Union, including District of Columbia.” Dkt. 943 (emphasis added).

1 not add Massachusetts to the state damage settlement class for approval. Nor does it appear CPT
 2 ever signed off on a change to the Settlement Agreement class. Massachusetts is included in the
 3 Nationwide Class, but currently that class is not able to recover per the current claim forms.⁸

4 The Nationwide Class is entitled to some type of consideration or compensation in
 5 exchange for its release. Counsel failed to adequately represent the Nationwide Class' interests
 6 in securing any value for the release of their claims, and in fact seems to have had a conflict
 7 with multiple states, or with at least the Massachusetts and Missouri classes. As a result, the
 8 settlement is unfair, and final approval should be denied.

9 **B. The Notice to Class Members Was Inadequate**

10 To comport with due process requirements, the notice must clearly describe the
 11 settlement's benefits and limitations, including that the Nationwide Class releases its rights but
 12 will receive no recovery. Fed. R. Civ. P. 23(c)(2)(B). The obfuscation of the actual terms of the
 13 proposed settlement is improper. It is axiomatic that due process requires that the notice of a
 14 settlement proposal must reasonably apprise members of the class of the terms of the settlement
 15 and of the options open to those who would dissent. The notice here fails to adequately inform
 16 class members of the terms of the settlement because it fails to state that members of the
 17 Nationwide Class release all their rights but receive nothing in return.

18 Members of the Massachusetts and Missouri Statewide Class were included as part of
 19 the initial State Damage Settlement with CPT in 2009, *see supra* (Dkts 884-1; 437; 716) but
 20 cannot make a claim. Indirect purchaser plaintiffs in the Nationwide Class, including
 21 Massachusetts and Missouri, are also precluded from the claims process and receive nothing.
 22 The notice is confusing, is arguably contrary to the settlement agreements, and fails to apprise
 23 the class of their rights. Such procedurally and substantively deficient notice fails the
 24 requirements of Rule 23 and amounts to denial of due process.

25 ///

26 _____
 27 ⁸ There are over 114 Universities and colleges currently in the Commonwealth of Massachusetts
 28 alone, all of which are shut out of this settlement.
https://en.wikipedia.org/wiki/List_of_colleges_and_universities_in_Massachusetts

1 The Nationwide Class consists of persons or entities who purchased CRT Products from
 2 as early as March 1, 1995, making it highly probable that an individual claimant is of age 60 or
 3 older. Although a New Media notice campaign is modern and efficient, it may not be the
 4 appropriate manner to notice the claimant class herein. Notice of the Settlement was
 5 disseminated in some print publications, on the Internet, and via Press Release. Dkt. 1063, at p.
 6 4. Print publications of the Class Notice were published in *Parade Magazine*, *USA Weekend*,
 7 *USA Today*, *The New York Times*, and *El Nueva Dia*. Dkt. 1063, at p. 4. Given the significant
 8 decline in *Parade Magazine*'s circulation and the fact that *Parade Magazine* reaches less than
 9 35% of adults age 55 and older, it is questionable whether the these present methods are
 10 adequately providing notice to the Nationwide Class. *See* Exhibit A attached to the Declaration
 11 of Theresa D. Moore In Support of Objection to the Settlement Agreement and Opposition to
 12 Motion for Approval ("Moore Decl. Ex. A). The graph attached as Exhibit A illustrates that the
 13 CRT claimants are those of the age who do in fact tend to use print publications. *Id.* Old Media,
 14 such as notice sent via AARP publications, postcards, radio, or television may be more
 15 appropriate than New Media for this age group of claimants and have greater success in
 16 reaching putative class members.

17 The Notice also fails to notify any non US state residents of their right to make a claim.

18 **C. The Settlement Amount**

19 The Objectors further submit that the settlement should be evaluated against the treble
 20 damages potentially available to this class, and the policy of antitrust joint and several liability.
 21 Treble damages are a fact of life in antitrust litigation. In some cases courts asked to approve a
 22 settlement may believe the class's claim is so strong that the merits of the amount negotiated
 23 cannot reasonably be evaluated without measuring it against the likelihood of a treble as well as
 24 a single damages recovery. Such is the case herein.

25 Plaintiffs' expert estimated the total class damages to be \$2.768 Billion. Dkt. 3861, at p.
 26 14, fn. 31. The total class damages, trebled, is \$8.3 Billion and represents Defendants' potential
 27 liability. To settle this important matter against serial price fixers for one-tenth of the potential
 28

1 damages seems not appropriate, particularly where joint and several liability is in play.
 2 Although Class Counsel likens this action to the *In re TFT-LCD (Flat Panel) Antitrust*
 3 *Litigation* and cites similar problems, in reality, the CRT conspiracy continued for a much
 4 longer period of time and was much better organized than the LCD conspiracy. In LCD, the
 5 potential single damages were approximately \$800 million less than CRT, but LCD settled for
 6 almost twice the CRT settlement. LCD settled at \$1.1 Billion, and CRT \$576 million.
 7 Moreover, the LCD litigation recovered one-half of single damages, but the CRT recovered
 8 only one-fifth of single damages.

9 While a large number, Objectors believe the \$576 million settlement fund is insufficient
 10 to fairly compensate the class members and deter similar conduct in the future. The Supreme
 11 Court has said that private civil antitrust suits serve two purposes: to compensate the victims of
 12 antitrust violation and to “deter violators and deprive them of ‘the fruits of their illegality.’”⁹
 13 *Pfizer, Inc. v. Gov’t of India*, 434 U.S. 308, 314 (1978) (quoting *Illinois Brick Co. v. Illinois*,
 14 431 U.S. 720, 746 (1977)). This settlement does not deprive those defendants of “the fruits of
 15 their illegality”—if anything, it may provide reinforcement that further antitrust violations can
 16 be settled for a fraction of their value, even in the face of compelling evidence of liability and
 17 damages.¹⁰ Given the strength of the evidence, Objectors submit that the amounts the
 18 Defendants have agreed to pay to settle this case is not enough.

19 **D. The Attorney Fee Request**

20 No objection is made as to Class Counsel’s request of one-third of the total Settlement
 21 for attorneys’ fees for the result they have achieved. Although it is a very large settlement, it
 22 must be properly evaluated, and as written above, the class deserves much more.

23 _____
 24 ⁹ The evidence shows that the defendants continued with their price fixing conspiracies in the
 25 newer and more modern LCD technologies. *In re TFT-LCD (Flat Panel) Antitrust Litigation*,
 No. 07-md-01827 (N.D. Cal.).

26 ¹⁰ Similarly, Objectors respectfully disagree to limiting the individual claimants recovery to
 27 treble damages, assuming there are monies left over after a pro rata distribution. It is unfair and
 28 illogical to deny a claimant interest or damages on a \$25 claim, while the Defendants are only
 paying 1/5 of a \$2.7 billion theft.

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Harry Garavanian*

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION**

**IN RE: CATHODE RAY TUBE (CRT)
ANTITRUST LITIGATION**

Case No. 3:07-cv-5944
MDL No. 1917

CLASS ACTION

This Document Relates to:

All Indirect Purchaser Actions

**DECLARATION OF THERESA D. MOORE
IN SUPPORT OF OBJECTION TO THE
CLASS ACTION SETTLEMENT
AGREEMENT AND MOTION FOR
ATTORNEY FEES**

Judge: Honorable Samuel Conti
Courtroom One, 17th Floor

**DECLARATION OF THERESA D. MOORE ISO OBJECTION TO THE SETTLEMENT AGREEMENT
AND MOTION FOR ATTORNEY FEES**

Case No. 3:07-cv-5944, MDL No. 1917

1 I, Theresa D. Moore, declare as follows:

2 1. I am an attorney licensed to practice law in the State of California., and am
3 attorney for Objectors Rockhurst University, Gary Talewsky, and Harry Garavanian.

4 2. I make this declaration based on my own personal knowledge, and if called to
5 testify as a witness in this matter, I could and would competently testify to the facts contained
6 herein.

7 3. Attached hereto as Exhibit A is a true and correct copy of a graphic from a
8 presentation by Gilardi & Co.

9
10 I declare under penalty of perjury that the foregoing is true and correct.

11
12 Executed this 8th day of October, 2015, in San Francisco, California.

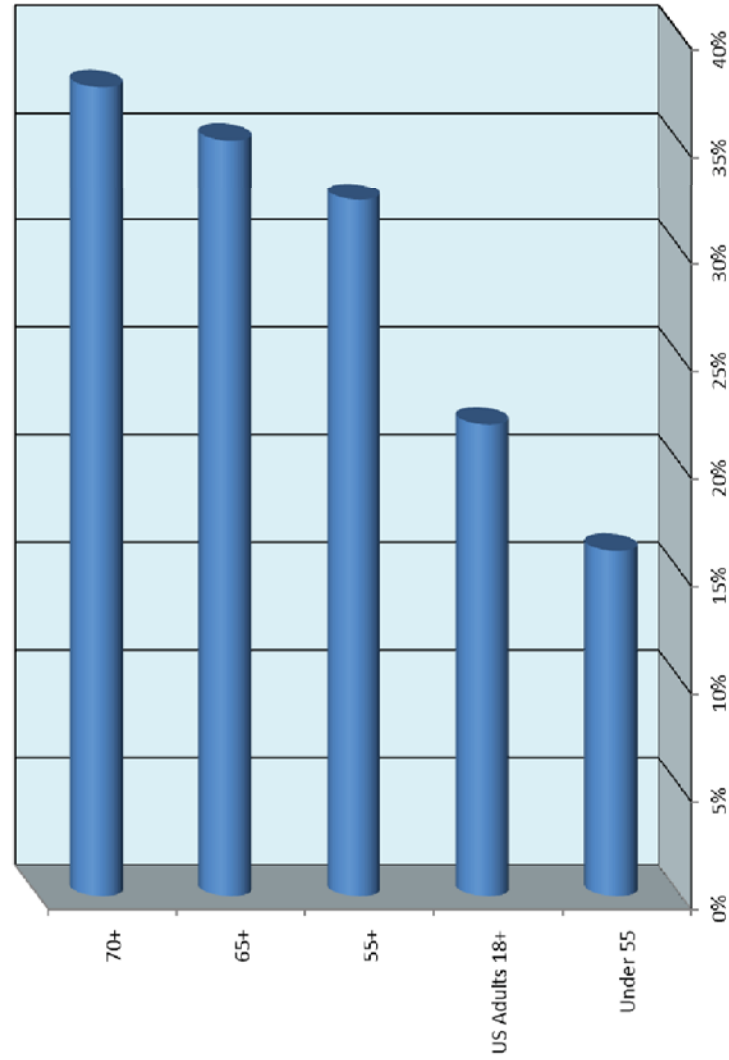
13
14 /s/ Theresa D. Moore

15 Theresa D. Moore
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EXHIBIT A

**Gilardi
& Co. LLC**

PARADE MAGAZINE REACH BY AGE GROUP



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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

**IN RE: CATHODE RAY TUBE (CRT)
 ANTITRUST LITIGATION**

Case No. 3:07-cv-5944
 MDL No. 1917

CLASS ACTION

This Document Relates to:
 All Indirect Purchaser Actions

**DECLARATION OF GARY TALEWSKY IN
 SUPPORT OF OBJECTION TO THE
 PROPOSED CLASS ACTION SETTLEMENT
 AGREEMENT AND MOTION FOR
 ATTORNEY FEES BY OBJECTOR
 ROCKHURST UNIVERSITY AND
 OBJECTOR GARY TALEWSKY AND
 OBJECTOR HARRY GARAVANIAN**

Judge: Honorable Samuel Conti
 Courtroom One, 17th Floor

**DECLARATION OF GARY TALEWSKY IN SUPPORT OF OBJECTION TO THE PROPOSED CLASS
 ACTION SETTLEMENT AGREEMENT AND MOTION FOR ATTORNEY FEES BY OBJECTOR
 ROCKHURST UNIVERSITY, GARY TALEWSKY, AND HARRY GARAVANIAN**

Case No. 3:07-cv-5944, MDL No. 1917

1 I, Gary Talewsky, declare from my own personal knowledge and on information and belief,
2 as follows:

3 1. I have been an owner of a Travel Agency, and am a Travel Agent. I reside and work
4 in Massachusetts, and book travel and airline tickets among other services;

5 2. My address is 95 Washington Street, Suite 104-312, Canton, MA 02021, and my
6 telephone number is (781) 471-2310;

7 3. I am a member of the CRT class;

8 4. I have purchased multiple CRT products for my own use, and for the use of my
9 business, and not for resale between March 1, 1995 and November 25, 2007;

10 5. I have purchased these CRT products in Massachusetts;

11 6. I purchased these products from a retailer or someone other than a Defendant or co-
12 conspirator;

13 7. I have purchased 18 CRT computer monitors for my office;

14 8. I have purchased 4 CRT computer screens for my home;

15 9. I have purchased 5 standard CRT televisions.

16 I declare under penalty of perjury that the foregoing is true and correct.

17 Executed this 7th day of October, 2015, in Bangkok, Thailand.

18 _____
/S/

19 Gary Talewsky

20
21 **ATTESTATION**

22 Pursuant to General Order No. 45, § X(B), regarding signatures, I attest that I have
23 obtained the concurrence in the filing of this document from the signatory.

24 _____
/S/ Theresa D. Moore

25 *Counsel for Objector Gary Talewsky*

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Gary Talewsky

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

**IN RE: CATHODE RAY TUBE (CRT)
 ANTITRUST LITIGATION**

Case No. 3:07-cv-5944
 MDL No. 1917

CLASS ACTION

This Document Relates to:
 All Indirect Purchaser Actions

**DECLARATION OF HARRY
 GARAVANIAN IN SUPPORT OF
 OBJECTION TO THE PROPOSED CLASS
 ACTION SETTLEMENT AGREEMENT AND
 MOTION FOR ATTORNEY FEES BY
 OBJECTOR ROCKHURST UNIVERSITY
 AND OBJECTOR GARY TALEWSKY AND
 OBJECTOR HARRY GARAVANIAN**

Judge: Honorable Samuel Conti
 Courtroom One, 17th Floor

**DECLARATION OF HARRY GARAVANIAN IN SUPPORT OF OBJECTION TO THE SETTLEMENT
 AGREEMENT AND MOTION FOR ATTORNEY FEES AND OBJECTION BY CLASS MEMBER HARRY
 GARAVANIAN**

1 I, Harry Garavanian, declare from my own personal knowledge and on information and
2 belief, as follows:

3 1. I have been an owner of a Travel Agency, and am a Travel Agent. I reside and work
4 in Massachusetts, and book travel and airline tickets among other services;

5 2. My address is 40 Vinal Sq., North Chelmsford, MA 01863, and my telephone
6 number is (978)251-2868 ;

7 3. I am a member of the CRT class;

8 4. I have purchased multiple CRT products for my own use, and for the use of my
9 business, and not for resale between March 1, 1995 and November 25, 2007;

10 5. I have purchased these CRT products in Massachusetts;

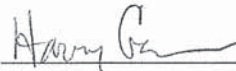
11 6. I purchased these products from a retailer or someone other than a Defendant or co-
12 conspirator;

13 7. I have purchased 5 CRT computer monitors;

14 8. I have purchased 1 CRT television.
15

16 I declare under penalty of perjury that the foregoing is true and correct.

17 Executed this 8th day of October, 2015, in North Chelmsford, MA.

18
19 

20 Harry Garavanian
21
22
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26
27
28

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

**IN RE: CATHODE RAY TUBE (CRT)
ANTITRUST LITIGATION**

Case No. 3:07-cv-5944
MDL No. 1917

CLASS ACTION

This Document Relates to:
All Indirect Purchaser Actions

**DECLARATION OF MATTHEW HEINRICH
IN SUPPORT OF OBJECTION TO THE
PROPOSED CLASS ACTION SETTLEMENT
AGREEMENT BY OBJECTOR ROCKHURST
UNIVERSITY AND OBJECTOR GARY
TALEWSKY**

Judge: Honorable Samuel Conti
Courtroom One, 17th Floor

1 I, Matthew Heinrich declare on information and belief, as follows:

2 1. I am the Associate Vice President of Facilities and Technology for Rockhurst
3 University in Kansas City, Missouri, and am familiar with the purchasing history of Rockhurst
4 University;

5 2. Rockhurst University is a Jesuit University established in 1910, and has an
6 approximate enrollment of 3000;

7 3. Rockhurst University's address and telephone number is 1100 Rockhurst Road,
8 Kansas City, MO 64110, telephone number (816) 501-4000.

9 4. Rockhurst University is a member of the CRT class;

10 5. Rockhurst University has purchased multiple CRT products for the University and
11 not for resale between March 1, 1995 and November 25, 2007;

12 6. Rockhurst University has purchased these CRT products in Missouri;

13 7. Rockhurst University purchased these products from a retailer or someone other
14 than a Defendant or co-conspirator;

15 8. Rockhurst University has purchased more than one CRT computer and o/or
16 television and estimates the purchases during the above class period to be 1500 CRT computers.

17

18 I declare under penalty of perjury that the foregoing is true and correct.

19 Executed this 8th day of OCTOBER, 2015, in KANSAS CITY MISSOURI

20

21



22

Matthew Heinrich

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Case 3:07-cv-05944-JST Document 4113-5 Filed 10/08/15 Page 1 of 2

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Rockhurst University
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Harry Garavanian

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

**IN RE: CATHODE RAY TUBE (CRT)
ANTITRUST LITIGATION**

Case No. 3:07-cv-5944
MDL No. 1917

CLASS ACTION

This Document Relates to:
All Indirect Purchaser Actions

**NOTICE OF INTENT TO APPEAR *IN RE*
CATHODE RAY TUBE (CRT) ANTITRUST
LITIGATION MDL NO. 1917**

Date: November 13, 2015
Time: 10:00 a.m.
Judge: Honorable Samuel Conti
Courtroom One, 17th Floor

1 In accordance with the Amended Order Granting Preliminary approval of Class Action
2 Settlements with Philips, Panasonic, Hitachi, Toshiba, Samsung SDI, Thomas and TDA
3 Defendants (Dkt. 3906), the undersigned hereby gives notice of an intention to appear at the
4 Fairness Hearing on November 13, 2015 at 10:00 a.m.

5
6 Dated: October 8, 2015

/s/ Theresa D. Moore

7 Theresa D. Moore (99978)
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15 *and Gary Talewsky*

EXHIBIT J

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*Counsel for Objectors Rockhurst University,
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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

IN RE: CATHODE RAY TUBE (CRT)
ANTITRUST LITIGATION

Case No. 3:07-cv-5944-JST
MDL No. 1917

CLASS ACTION

This Document Relates to:

All Indirect Purchaser Actions

**REPLY IN SUPPORT OF OBJECTION
TO THE PROPOSED CLASS ACTION
SETTLEMENT AGREEMENT
AND MOTION FOR ATTORNEY FEES
BY OBJECTOR ROCKHURST
UNIVERSITY, OBJECTOR GARY
TALEWSKY, AND OBJECTOR
HARRY GARAVANIAN**

Judge: Honorable John S. Tigar
Courtroom 9-19th Floor
Special Master: Martin Quinn, JAMS

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1 **I. INTRODUCTION**

2 The issues presented by Objectors concerning the pending Indirect Purchaser Plaintiffs
3 settlements are fundamental problems. In the case at bar there are two distinct settlement classes.
4 The proposed distribution favors one class over another, and excludes putative and unnamed class
5 members from a class in which they should be included. Questions have arisen as to what was
6 considered in the valuation of the class members' claims and of the settlements amounts of the
7 parties. These issues, which have now emerged upon distribution, raise questions of whether or
8 not a subclass should exist to protect class member interests, which are in conflict or have been
9 harmed by inadequate representation by a Class Representative and/or Counsel.

10 In its Motion for Final Approval, Class Counsel has failed to demonstrate why this Court
11 should find that the proposed settlement compromise is fair, adequate and reasonable, and treats
12 class members equitably relative to each other, given the costs, risks, and probability of success
13 if the litigation continued. The failure is rooted in the fact that the proposed settlement is patently
14 unfair to class members who were unfairly excluded from the State Classes through error,
15 inadvertence, or otherwise, such as Massachusetts and Missouri (the "Lost States"). Further, the
16 proposed settlement is unfair to the remainder of class members in the Nationwide Class but
17 residing in states not named in the Statewide Damages Class ("Nationwide Class Omitted States")
18 and who are denied any value or consideration in exchange for the complete release of all of their
19 rights against the Settling Defendants.

20 Rule 23 was designed for the nation as a whole. *Rand v. Monsanto Co.*, 926 F.2d 596, 600
21 (7th Cir. 1991). An attorney acting as class counsel represents all class members, including
22 unnamed class members. *In re Agent Orange Product Liability Litigation*, 800 F.2d 14, 19 (2d
23 Cir. 1986). The claims of these Nationwide Class Omitted States have been compromised in favor
24 of members of the Statewide Damages Classes. Class Counsel failed to adequately protect the
25 interests of the Lost States of Massachusetts and Missouri, and the Nationwide Class Omitted
26 States, and settled the litigation without securing any value for these subclasses.

27 For these reasons and as discussed in further detail below, the proposed settlement does
28 not represent the best possible recovery for all putative class members. To protect these absent

class members, the Court should certify subclasses, appoint representatives of these subclasses, deny final approval as the settlement presently stands, and send the parties back to the negotiation table.

II. ARGUMENT

A. Duties of Class Counsel

Consistent with the Federal Rules of Civil Procedure, “trial judges bear the important responsibility for protecting absent class members,” and must be “assured that the settlement represents adequate compensation for the release of the class claims.” *Sullivan v. DV Invs., Inc.*, 667 F.3d 273, 319 (3d Cir. 2010). In line with this principle, on May 8, 2008 the Court appointed Class Counsel for the subclass of Indirect Purchaser Plaintiffs (“IPP”), and laid out the duties and responsibilities of counsel, which included “[t]o otherwise advance and protect the interests of the putative class in all respects and to honor all of its responsibilities, including making sure that all representations and commitments made on its behalf are honored.” Dkt. 282. On May 9, 2008, IPP Class Counsel formally committed and accepted the terms of the appointment. Dkt. 284. Despite this appointment and commitment, some class members’ claims were not pursued and expired, and others were dismissed and/or not further pursued due to a repeated legal error.

Once Class Counsel is appointed to lead the class, a fiduciary duty is born for all prospective and unnamed class members. *Black’s Law Dictionary* 6th ed. (West Publishing, 1990) defines a “fiduciary” as a “person having a duty, created by his undertaking, to act primarily for another's benefit in matters connected with such undertaking.” *Black’s* further details “fiduciary duty” as “a duty to act for someone else's best interest to that of the other person. It is the highest standard of duty implied by law.” *Id.* Applied in the context of class certification, courts recognize the general existence of a fiduciary duty to unnamed class members once a class action suit is filed. *See, e.g., In re General Motors Corp. Pick-up Truck Fuel Tank*, 55 F.3d 768, 801 (3rd Cir. 1995) (stating that class attorneys owe the entire class a fiduciary duty once the class complaint is filed), cert. denied, 516 U.S. 824, 116 S. Ct. 88, 133 L. Ed. 2d 45.

B. Inherent Conflicts Have Arisen Between the Classes Rendering the Statewide Damages Class Plaintiffs and Their Counsel Inadequate Representatives for Massachusetts, Missouri, and the Nationwide Class Omitted States

Class Counsel has a fiduciary duty to the class as a whole “and it includes reporting potential conflict issues” to the district court. *Radcliff v. Experian Information Solutions, Inc.*, 715 F.3d 1157, 1168 (9th Cir. 2013); *see also Kayes v. Pac. Lumber Co.*, 51 F.3d 1449, 1465 (9th Cir. 1995) (“The responsibility of class counsel to absent class members whose control over their attorneys is limited does not permit even the appearance of divided loyalties of counsel.”) “[P]re-certification class counsel owe a fiduciary duty not to prejudice the interests that putative class members have in their class action litigation. These duties arise because class counsel acquires certain limited abilities to prejudice the substantive legal interests of putative class members even prior to class certification. In electing to put themselves forward as class counsel, they assume the duty of not harming those rights.” *Schick v. Berg*, No. 03 CIV. 5513(LBS), 2004 WL 856298, at *5-6 (S.D.N.Y. Apr. 20, 2004) *aff’d*, 430 F.3d 112 (2d Cir. 2005).

The filings, Notice, and Plan of Distribution clearly establish that a divergent and conflicting interest has arisen between the classes. The Nationwide Class plaintiffs and their counsel do not seek any recovery for the distinct economic injuries suffered by the Lost States of Massachusetts and Missouri (“The Lost States”), nor for the Nationwide Omitted States that receive no recovery whatsoever. No additional compensation for those injuries is reflected in the Settlements, as it could have been, and the settlements were clearly negotiated without representing the conflicting interests of these subclasses in a direct distribution of the settlement funds. In fact, courts have ruled that the abandonment of uncertified claims raises issues pertaining to the adequacy of class counsel and the class representatives. *See, e.g., Pearl v. Allied Corp.*, 102 F.R.D. 921, 923 (E.D. Pa. 1984). In their efforts to obtain approval of the Settlement Agreements, in their Motion for Final Approval of the settlements Lead Counsel even went so far as to make arguments that were directly antagonistic to the entire Nationwide Omitted States as well as the Lost States—a glaring indication of inadequate representation.

C. The Lost States of Massachusetts and Missouri Were Abandoned, Not Adequately Represented, and Should Be Included in the Statewide Damages Classes

Ascertaining how the Massachusetts and Missouri Classes were lost and forgotten during these eight years of litigation can only be achieved through extensive investigation and can only be gleaned in one document buried deep in the docket.¹ Dkts. 768, 796-98. Despite Lead Counsel touting that they “successfully defended the pleadings against two rounds of motions to dismiss comprising 11 joint and separate motions that raised a multitude of difficult issues”, in actuality, Massachusetts was dismissed with prejudice due to Counsel’s repeated legal error, one in which the court gave specific instructions how to correct. *See* Dkt. 768 (“Since plaintiffs have now had two opportunities to comply and plead compliance, the Special Master recommends that the allegations under the Massachusetts’ consumer protection statute should be dismissed with prejudice”); *see also* Dkt. 597, p. 32; Dkt. 4071, p. 17-18; Dkt. 4071-1, ¶5; Dkts. 768, 796-98.²

With regard to the Missouri Class, Lead Counsel now argues that the Law of the Case prevented them from adding the claims for Missouri or other Omitted States, but in reality Counsel should have filed the claim years before the Court ordered that no new claims could be filed. Dkt. 768; *see also* Dkt. 796 (Lead Counsel stipulated and the Court therefore accordingly ordered that any amendments to future complaints were limited to “[a]mendments adding or dropping named plaintiffs with respect to claims and states already at issue (i.e., no new claims or states)”). *See Schick v. Berg, supra*, 2004 WL 856298, at *5-6 (S.D.N.Y. Apr. 20, 2004) (“In

¹ Since much of this case has taken place behind doors, without filing all documents and transcripts on the docket, it is difficult for a class member to grasp the full turn of events. It is only when a party objects to a Special Master’s Report & Recommendation that the complete record of transactions becomes part of the public docket. But IPP did not object or appeal the R & R to dismiss Massachusetts with prejudice. In the above scenario IPP stipulated to the entry of the Court Order, rather than appealing it, thus backup documents are not filed on the docket. It is unclear if documents existed which could have shed light on the matter.

² “On May 10, 2010, Lead Counsel prepared and filed IPPs’ Second CAC. (Dkt. 716.) The IPPs’ Second CAC amended certain state law claims and added others. Defendants filed another joint motion to dismiss on various state-law grounds. Dkt. 733 It was denied in part and granted in part, with leave to amend certain state law claims. Dkt. 799. Lead Counsel again took the lead on briefing and arguing the second round of motions to dismiss, with assistance from some other IPP Counsel.” Dkt. 4071, ¶17.

other words, pre-certification class counsel owe a fiduciary duty not to prejudice the interests that putative class members have in their class action litigation.”). There is no indication that Lead Counsel ever tried to file such a claim on behalf of any of the Omitted States, including any Missouri class. Pursuant to Lead Counsel’s previous statements made in their Motion for Preliminary Approval, the strength of these claims and the estimate of damages were robust. The claims should therefore not have been left out or ignored.³

Despite being appointed and agreeing to represent the putative class, Lead Counsel never filed a complaint on behalf of Missouri. And despite having a strong Massachusetts claim, Lead Counsel did not follow the statutory notice instructions, obtained a dismissal without prejudice, repeated the error, and obtained a dismissal with prejudice for these claimants. No evidence has been found to date that indicates anyone acted on behalf of these claimants at this time. Lead Counsel thereupon did not appeal or follow up in any way, including alerting claimants that action was necessary, and, in fact, stipulated to the entry of the judgment.⁴ *See fn 1.*

A number of courts have held that class counsel owes a fiduciary duty to unnamed class members in the pre-certification period. *See In re Bluetooth Headset Products Liab. Litig.*, 654 F.3d 935, 946 (9th Cir. 2011) (“Prior to formal class certification, there is an even greater potential for a breach of fiduciary duty owed the class during settlement.”); *In re General Motors Corp. Pick-Up Truck Fuel Prod. Liab. Litig.*, *supra*, 55 F.3d at 801 (“Beyond their ethical obligations to their clients, class attorneys, purporting to represent a class, also owe the entire class a fiduciary duty once the class complaint is filed.”). As one court has articulated:

[W]e may venture a few statements about the scope of the fiduciary duty owed by class counsel to putative class members prior to class certification. In short, the scope of those duties is limited to protecting the substantive legal rights of putative class members that form the basis of the class action suit from prejudice in an action against the defendant resulting from the actions of class counsel. Where the actions of class counsel put those rights at risk, class counsel must at

³ See Dkt. 1388 (Plaintiffs’ expert Dr. Janet S. Netz, Ph.D. estimated the total class damages to be \$2.768 billion, with CRT monitors accounting for approximately \$2 billion, and CRT televisions accounting for approximately \$768 million.). *See also* Dkt. 3861 at 14, fn. 31.

⁴ See Dkt. 768 at 12-13 (“[C]ertainly no procedural dismissal of this nature should bar the filing of a truly new suit.”); *see also* Dkt. 796.

1 a minimum put absent class members on notice and provide them with an
2 opportunity to object. Where they fail to do so, class counsel exposes itself to
potential liability for breach of its fiduciary duties.

3 *Schick v. Berg, supra*, 2004 WL 856298, at *6 (S.D.N.Y. Apr. 20, 2004). By foregoing
4 any potential reparation claims for the Lost States, Lead Counsel effectively waived these class
5 members' claims^[A1]. Thus, Counsel's actions of entering in a binding stipulation, which does not
6 directly name, yet prevents recovery for Massachusetts and Missouri, and which limited recovery
7 only to the Statewide Damages Class, necessarily prejudiced the Lost States' substantive legal
8 rights to recovery.

9 In attempting to justify the terms of this pending settlement, Class Counsel takes a position
10 directly antagonistic to the interests of their own Lost States and Nationwide Omitted State class
11 members, and undermines their right to claim damages. In support of their Motion for Final
12 Approval, Counsel seemingly defends their decision not to follow the Court's instructions and
13 directions for filing an amended complaint for Massachusetts (Dkt. 768), as if the dismissal with
14 prejudice of the Massachusetts complaint never existed because they did not do what the law and
15 the court required, i.e give and allege legal notice. Counsel does not take any responsibility for
16 never filing a consumer Missouri case. And, in each situation Counsel states in their papers that
17 Objectors and absent class members should have done so themselves. There could hardly be a
18 better illustration of the direct conflict between these classes and the State Class. This Lost States
19 and Omitted States subgroup could not possibly have been adequately represented by Class
20 Counsel who affirmatively attempted to undermine these states' separate claims for economic
21 injuries, and portrays or values them as worthless. Plaintiffs and their Counsel owed a "fiduciary
22 duty" to the "entire class." *In re General Motors, supra*, 55 F.3d at p. 801. To adequately represent
23 the entire settlement class, Class Counsel should not take any position antagonistic to some
24 members of the class. In fact, Counsel could still have protected these claimants and included
25 these classes in the monetary recovery settlements but declined to do so.

26 Where a proposed class action settlement does not adequately protect the interests of a
27 subset of class members who have additional claims not pursued by the class representative and
28 not compensated in the settlement, the adequacy of representation requirement is not satisfied.

1 *See, e.g., In re Community Bank of Northern Virginia*, 418 F.3d 277, 303-308 (3rd Cir. 2005)
 2 (class representatives provided inadequate representation by failing to assert colorable federal
 3 statutory claims of some class members); *see also Smith v. Sprint Communications Co., L.P.* 387
 4 F.3d 612 (7th Cir. 2004) (reversing nationwide settlement class due to inadequate representation
 5 of plaintiffs pursuing other competing class actions whose claims were compromised by
 6 settlement). Massachusetts and Missouri were not adequately represented in the course of this
 7 action. Their claims were not “prosecuted vigorously on their behalf” as required. *In re Mego Fin.*
 8 *Corp. Sec. Litig.*, 213 F.3d 454, 462 (9th Cir. 2000).

9 **D. The Nationwide Class Receives No Settlement Relief and Value For the**
 10 **Release of Their Claims**

11 A release of claims without consideration results in a settlement that is not fair, reasonable
 12 or adequate, and is a settlement that a trial court cannot approve. *See Reynolds v. Beneficial Natl.*
 13 *Bank, et al.*, 288 F.3d 277, 282-284 (7th Cir. 2002). Lack of value exchanged for a release of
 14 claims renders a settlement unreasonable and unfair, at least with respect to those disadvantaged
 15 members of the class. *See, e.g., Amchem Products v. Windsor*, 521 U.S. 591 (1997); *see also*
 16 *Yoshioka v. Charles Schwab Corp.*, No. 11-1625-EMC, 2011 U.S. Dist. LEXIS 147483, at *40
 17 (N.D. Cal. Dec. 22, 2011) (denying final settlement approval where “uncertain value of the
 18 settlement ma[de] the release given in exchange therefore problematic”); *Daniels v. Aeropostale*
 19 *West, Inc.*, No. 12-05755-WHA, 2014 U.S. Dist. LEXIS 74081, at *8 (N.D. Cal. May 29, 2014)
 20 (denying approval of a Fair Labor Standards Act (FLSA) settlement and stating that “[n]o one
 21 should have to give a release and covenant not to sue in exchange for [nothing]”).

22 Plaintiffs in a class action may release claims that were or could have been pled in
 23 exchange for settlement relief. *Wal-Mart Stores, Inc. v. Visa U.S.A., Inc.*, 396 F.3d 96, 106 (2d
 24 Cir. 2005). However, black letter law dictates that consideration must be given in exchange. *See*
 25 *In Re Dry Max Pampers Litigation*, 724 F.3d 713, 718 (6th Cir. 2013) (vacating class action
 26 settlement approval and certification of a settlement class that treated named plaintiffs more
 27 favorably than other class members who received “nearly worthless” injunctive relief”).
 28

Although Class Counsel has wavered between labels of the “Injunctive Relief” Class and the “Nationwide” Class, they contend that they were fully aware that any injunctive relief would be of no value, and there is in fact no injunction. Initially, the parties represented that the Nationwide Class would receive consideration, even a monetary reward. *See* Dkt. 3861, at 1 (CPT settled the “50-state federal injunctive claim in exchange for monetary consideration”); *see also* Dkt. 942, at 2, 3. The releases are evidently of some worth and value to Defendants for “global peace” otherwise Defendants would not be demanding it. Accordingly, the law requires consideration. *See Richardson v. L'Oreal USA, Inc.*, 991 F. Supp. 2d 181, 198-99 (D.D.C. 2013) (finding that “omitting damages claims from the complaint but agreeing to release damages claims on a class-wide basis is tantamount to asserting damages claims but agreeing to compromise the ability to bring them as a class in return for nothing”).

Lead Counsel cites to *Nguyen v. Radiant Pharmaceuticals Corp.*, No. SACV 11-00406 DOC (MLGx), 2014 WL 1802293 (C.D. Cal. May 6, 2014) for the proposition that the Lost States are not entitled to compensation for the release for their substantive legal rights. The case is inapposite here. In *Nguyen*, the objector argued that the proposed plan of distribution was unfair because it provided no recovery for traders whose shares fell into the “in and out” category (“in-and-out traders”). The court in *Nguyen* concluded that the objector would not have been able to show that defendant’s misrepresentation in that case caused the economic loss. Given that in-and-out traders purchased shares during the Class Period but sold it before the Class Period closed (the date of the Defendant’s misrepresentation), it was impossible to isolate defendant’s misrepresentation as the proximate cause for the in-and-out traders’ injury. The Court held that the plan of allocation therefore appropriately did not provide monetary recovery for in-and-out traders as these claims lacked any merit. *Id.* at 8.

In contrast, the Lost States had valid claims under their state’s consumer protection statutes in addition to the federal claims for injunctive relief asserted in the Complaint. The Lost States were equally affected by Defendants’ actions and the conspiracy. In fact, Lead Counsel admits that the weighting of CRT Products reflects the respective harm to purchasers of those products and was therefore necessary to compensate class members who paid more for a particular

1 CRT Product than others. Dkt. 3761 at 36; *see also* Dkt. 3861 (IPP's expert found that the
 2 overcharge on monitor tubes was more than twice the overcharge on television tubes). Class
 3 members in these Lost States suffered the same overcharges and possessed valid claims for
 4 monetary recovery, yet under the proposed settlement they will not receive any compensation for
 5 their injuries or release.

6 The proposed settlement fails to compensate, and even goes as far as to dismantle any
 7 respective rights of the Nationwide Class to relief against the settling defendants. But even more
 8 troubling with regard to this issue is the conflicting, confusing and/or misleading information
 9 provided to the court, counsel and to the consumers. Class members were led to believe that
 10 monetary relief would be forthcoming, but now at this late juncture when it is too late to bring
 11 individual actions, the Lost States now discover that there is no monetary relief. Similarly, Class
 12 Members were led to believe that there was injunctive relief, then there is not. Lead Counsel
 13 originally portrayed, "The Settlement Class includes a "Nationwide Class" of indirect purchasers
 14 of CRT Products seeking injunctive relief." Dkt. 3861, 2:3-4. Upon distribution, Class members
 15 do not get the promised injunction or value for it, yet they are bound to release the defendants.

16 **E. Approval of The Settlement Requires Heightened Judicial Scrutiny of**
 17 **Potential Intra-Class Conflicts and Adequacy of Representation**

18 When a class is certified for settlement only, courts must give heightened attention to the
 19 adequacy of representation requirement to protect the interests of absent class members. Objectors
 20 assert that there appear to be irreconcilable conflicts, indeed, clashing interests, between the
 21 plaintiff classes, that their economic interests are not aligned, and that the intra-class conflicts at
 22 least require the certification of separately represented subclasses for the Massachusetts and
 23 Missouri "Lost State" Classes, as well as the Nationwide Omitted States Classes. Indeed, courts
 24 "must pay 'undiluted, even heightened, attention' to class certification requirements in a
 25 settlement context." *Hanlon v. Chrysler Corp.*, 150 F.3d 1011, 1019 (9th Cir. 1998), quoting
 26 *Amchem, supra*, 521 U.S. at p. 620; *accord Ortiz v. Fibreboard Corp.*, 527 U.S. 815, 848-849
 27 (1999) ("When a district court ... certifies for class action settlement only, the moment of
 28

certification requires ‘heightene[d] attention,’ [citation] to the justifications for binding the class members.”).

The settlement herein draws into question whether the Lost States, and the Nationwide Class, were adequately represented at all times. Rule 23(a) requires that “representative parties will fairly and adequately protect the interests of the class.” Fed. R. Civ. P. 23(a)(4). The Due Process Clause...requires that the named plaintiffs at all times adequately represent the interests of the absent class members.” *Hess v. Spring Corp.*, 598 F.3d 581, 590 (9th Cir. 2010); *Hanlon v. Chrysler Corp.*, *supra*, 150 F.3d at 1020 (“To satisfy constitutional due process concerns, absent class members must be afforded adequate representation before entry of a judgment which binds them”); *Brown v. Ticor Title Ins. Co.*, 982 F.2d 386, 390 (9th Cir. 1992)(“[I]f the plaintiff was not adequately represented in the prior action, or there was a denial of due process, then the prior decision has no preclusive effect.”). The adequate representation requirement “is typically construed to foreclose the class action where there is a conflict of interest between the named Plaintiff and the members of the putative class.” *Gen. Tel. Co. of the Northwest, Inc. v. EEOC*, 446 U.S. 318, 331 (1980).

The named plaintiffs have an obligation to adequately represent the interests of the entire class, acting as fiduciaries on behalf of the class, without any conflicts with class interests. Fed. R. Civ. P. 23(a)(4). The crucial inquiry is “whether a proposed class has sufficient unity so that absent members can fairly be bound by decisions of class representatives. That dominant concern persists when settlement, rather than trial, is proposed.” *Amchem Products*, *supra*, 521 U.S. at 621. When a request for class certification is submitted as part of a proposed class settlement, the propriety of certification must be considered separately from the fairness of the settlement. *In re Ins. Brokerage Antitrust Litigation*, 579 F.3d 241, 257 (3rd Cir. 2009). In determining adequacy of representation, however, the “court may take the terms of the proposed settlement into consideration.” *In re Community Bank of Northern Virginia*, 418 F.3d 277, 300 (3rd Cir. 2005); *In re General Motors Corp. Pick-up Truck Fuel Tank*, 55 F.3d 768, 801 (3rd Cir. 1995) (finding inadequate representation due to “conspicuous evidence of ... an intra-class conflict in the very terms of this settlement”). “[A] judge must focus on the settlement’s distribution terms (or those

sought) to detect situations where some class members' interests diverge from those of others in the class. For example, a settlement that offers considerably more value to one class of plaintiffs than to another may be trading the claims of the latter group away in order to enrich the former group." *In re General Motors, supra*, 55 F.3d at p. 797.

Here, inherent conflicts have arisen because the Nationwide Class is precluded from any recovery under the proposed Settlement. Class Counsel's proposed Plan of Distribution provides only compensation to "members of the Statewide Damages Classes...who claim a pro-rata share of the Settlement Fund based on the number of valid claims filed...." Dkt. 3862, at ¶43. Members of the Nationwide Class receive nothing in return for their release of claims. For instance, members of the Massachusetts Statewide Class were included as part of the class when the initial settlement with Chungwa Picture Tubes ("CPT") was signed. Dkt. 3861, at 1. CPT settled the "50-state federal injunctive claim in exchange for monetary consideration." Dkt. 942, at 3. In light of "a colorable monetary claim in all 50 states," CPT "settle[d] all those claims—known or unknown, weak or strong, foreseen or unforeseen, based on existing law or the creation of new law—that arise in a litigation." *Id.*, at 4. Yet, indirect purchaser plaintiffs in Massachusetts are precluded from the claims process and receiving any monetary compensation or consideration in exchange for the release of their injunctive and monetary claims despite the fact that the parties represented to the court that CPT settled for a "50-state federal injunctive claim in exchange for monetary consideration." Dkt. 942, at 3.

The Nationwide Class is entitled to some type of consideration or compensation in exchange for its release and IPPs failed to adequately represent the Nationwide Class' interests in securing any value for the release of their claims. As a result, the Statewide Damages Classes are inappropriately favored over other subclasses creating intra-class conflicts.

F. The Court Should Certify a Separately Represented Subclass to Ensure That The Distinct Economic Interests of Missouri and Massachusetts Are Adequately Represented

At the very least, the conflicts between the Statewide Damages Class and the Lost States and Nationwide Class Omitted States subgroups required the certification of a separately represented subclass(es) to ensure that their distinct interests are fully and adequately represented

1 in the settlement. “Divergent interests must be taken into account and fairly accommodated
 2 before the parties negotiate a final settlement.... If the parties have not anticipated the need for
 3 subclasses, the court may decide to certify subclasses, appoint attorneys to represent the
 4 subclasses, and send the parties back to the negotiating table.” Manual for Complex Litigation
 5 (Fed. Judicial Center 4th ed. 2004) § 21.612, p. 314. As the Supreme Court has explained, “the
 6 adversity among subgroups requires that the members of each subgroup cannot be bound to a
 7 settlement except by consents given by those who understand that their role is to represent solely
 8 the members of their respective subgroups.” *Amchem, supra*, 521 U.S. at 627.

9 Courts in this district have similarly recognized the propriety of certifying subclasses to
 10 accommodate the divergent interests of potentially antagonistic class members while still
 11 achieving the benefits of a class action. Consistent with *Amchem*, federal courts have held that
 12 subclasses should be created where there are distinct groups of class members with divergent
 13 and potentially conflicting interests in the settlement. For instance, in *In re Community Bank of*
 14 *Northern Virginia, supra*, 418 F.3d 277, the Third Circuit reversed the certification of a
 15 settlement class, finding that there were unresolved questions whether the named representatives
 16 adequately represented class members who had other claims that were not sufficiently pursued
 17 in the case but were released as part of the settlement. The court opined, “At the very least,
 18 consideration should have been given to the feasibility of dividing the class into sub-classes so
 19 that a court examining the proposed settlement could have judged the fairness of the settlement
 20 as it applied to similarly situated class members.” *Id.* at 307.

21 Because of the divergent interests of the plaintiffs and the subgroups and the inability of
 22 the plaintiffs and their counsel to provide adequate representation of the conflicting interests, the
 23 Court should not certify these settlement classes without also certifying a separately represented
 24 subclass for the Lost States and Omitted States, as well as separate representation for the
 25 Nationwide Class members.

26 **G. The Motivation to Exclude the Omitted States From the Settlement**
 27 **Are Suspect**

28 Rather than hoist suspect motives on Objectors, Counsel should be aware of its own
 appearance of motivations in representing unnamed plaintiffs. The problems herein are errors

that could have been remedied well before this time, and this Objector in fact attempted such. *See Moore Decl.*, ¶10. As one of the attorneys seeking one of the lowest lodestars in the case and with Class Counsel's \$192 million requested fee at risk, the suspect motive allegation targeted at Objector is neither plausible nor sound. And it is not so, as Class Counsel state, that Objector's counsel refused to reduce her lodestar. Objector's counsel did in fact abide by Class Counsel's requests and prepared and produced multiple Fee Declarations, including with Class Counsel's recommendation outlined for the Court in their Declaration. *See Moore Decl.*, ¶13.

Class Counsel has \$192 million of requested fees at risk. If the Court were to determine that Counsel had a duty to include these unnamed class members whose claims were lost, and the value of these claims should be included in the settlement, then additional consideration would be due. Thus, a revised settlement would require either that Defendants pay more, the State Classes be diluted, or Class Counsel's fee be reduced to pay for the Lost and Omitted States.

H. Attorney Fees

Objectors do not object to the court using a percentage to compensate counsel, and one third is a common and typical percentage in a private case and should also be considered herein. In larger cases, simply because the damages, the risks, and the rewards are larger, one should not elevate the fee rewards as synonymous to a windfall for counsel. As stated in the opening papers \$574 million is a remarkable amount and, Class Counsel should be commended for such a large sum. Having been part of Co-Lead team in TFT LCD, Objectors' Counsel is well aware of the work and the hurdles needed to overcome such substantial barriers, and a review of the number of hours worked is not necessarily helpful, for a Plaintiff counsel needs to be able to work whatever amount of time is necessary without being constrained—sometimes things go quicker than anticipated with well thought out strategies, and sometimes projects take longer than anticipated. The final result of the case should be what determines the reward, and if a party loses they get nothing. Thus the potential liability is a vital consideration along with the other factors.

This court must at least satisfy itself that the class settlement is within the ballpark of reasonableness. *Harris v. Vector Mktg. Corp.*, No. 08-CV-05198 EMC, 2011 WL 1627973, at *7 (N.D. Cal. Apr. 29, 2011); *In re Tableware Antitrust Litig.*, 484 F. Supp. 2d 1078, 1079 (N.D.

1 Cal. 2007). To perform this function, the trial court must receive and consider enough
2 information about the nature and magnitude of the claims being settled, as well as the
3 impediments to recovery, to make an independent assessment of the reasonableness of the terms
4 to which the parties have agreed. *Id.* Thus the court should look to the whether all claimants were
5 represented vigorously, and whether the settlement amount is appropriate.

6 The settlement herein is admittedly a great amount, but there are additional steps, factors
7 and evidence that need to be presented, evaluated, considered and included to determine if this
8 is the best result for the class. In the Motion for Final Approval, many of the same difficulties
9 were detailed as in the TFT LCD case, but what is truly that much more difficult herein that made
10 this case worth so much less in settlement?

11 Plaintiffs' expert estimated the total class damages to be \$2.768 Billion. Dkt. 3861, at p.
12 14, fn. 31. The total class damages, trebled, is \$8.3 Billion and represents Defendants' potential
13 liability. Since Class Counsel likens this action so closely to the *In re TFT-LCD (Flat Panel)*
14 *Antitrust Litigation* and cites similar problems, in order to grant final approval the court needs
15 evidentiary support to understand why there is such a discrepancy in settlement. While a very
16 large number, the evidence seems to show that \$576 million settlement fund is insufficient to
17 fairly compensate the class members and deter similar conduct in the future. In *LCD*, the potential
18 single damages were approximately \$800 million less than *CRT*, but *LCD* settled for almost twice
19 the *CRT* settlement. *LCD* settled at \$1.1 Billion, and *CRT* \$576 million. Moreover, the *LCD*
20 litigation recovered one-half of single damages, but *CRT* recovered only one-fifth of single
21 damages. In order to approve the settlement, the Court must be provided with the evidentiary
22 foundation substantiating such a discrepancy. Since it has come to light that subclasses have
23 claims that were not pursued, and that apparent conflicts exist, that must be evaluated as well in
24 determining the claim and settlement value of the case.

25 Further, it has not been brought to this courts attention and the court should be aware that
26 the published Notice in the CPT settlement stated that counsel will only seek up to 25% in
27 attorney fees. See CPT Notice as it existed on 11.1.11 attached to *Moore Decl.* as Exhibit E. The
28 CPT and LG settlement website was located at www.cptsettlement.com, but the settlement

website since has been changed to www.crtclaims.com and the CPT and LG settlement website no longer exists; visitors are forwarded to the current settlement notice website of www.crtclaims.com, which gives notice that Class Counsel will seek 33% for fees and no longer reflects the previously noticed 25% maximum for the CPT settlement.

III. CONCLUSION

The settlement terms are patently unfair to the Lost States and Nationwide Omitted States Class, who must forfeit their rights against the settling defendants in exchange for nothing. During the past eight years of litigation, no one has looked out for the interests of these unnamed class members. Because there is no injunctive relief and members of the Nationwide Class received no value for their release, the motion for final approval of the class action settlement must be denied.

Objector further respectfully requests that the court certify subclasses, appoint counsel for such, and send the parties back to the negotiating table.

Respectfully submitted,

Dated: December 9, 2015

/s/ Theresa D. Moore by JL
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*Counsel for Objectors Rockhurst University,
Harry Garavanian, and Gary Talewsky*

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION**

**IN RE: CATHODE RAY TUBE (CRT)
ANTITRUST LITIGATION**

Case No. 3:07-cv-5944 JST
MDL No. 1917

CLASS ACTION

This Document Relates to:
All Indirect Purchaser Actions

**DECLARATION OF THERESA D. MOORE IN
SUPPORT OF OBJECTION TO THE
PROPOSED CLASS ACTION SETTLEMENT
AGREEMENT AND MOTION FOR
ATTORNEY FEES BY OBJECTOR
ROCKHURST UNIVERSITY, OBJECTOR
GARY TALEWSKY, AND OBJECTOR
HARRY GARAVANIAN**

Judge: Honorable John S. Tigar
Courtroom 9-19th Floor
Special Master: Martin Quinn, JAMS

1 I, Theresa D. Moore, declare as follows:

2 1. I am an attorney licensed to practice law in the State of California. I am attorney the
3 for Objectors Rockhurst University, Gary Talewsky, and Harry Garavanian. I make this
4 declaration based on my own personal knowledge, and if called to testify as a witness in this
5 matter, I could and would competently testify to the facts contained herein.

6 2. I make this Declaration is Support of the Objections To The Proposed Class Action
7 Settlement and Motion For Attorney Fees, Reimbursement of Litigation Expenses, and Incentive
8 Awards to Class Representatives.

9 3. The Objections filed herein are valid Objections which raise serious questions with
10 regard to the Settlements and the distribution of the prior Settlements of CPT and LG, and will
11 safeguard that the settlement withstands Constitutional muster.

12 4. The filing and substance of these Objections have no bearing on my pending
13 request for attorney fees (*see* Dkt 4076, 4071).

14 5. Class Counsel states the Objections are suspect because they arose only after an
15 audit committee (made up of unknown members) made cuts to my lodestar. However Counsel
16 ignores and fails to bring to the court's attention the fact that the Attorney Fee Declarations and the
17 Objections were due depending on the timing and schedule the court ordered at Class Counsel's
18 request, and that Class Counsel had directed all counsel to inform their "other clients" to make
19 claims.

20 6. I did not refuse Lead counsel's request to reduce my lodestar as stated in their
21 motion papers, and did in fact reduce it at Class Counsel's request, and provided them with 3
22 separate Declarations, reducing the amount each time,

23 7. I submitted one of the smallest lodestars in the case. Attached hereto as **Exhibit A**
24 is a true and correct copy of a Summary Lodestar submitted within and as Exhibit 2 with my Fee
25 Declaration (Dkt 4076).

26 8. I am requesting compensation for 230 hours of work over the course of 9 years.

27 9. On July 29, 2015 I submitted my original Fee Declaration to Class Counsel for
28

1 \$209,768.75. At the request of Class Counsel I subsequently reviewed and reduced my lodestar
2 and submitted a revised Fee Declaration.

3 10. On August 21, 2015 I submitted my revised Fee Declaration to Class Counsel
4 which reduced my lodestar to \$172,363.45. A true and correct copy of the Fee Declaration is
5 attached hereto as **Exhibit B**.

6 11. On August 24, 2015 Class Counsel sent an email to IPP Counsel, attached as
7 **Exhibit C**, an excerpt of which states:

8 We ask that you please make sure that the named plaintiffs, *and any of your other clients*
9 *who purchased CRT televisions or computer monitors during the class period, file claims.*
10 It is very important that each of the named plaintiffs file a claim. We also encourage you
11 to tell your friends, family members and other acquaintances and encourage them to file
claims too. (emphasis added)

12 12. On August 27, 2015 Class Counsel sent me an email and informed me they cut my
13 fee from \$172,363.45 to \$22,918.75, and would only be prepared to include the \$22,918.75 from
14 2007-2008 in the Joint Fee Petition, and again requested that I revise my Fee Declaration. I did as
15 requested by Class Counsel.

16 13. On August 28, 2015 I submitted my 2d Revised Declaration at Class Counsel's
17 request, attached as **Exhibit D** is a true and correct copy. Paragraph 13 and 14 state as follows:

18 The number of hours reasonably expended and presented herein from 2007-
19 2008 by me is 33.25 hours. The lodestar at historical rates is \$22,918.75.
20 The lodestar at current rates is \$24,106.25. Lead counsel requests that I
21 discount and submit time only for 2007-2008 at reduced rate and hours of
33.25 hours, which I do herein. Expense hours are not duplicated in my
lodestar.

22 The total number of I hours reasonably expended on this from inception to
23 May 31, 2015 is 230.4 hours. Over the entire 9 year prosecution of the case
24 my time expended was not duplicative or wasteful, and was necessary to
25 abide by my ethical duties as representing multiple clients. The total lodestar
at historical rates is \$172,363.45. The total lodestar at current rates is
\$183,168.00. The hours expended by me were for the benefit of my clients
26 and the class. Exhibit 2 reflects my time so reduced, but my actual time,
27 effort, and lodestar for which I do apply herein is reflected in the pre-
discounted hours and lodestar as described herein.

1 14. On September 3, 2015 Class Counsel informed me they would not submit my
2 Declaration because “it doesn’t conform with what Counsel asked for and what other firms had
3 done.”

4 15. On September 10, 2015 Class Counsel incorrectly represented to the Court in a
5 filing that “Further, none of the other 47 IPP firms has objected to Lead Counsel’s allocation of the
6 fees in the first instance.” (Dkt 4049, p. 1 line 1 13-14) (There were other misstatements on pages
7 5-6 as well.) I emailed Class Counsel and told him that statement in the filing was not true, that I
8 was objecting and had submitted my Declaration to him stating such. I asked him to file my
9 Declaration.

10 16. On September 23, 2015 Class Counsel filed their Motion for Award of Attorney
11 Fees, Reimbursement of Litigation Expenses, and Incentive Awards To Class Representatives,
12 included the \$22,000 figure request, but did not include my Declaration. On September 24, 2015 I
13 filed my Declaration requesting the reduced lodestar of \$172,363.45, not the figure stated in Class
14 Counsel’s Declaration In Support of the Motion for Award of Attorneys’ Fees, Reimbursement of
15 Litigation Expenses, and Incentive Awards to Class Representatives filed by Indirect Purchaser
16 Plaintiffs (*see* Dkt 4076).

17 17. Special Master Quinn had already been appointed on September 11, 2015 to
18 allocate the attorney fees in this matter. As part of the Co-Lead Counsel team in the *TFT-LCD*
19 matter wherein Special Master Quinn performed the same function of allocation, I was very
20 familiar with the process and knew there would be an opportunity to present my request to the
21 Special Master, and was comfortable with the process and procedure. Class Counsel also informed
22 me that Special Master Quinn had listened to and raised his allocation in *TFT-LCD*.

23 18. I have no desire to delay the settlement and in fact early on made a reasonable
24 request and offer to Class Counsel to work on and correct the errors in the Settlements, but was
25 rebuked from any discussion.

26 19. The Internet Archive is an organization which documents and saves for historic
27 purposes websites as they existed on a particular dates in the past. The [Internet Archive](http://www.archive.org), a

1 501(c)(3) non-profit, building a digital library of Internet sites and other cultural artifacts in digital
2 form. The Wayback Machine is an initiative of the Internet Archive. Attached hereto as **Exhibit E**
3 is a true and correct copy of excerpts of the CPT CRT Notice website as it existed on 11.1.11 and
4 was by me downloaded on 11.11.15 from the Internet Archive website <http://archive.org/web/> See
5 page 7 Question 17,

6 20. I do not know, and have no recollection of every meeting or speaking with attorney
7 Paul Justi, and deny ever working in concert with Mr. Paul Justi as implied in Class Counsel's
8 papers.

9
10 I declare under penalty of perjury that the foregoing is true and correct. Executed this 8th
11 day of December, 2015.

12 /s/ Theresa D. Moore by JL

13 Theresa D. Moore
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EXHIBIT A

EXHIBIT 1

IN RE CATHORADE RAY TUBE (CRT) ANTITRUST LITIGATION; MDL NO. 1917

TIME AND LODESTAR SUMMARY

INDIRECT PURCHASER PLAINTIFFS

| | | | |
|----------------|---------------------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | Inception through Present | | |

| Year | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | Hours | Lodestar |
|------|-----------|------|-----|-----|-----|-----|-----|-----|-----|------|-------|------|-----|-------|---------------|
| 2007 | \$ 695.00 | 2.0 | 0.0 | 1.5 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2.5 | 3.5 | 0.0 | 0.0 | 9.5 | \$ 6,602.50 |
| 2008 | \$ 725.00 | 17.5 | 2.0 | 1.1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2.0 | 56.1 | 0.0 | 0.0 | 78.7 | \$ 57,057.50 |
| 2009 | \$ 750.00 | 4.5 | 2.1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 7.5 | 7.4 | 1.3 | 0.0 | 22.8 | \$ 17,062.50 |
| 2010 | \$ 750.00 | 4.3 | 1.2 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 4.5 | 12.3 | 0.0 | 0.0 | 22.3 | \$ 16,687.50 |
| 2011 | \$ 750.00 | 2.8 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1.0 | 5.4 | 2.2 | 0.0 | 11.4 | \$ 8,550.00 |
| 2012 | \$ 750.00 | 5.4 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2.6 | 3.0 | 12.2 | 2.6 | 0.0 | 25.8 | \$ 19,312.50 |
| 2013 | \$ 775.00 | 5.1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2.5 | 2.5 | 8.7 | 1.0 | 0.0 | 19.8 | \$ 15,345.00 |
| 2014 | \$ 785.00 | 5.6 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 4.9 | 7.3 | 4.3 | 0.0 | 22.1 | \$ 17,348.50 |
| 2015 | \$ 795.00 | 2.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 3.0 | 0.0 | 9.1 | 4.0 | 0.0 | 18.1 | \$ 14,397.45 |
| | | 49.2 | 5.3 | 2.6 | 0.0 | 0.0 | 0.0 | 0.0 | 8.1 | 27.9 | 122.0 | 15.4 | 0.0 | 230.4 | \$ 172,363.45 |

STATUS:

(P) Partner
 (OC) Of Counsel
 (A) Associate
 (LC) Law Clerk
 (PL) Paralegal
 (I) Investigator

CATEGORIES:

1 Attorney Meeting/Strategy
 2 Court Appearance
 3 Client Meeting
 4 Draft Discovery Requests or Responses
 5 Deposition Preparation
 6 Attend Deposition - Conduct/Defend
 7 Document Review
 8 Experts - Work or Consult
 9 Research
 10 Motions/Pleadings
 11 Settlement
 12 Trial

EXHIBIT B

Theresa D. Moore (99978)
Attorney At Law
c/o **ALIOTO LAW FIRM**
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tmoore@aliotolaw.com

Counsel for Indirect Purchaser Plaintiffs

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

**IN RE: CATHODE RAY TUBE (CRT)
ANTITRUST LITIGATION**

Case No. 3:07-cv-5944
MDL No. 1917

CLASS ACTION

This Document Relates to:
All Indirect Purchaser Actions

**DECLARATION OF THERESA D. MOORE IN
SUPPORT OF PLAINTIFFS' APPLICATION
FOR ATTORNEYS' FEES, EXPENSES AND
INCENTIVE AWARDS**

Judge: Honorable Samuel Conti
Courtroom One, 17th Floor

1 I, Theresa Driscoll Moore, declare as follows:

2 1. I am an attorney licensed to practice before the courts of the State of California as
3 well as the United States District Courts for the Northern, Eastern and Central Districts of
4 California, as well as admitted Pro Hoc Vice in Federal Courts throughout the nation. I am Of
5 Counsel to the law firm of Alioto Law Firm, and make this Declaration in support of my own
6 personal work in this matter at bar, and not those of the Alioto Law Firm. Any further request for
7 expenses or attorneys' fees from the Alioto Law Firm do not include my work in this case. I have
8 personal knowledge of the facts stated in this declaration and, if called as a witness, I could and
9 would testify competently to them. I make this declaration in support of my own request for
10 attorneys' fees and reimbursement of litigation expenses, as set forth in Plaintiffs' Application for
11 Attorneys' Fees, Expenses and Incentive Awards.

12 2. I am counsel of record in this case, and represent plaintiffs Margaret Slagle, Mark
13 Pierce, Barbara Caldwell, Barry Kushner, Brian A. Luscher, Jerry Cook, Scott Friedson as well as
14 Indirect Purchaser Plaintiffs. All of the work I have performed as counsel in this case is mine
15 alone. A brief description of me and of my firm to which I am Of Counsel is attached as Exhibit 1
16 and incorporated herein by reference.

17 3. I have been a trial lawyer for 34 years, as a Deputy District Attorney prosecuting
18 cases on behalf of the State of California, and in civil litigation prosecuting antitrust cases in
19 Federal and State Courts almost exclusively on behalf of antitrust plaintiffs. To date I have been
20 lead and/or co lead counsel in approximately 130 trials to verdict, having practiced both criminal
21 and civil litigation. I began my antitrust career with the Alioto Law Firm of Joseph M. Alioto in
22 1981 when I participated in my first antitrust trial. I then practiced as a Deputy District Attorney in
23 San Francisco for seven and one half years until returning to advocacy teaching and practice of
24 civil antitrust litigation at the Alioto Law Firm, where I remain today. I am a trial attorney
25 specializing in advocacy, and my substantive legal focus includes antitrust, unfair competition,
26 business, consumer and complex class actions. A representative sampling of my antitrust and class
27 action cases follows in paragraph 9.

1 4. I am a Judge Pro Tem for the State of California San Francisco Superior Court,
2 since 1996.

3 5. I am a distinguished Professor Adjunct in Trial/Evidence Practice at University of
4 California Hastings College of the Law where I have been teaching trial advocacy since the 1995-
5 1996 academic year.

6 6. I have served on multiple occasions as Co-chair, Keynote Speaker, and Instructor at
7 the National College of Advocacy, Intensive Advocacy Program, and multiple other associations.

8 7. I have authored books and articles, the most recent were published this year in 2015
9 by Lexis Nexis and the National Institute of Trial Advocacy, consisting of two separate books on
10 trial practice, and a third book is slated for publication in 2016, all as part of a series of Trial
11 Practice books authored by me named "Trial By Fire".

12 8. I am a Certified Mediator and a Special Master. In my capacity as a negotiator and
13 mediator I have successfully mediated approximately 150 cases for many private and government
14 organizations, including the Federal Equal Employment Opportunity Commission, Multi-Option
15 ADR Project, State of California First District Court of Appeal, Conflicts Resolution Center, San
16 Francisco Superior Court and San Mateo County Courts, Bar and Community as a partial list.

17 9. I studied at the Sorbonne and Institut d'Études Politiques de Paris in Paris, France,
18 received my Bachelor of Arts from Santa Clara University, and my Juris Doctorate from
19 University of California Hastings College of the Law.

20 A representative sample of my antitrust and class action cases includes:

- 21 • *AD/SAT v. Associated Press, et al.*-- antitrust litigation alleging illegal
22 pricing U.S.D.C. S.D.N.Y.; Case No. 94-civ-6655 (PKL)
- 23 • *In Re: Airline Ticket Commission Antitrust Litigation*- antitrust class action
24 alleging that the airlines conspired to fix travel agents' commission rates
25 U.S.D.C. Minn Fourth Division; MDL Docket No. 1058 Master File No. 4-
26 95 107 (all actions combined)
- 27
- 28

- *AD/SAT v. McClatchy Newspapers, Inc.*-- antitrust litigation alleging and illegal pricing conspiracy U.S.D.C. for the Eastern District of California; Case No. CIV-S-95-1387 (DFL) (PAN)
- *Neve Brothers, et al. v. Potash Corporation of Saskatchewan, et al.*-- antitrust class action of indirect purchasers of potash. Sup. Ct. CA S.F. Case No. 959767; Ct. App. CA, 1st Dist..
- *Notz et al v Ticketmaster-Southern Californai, Inc. et al.* --antitrust consumer class action alleging a territorial allocation in violation of the Cartwright Act Sup. Ct. CA S.F. Case No. 943-327
- *Cosmetics Antitrust Litigation*--antitrust class action alleging that manufacturers of prestige cosmetics and retail department stores conspired to prevent discounting of cosmetics Sup. Ct CA Marin J.C.C.P. 4056; USDC N.D.CA No. 3:03 cv-03359 SBA
- *Automobile Antitrust Litigation I, II* JCCP 4298 and 4303—antitrust statewide class action by indirect purchasers alleging a price fixing conspiracy. Sup.Ct. CA S.F; J.C.C.P. Nos. 004298, 004303;U.S.D.C. for the District of Maine; MDL 03-md-1532
- *Clayworth, et al. v. Pfizer, Inc., et al.*--antitrust action by indirect purchaser retail pharmacists alleging a price fixing conspiracy against major drug manufacturers Sup. Ct. CA Alameda Case No. 04172428
- *In Re Nasdaq Market Makers Antitrust Litigation* --antitrust nationwide class action alleging a price fixing conspiracy MDL 1023 94 Civ. 3996 (RWS)

- *Breaux v Agency Rent a Car*; --class action of employees of rental car company. *USDC N.D. CA*
- *In Re Tableware Litigation*-- antitrust action alleging illegal pricing and boycott U.S.D.C. Case No. C-04-3514 VRW
- *Tam Travel et al. v. Delta Airlines et al*-- antitrust action of opt outs from a class action alleging that the airlines conspired to fix travel agents' commission rates
- *In Re Verizon Wireless Data Charges Litigation*-- antitrust action alleging an illegal scheme of charging for data on mobile devices. *USDC 3:10-cv-01749*
- *In Re Optical Disc Drive Antitrust Litigation (ODD)*-- antitrust action alleging illegal conspiracy to fix the prices of optical disc drives used in electronic devices such as monitors and computers and other devices. *USDC ND CA Case No. MDL 02143*
- *Malaney v. United Airlines, Inc.*-- action under the Clayton Act alleging an illegal combination and merger of airlines *USDC ND CA Case No: 12-15182*
- *D' Augusta v. Northwest Airlines, Inc. and Delta Air Lines, Inc.*,--action under the Clayton Act alleging an illegal combination and merger of airlines *USDC ND CA 3:08-cv-3007*
- *In re Aftermarket Filters Antitrust Litigation*--antitrust action alleging illegal conspiracy of price fixing for replacement motor vehicle oil, fuel and engine air filters. *USDC ND ILL 1:08-cv-04883*

- *Wayne Taleff, et al v. Southwest Airlines Co., et al.*,-- action under the Clayton Act alleging an illegal combination and merger of major airlines
USDC NDCA 11-16173
- *Credit/Debit Card Tying Cases*-- represented the inventor of the credit card system as an objector to a class action settlement through a successful appeal California Superior Court, San Francisco J.C.C.P. 4335
- *RP Healthcare, Inc., et al. v. Pfizer, Inc., et al.*,--antitrust action alleging an illegal scheme to pay generics to not produce a drug in order to illegally keep the price at supracompetitive levels. (*USDC NJ MDL No. 3:12-cv-05129*)
- *Fjord et al In Re AMR Corporation*, --antitrust Action for violations of Section 7 of the Clayton Antitrust Act *Case No: 11-15463*
- *In re TFT-LCD (Flat Panel) Antitrust Litigation*-- Co lead counsel; antitrust action alleging illegal conspiracy to fix the prices of LCDs used in TVs, tablets, and computer monitors *USDC ND CA Case No. MDL 1827*
- *In Re Lipitor Antitrust Litigation*, --antitrust action of horizontal market allocations in the form of reverse payments to generics. (USDC) *MDL No. 2332*

10. Throughout the course of this litigation, I have kept files contemporaneously documenting all time spent, including tasks performed, and expenses incurred, and have transmitted time reports to Lead Counsel. All of the time and expenses reported were incurred for the benefit of the Indirect Purchaser Plaintiffs (“IPPs”).

11. During the course of this litigation, I have been involved in the following tasks and activities on behalf of the IPPs. All of this work was assigned and/or approved by Lead Counsel.

1 I have been involved in the CRT litigation from the earliest stages, including the initial
2 investigation of claims, research of the industry, and monitoring of the MDL process. My firm
3 interviewed clients and reviewed documentation in support of their claims. We kept them apprised
4 of developments throughout the case. From the beginning we have advised and counseled
5 regarding strategy and tactics of the litigation including areas of discovery, motion practice, trial,
6 and prospectively for administration of claims. I have drafted underlying complaints and motions,
7 and monitored all developments in the case for our clients. All consultation and review of
8 documents was performed to fulfill a current or expected project or role in the case, including
9 drafting, revising, and advising on memoranda, evidence and witnesses. I communicated with lead
10 and co counsel regarding status of the case, strategy of the case, assignments, discovery, witnesses,
11 law, motions, upcoming deadlines, and I investigated historical, financial and business research on
12 defendants.

13 12. The schedule attached as Exhibit 2, and incorporated herein, is a detailed summary
14 of the amount of time spent by me alone and does not reflect the work or expenses of others. It
15 does not include any time devoted to preparing this declaration or otherwise pertaining to the Joint
16 Fee Petition. The lodestar calculation is based on figures lower than my historical billing rates in
17 effect at the time services were performed. Exhibit 2 was prepared from contemporaneous time
18 records regularly prepared and maintained, and I authorize them to be submitted for inspection by
19 the Court if necessary. The hourly rates were, at the time the work was performed, and are
20 currently, lower than the usual and customary hourly rates charged for my services in similar
21 complex litigation. In Exhibit 2 I have charged a rate reduced from my usual and customary rate at
22 the time.

23 13. The total number of hours reasonably expended and presented herein on this
24 litigation by me from inception to May 31, 2015 is 230.4 hours. The total lodestar at historical
25 rates is \$172,363.45. The total lodestar at current rates is \$183,168.00. Expense items are not
26 duplicated in my lodestar.

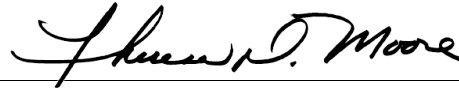
27 14. The expenses the firm incurred in litigating this action are reflected in the books
28

1 and records of the firm. These books and records are prepared from expense vouchers, invoices,
2 receipts, check records and other source materials and accurately reflect the expenses incurred.
3 The firm's expense records are available for inspection by the Court if necessary.

4 15. My firm to which I am Of Counsel incurred unreimbursed expenses, all of which
5 were reasonable and necessary for the prosecution of this litigation, and the firm may request such
6 reimbursement separate and apart from me.

7 16. Herein, I am not requesting reimbursement of any expenses.

8
9 I declare under penalty of perjury that the foregoing is true and correct. Executed this 21st
10 day of August 2015, in San Francisco, CA.

11 

12 Theresa Driscoll Moore
13 Attorney At Law
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EXHIBIT 1



UC HASTINGS
COLLEGE OF THE LAW

Theresa Driscoll Moore, Adjunct Faculty

Adjunct Professor Theresa Moore was born and raised in San Francisco, California. She received her Bachelor of Arts from the University of Santa Clara in 1978 and her Juris Doctor from the University of California, Hastings College of the Law in 1981. She attended and studied political science/communications and language at UCLA and at the Sorbonne in Paris, France.

Professor Moore has been practicing law since 1981 and has been lead trial attorney in over 100 trials, both jury and court trials. She has practiced civil litigation in private practice and criminal litigation as a San Francisco District Attorney. Her present practice includes complex litigation, antitrust, consumer, employment, business, and juvenile. Having tried so many cases, both criminal and civil, she is intimately familiar with the court and litigation process. In addition to practicing civil litigation, Professor Moore is a Judge Pro Tem and a California Certified Mediator, as well as being a member of several Continuing Legal Education faculties. She has developed a special insight and unique ability in litigation by having participated in cases from all perspectives in her legal litigation career.

In addition, Professor Moore is very active as a volunteer in the San Francisco community, for both legal and charitable organizations. She lives with her husband, who is also a San Francisco native, and their three children in San Francisco. Her offices are located in the San Francisco financial district.

Theresa D. Moore

Ms. Moore specializes in trial practice and mediation. Her substantive legal focus includes antitrust, unfair competition, business, consumer and complex class actions. Ms. Moore has been representing antitrust plaintiffs with the Alioto Law Firm since 1981. She has been lead counsel in over 100 trials, including civil and criminal trials as a Deputy District Attorney in San Francisco.

Ms. Moore is a Judge Pro Tem for the State of California in San Francisco Superior Court. She is a distinguished Professor Adjunct in Trial Advocacy and Evidence at the University of California, Hastings College of Law. She has served as keynote speaker and co-chair for the National College of Advocacy.

Ms. Moore is also a California Certified Mediator and Special Master. She has successfully mediated approximately 150 cases for private and government organizations, including the Federal Equal Employment Opportunity Commission, Multi-Option ADR Project, State of California First District Court of Appeal, Conflicts Resolution Center, San Francisco Superior Court, and the San Mateo County Courts.

Ms. Moore received her Juris Doctor from the University of California, Hastings College of the Law in 1981. She received her Bachelor of Arts from the University of Santa Clara in 1978.

Ms. Moore is admitted to practice in the State of California, as well as the United States District Courts for the Northern, Eastern and Central Districts of California.

A representative sample of Ms. Moore's class action and antitrust cases includes:

AD/SAT v. Associated Press, et al.-- antitrust litigation alleging illegal pricing
U.S.D.C. S.D.N.Y.; Case No. 94-civ-6655 (PKL)

In Re: Airline Ticket Commission Antitrust Litigation- antitrust class action alleging that the airlines conspired to fix travel agents' commission rates
U.S.D.C. Minn Fourth Division; MDL Docket No. 1058 Master File No. 4-95-107 (all actions combined)

AD/SAT v. McClatchy Newspapers, Inc.-- antitrust litigation alleging and illegal pricing conspiracy U.S.D.C. for the Eastern District of California; Case No. CIV-S-95-1387 (DFL) (PAN)

Neve Brothers, et al. v. Potash Corporation of Saskatchewan, et al.-- antitrust class action of indirect purchasers of potash.
Sup. Ct. CA S.F. Case No. 959767; Ct. App. CA, 1st Dist..

Notz et al v Ticketmaster-Southern Californai, Inc. et al. --antitrust consumer class action alleging a territorial allocation in violation of the Cartwright Act
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Cosmetics Antitrust Litigation--antitrust class action alleging that manufacturers of prestige cosmetics and retail department stores conspired to prevent discounting of cosmetics Sup. Ct CA Marin J.C.C.P. 4056; USDC N.D.CA No. 3:03 cv-03359 SBA

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Clayworth, et al. v. Pfizer, Inc., et al.--antitrust action by indirect purchaser retail pharmacists alleging a price fixing conspiracy. against major drug manufacturers
Sup. Ct. CA Alameda Case No. 04172428

In Re Nasdaq Market Makers Antitrust Litigation --antitrust nationwide class action alleging a price fixing conspiracy MDL 1023 94 Civ. 3996 (RWS)

Breaux v Agency Rent a Car; USDC N.D. CA--class action of employees of rental car company

In Re Tableware Litigation; antitrust action alleging illegal pricing and boycott U.S.D.C. Case No. C-04-3514 VRW



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Antitrust - Unfair Competition - Complex Litigation
United States and Foreign Markets

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- Mission -

The **Alioto Law Firm** is a true architect in the Antitrust arena. Its founder *Joseph M. Alioto* is a pioneer in prosecuting private plaintiff's antitrust actions. The Firm was founded upon a vision that competition is not a privilege, but rather a right of all businesses and individuals, great and small; and the right of all consumers to have the benefits of free competition. The Alioto Law Firm is steeped in a legacy of innovation, excellence, and ultimately, success and is committed to pursuing this proud tradition of equality and opportunity in the marketplace.

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- History -

Joseph M. Alioto is an experienced trial attorney with an unprecedented successful legacy of antitrust actions in the United States. Joseph M. Alioto has represented plaintiffs in some of the largest monetary judgments in the history of the Antitrust Laws and has argued before the United States Supreme Court. ▲▼

Mr. Alioto received his Juris Doctorate from the University of San Francisco School of Law in 1968, was admitted to practice before the Supreme Court of California in January 1969 and since that time has become a nationally acclaimed litigator. He has argued antitrust cases and is a member of or was admitted pro hac vice to the following United States Courts of Appeal for the following circuits: the First Circuit in Boston, Massachusetts; the Second Circuit in New York, New York; the Third Circuit in Philadelphia, Pennsylvania; the Fourth Circuit in Richmond, Virginia; the Fifth Circuit in New Orleans, Louisiana; the Sixth

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- History -

Circuit in New York, New York; the Third Circuit in Philadelphia, Pennsylvania; the Fourth Circuit in Richmond, Virginia; the Fifth Circuit in New Orleans, Louisiana; the Sixth Circuit in Cincinnati, Ohio; the Eighth Circuit in St. Louis, Missouri; the Ninth Circuit in San Francisco, Los Angeles and Pasadena, California; the Tenth Circuit in Denver, Colorado and Oklahoma City and appeals in antitrust decisions from Georgia before it split into the Eleventh Circuit. He has prosecuted private antitrust actions in which he is either a member or was admitted pro hac vice in the following United States District Courts: San Francisco, Sacramento, Los Angeles and San Diego, California; Boston, Massachusetts; New York, New York; Philadelphia, Pennsylvania; Newark and Trenton, New Jersey; Wilmington, Delaware; Alexandria, Virginia; Washington, D.C.; Lexington, Kentucky; Atlanta, Georgia; New Orleans, Louisiana; Dallas, Fort Worth, Amarillo, Sherman, Austin, San Antonio and Laredo, Texas; St. Louis, Missouri; Minneapolis, Minnesota; Chicago, Illinois; Detroit, Michigan; Cleveland/Youngstown, Ohio; Oklahoma City and Tulsa, Oklahoma; Wichita,



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- History -

New Orleans, Louisiana; Dallas, Fort Worth, Amarillo, Sherman, Austin, San Antonio and Laredo, Texas; St. Louis, Missouri; Minneapolis, Minnesota; Chicago, Illinois; Detroit, Michigan; Cleveland/Youngstown, Ohio; Oklahoma City and Tulsa, Oklahoma; Wichita, Kansas; Albuquerque, New Mexico; Denver, Colorado; Salt Lake City, Utah; Boise, Idaho; Seattle/Tacoma, Washington; Portland, Oregon; Phoenix, Arizona; Las Vegas and Reno, Nevada; and Honolulu, Hawaii. He has also prosecuted antitrust cases in the state courts.

In his distinguished career Joseph M. Alioto has represented independent companies and corporations of all sizes, farmers, inventors, patent holders, manufacturers, suppliers, wholesalers, retailers, distributors, unions, consumers, and entrepreneurs against foreign as well as domestic corporations. The cases the Alioto Law Firm has tried have involved every major industry from manufacturing to service, trading to finance, supply to retail, transportation to mining, foreign and domestic.

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- C a s e s -

The Alioto Law Firm has engaged over 350 antitrust cases, and has tried approximately one hundred antitrust trials in federal and state court involving issues relating to monopolization, attempts to monopolize, conspiracies to monopolize, price fixing, group boycotts, market and customer allocations, tie-in arrangements, exclusive dealing, commercial bribery, unlawful acquisitions and mergers, discriminatory pricing, predatory pricing, interlocking directorates, and other anti-competitive conduct.

These trials averaged between three weeks and seven months.

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- I n d u s t r i e s -

The Alioto Law Firm's more than 350 antitrust cases have involved a number of industries including accounting, advertising, agricultural seeds and products, airlines, aluminum, appraisals, asphalt, automobiles, banking, barges, beef, beer, beets, brokerage, cable, casino advertising, cement, compact discs, computer batching, computers, construction, consumer electronic products, copyrights, corn wetmilling, cosmetics, crude oil, custom manufacturing, distilled spirits, distribution, electronic thermometers, electronics, entertainment, farm equipment, football, fructose, glass, golf and other sports restrictions, hardware and software, healthcare, heavy piping, hogs, hospitals, ice cream, insurance, intellectual property, international trading in commodities, iron production and distribution, lamb, livestock, medical equipment, medical insurance, medical supplies, minerals, milk, mining, mortgage banking, movie production, distribution and exhibition, moving and storage, network electronics, newspapers, oil, optic fibers, overhead doors, pacemakers, patents, peripheral manufacturers,



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newspapers, oil, optic fibers, overhead doors, pacemakers, patents, peripheral manufacturers, pharmaceuticals, photo-finishing, physicians, plastics, potatoes, potash, potato chips, processing, professionals and professional services, publishing, railroads, real estate, rental cars, retailing, refined oil, rendering, satellites manufacturing, services and equipment, securities, shipping, ship-to-shore telecommunication and satellites, soda ash, soft drinks, software, steam shipping, steel production and distribution, sugar, sugar beets, tax preparation, telephone, telecommunication, theaters, thoroughbred horses, tickets, tobacco, trademarks, trading, transcutaneous electronic nerve stimulator, travel industries, trucking, VCR's, veterinarians, wheat, wine, wool, and others.

Of these cases approximately 100 to 150 were published (mostly appeals).

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- Consulting & Testimony -

CONSULTING

With its great depth and diverse experience in the antitrust arena, The Alioto Law Firm provides knowledgeable and practical advice on complex antitrust and unfair competition matters to both domestic and international clients. Joseph M. Alioto frequently consults on strategy and tactics for both plaintiffs as well as defendants and serves as a member on a number of boards.

TESTIMONY

Due to Joseph M. Alioto's national recognition as one of the leading antitrust attorneys in the United States, he is frequently asked to give testimony related to pending antitrust legislation. He has done so before the Judiciary Committee of the United States Senate, the Judiciary and Maritime Committees of the United States House of Representatives, the Judiciary Committee of the Senate and Assembly of the State of California.

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- Consulting & Testimony -

Mr. Alioto has also had national televised debates with United States Antitrust Division Chiefs, including William Baxter, Assistant Attorney General, Antitrust Division, on CNN, and Charles Rule, Assistant Attorney General, Antitrust Division on FNN, as well as being a guest on national programs such as "Hard Ball" with Christopher Matthews, the McNeil Lehrer Report and others. ▲▼

Mr. Alioto has given dozens of lectures at American Bar Associations, State Bar Associations, law schools, universities and symposiums on the antitrust laws. His topics have varied from practical instruction, "How to Try an International Antitrust Case," to philosophical underpinnings of the antitrust laws, "Adam Smith Antitrust and the United States."

Mr. Alioto is frequently interviewed on radio and television and quoted in the written media, including national magazines and major national newspapers (*USA Today* and *Wall*

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Mr. Alioto is frequently interviewed on radio and television and quoted in the written media, including national magazines and major national newspapers (*USA Today and Wall Street Journal*) and major metropolitan newspapers throughout the country (i.e., *New York Times, Chicago Sun Times, Los Angeles Times, San Francisco Chronicle and Examiner, Washington Post, Dallas Morning News, Atlanta Constitution, Miami Herald, Denver Post, Boston Globe*)

TOP OF PAGE

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ALIOTO LAW FIRM

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(415) 434-8900 • FAX (415) 434-9200

Joseph M. Alioto

Joseph M. Alioto has prosecuted private antitrust cases for the last forty-six years. He has tried and won more or as many antitrust cases as any other attorney in the country. He has represented farmers, independent companies, inventors, patent holders, manufacturers, suppliers, wholesalers, retailers, distributors, unions, consumers, and entrepreneurs against foreign as well as domestic corporations. Mr. Alioto's cases involve every major industry from manufacturing to service, trading to finance, supply to retail, transportation to mining, foreign and domestic.

COURT MEMBERSHIP

Mr. Alioto has argued antitrust appeals on behalf of plaintiffs in the United States Supreme Court and all twelve Circuit Courts of Appeals. He has represented antitrust plaintiffs in federal court in almost every state in the country. He has appeared before the California Supreme Court, every California appellate district, and has tried cases in every major California city.

- United States Supreme Court

- United States Court of Appeals for the First Circuit
- United States Court of Appeals for the Second Circuit
- United States Court of Appeals for the Third Circuit
- United States Court of Appeals for the Fourth Circuit
- United States Court of Appeals for the Fifth Circuit
- United States Court of Appeals for the Sixth Circuit
- United States Court of Appeals for the Seventh Circuit
- United States Court of Appeals for the Eighth Circuit
- United States Court of Appeals for the Ninth Circuit
- United States Court of Appeals for the Tenth Circuit
- United States Court of Appeals for the Eleventh Circuit
- United States Court of Appeals for the District of Columbia Circuit

- United States District Courts, Pro Hac Vice: Mr. Alioto has tried antitrust cases in the federal District Courts in some forty states including courts in New York, New York

Boston, Massachusetts; Atlanta, Georgia; Minneapolis, Minnesota; Wichita Kansas; Fort Worth, Dallas, and Amarillo, Texas; Las Vegas and Reno, Nevada; Boise, Idaho; Seattle/Tacoma, Washington; Salt Lake City, Utah; Honolulu, Hawaii; Phoenix, Arizona; Albuquerque, New Mexico; Philadelphia, Pennsylvania; and others.

ANTITRUST CASES SINCE 1969

Since 1969, Mr. Alioto has represented antitrust plaintiffs in more than 300 cases involving various industries, including:

| | | | |
|--------------------|-------------------|--------------------|---------------------|
| Accounting | Computers | Mining | Satellites Services |
| Advertising | Construction | Mortgage Banking | and Equipment |
| Agriculture | Consumer | N.F.L. Football | Shipping |
| Air Transportation | Electronics | Newspapers | Soda Ash |
| Aluminum | Corn Wet Milling | Oil | Soft Drinks |
| Appraising | Cosmetics | Optic Fibers | Steam Shipping |
| Automobiles | Distilled Spirits | Overhead Doors | Telecomm. |
| Barges | Distribution | Pacemakers | Tickets |
| Cable Television | Farm Equipment | Peripheral Mftring | Trading |
| Cattle Ranching | Glass | Photo-Finishing | Travel Industries |
| Compact Discs | Health Care | Potash | Trucking |
| Computer | Hospitals | Potatoes | Wheat |
| Hardware | Ice Cream | Processing | Wool Mftring |
| Computer | Insurance | Professional Svcs. | and others |
| Network | Livestock | Publishing | |
| Computer | Medical Devices | Rendering | |
| Software | Milk | Retailing | |

ANTITRUST TRIALS

Mr. Alioto has tried and won more or as many antitrust cases as any attorney in the country. A sampling of his trials, which last anywhere from three weeks to seven months, include the following:

Gary v. Shell (Oil)
Hallmark v. Reynolds (Alumina)
Treasure Valley Potato Growers v. Ore-Ida (Potatoes)
Fulhurst v. Maytag (electronic ticketing)

Chisholm v. International Harvester (farm equipment)
Kohn v. Maricopa Rendering (rendering)
De Voto v. Bankers Mortgage (mortgage banking)
Janich v. American Distilling (distilled spirits)
Bray v. Safeway Stores (cattle/beef)
Cointronics v. Burroughs (computer processing)
TwoDoor v. Overhead Door (electronic doors)
Kaplan v. Burroughs (computer processing)
Aloha Airlines v. Hawaiian Airlines (passenger air transportation)
Bubble-Up v. Coca-Cola (soft drinks)
Selton v. PT&T (yellow page publishing)
Dimmitt v. CPC International (corn wet milling)
Broadway v. UPS (delivery/consolidation service)
McDonald v. Johnson & Johnson (TENS/pacemakers)
Rickards v. CERF (veterinarians)
Adams Construction (construction)
Ringsby v. Consolidated Freightways (long haul trucking)
Lightwave v. Corning (optic fiber)
Metropolitan News v. Daily Journal (newspaper publishing)
Las Vegas Sun v. Summa Corp. (advertising/publishing)
Syufy v. American Theaters (motion picture production and exhibition)
Forro v. IBM (computer/peripheral manufacturers)
Amec v. Johnson & Johnson (electronic medical equipment)
Filco v. Amana (consumer electronic sales)
United States v. Rice Growers (rice)
Go-Video v. Matsushita (VCRs)
Blue Cross v. HCA (hospitals/insurance)
Southern Publishing v. Leshner Publishing (newspapers)
Rao v. S/T Hospitals (physicians)
Los Angeles Raiders v. NFL (professional football)
Baldareli v. H&R Block (tax preparation)
Latian v. Banco do Brasil (foreign finance)
Anderson v. Deloitte, Touche & Ross (accounting)
Montreal Trading v. MIC (international potash trading)
King v. National Benevolent Association (real estate)
Reilly v. Hearst Newspaper Corporation (newspapers)
Raiders v. National Football League (football)
IREF v. Arthur Andersen (accounting)

TRIALS OF SPECIAL NOTE

Mr. Alioto has twice set the record for the largest judgments in the history of the Antitrust Laws:

- *Bray v. Safeway*, largest judgment in the history of the antitrust laws up to that date (1974, \$32 million).
- *McDonald v. Johnson & Johnson*, largest judgment for individuals in the history of the antitrust laws up to that date (1981, \$170 million).

LECTURES ON ANTITRUST

Approximately 10-20 at American Bar Association, State Bar Associations, Law Schools, Symposia, etc. Topics have varied from practical instruction (*e.g.* “How To Try An International Antitrust Case”) to philosophical underpinning of the antitrust laws (*e.g.* “Adam Smith, Antitrust, and the United States.”)

CONGRESSIONAL TESTIMONY

- United States Senate, Judiciary Committee; United States Congress, Judiciary, Maritime Committees; California Senate
- United States Senate Judiciary Committee hearing regarding “Consolidation in the Oil and Gas Industry: Raising Prices?”

TELEVISED DEBATES WITH REAGAN/BUSH ADMINISTRATION DEPARTMENT OF JUSTICE CHIEFS

- William Baxter, Assistant Attorney General, Antitrust Division; CNN
- Charles Rule, Assistant Attorney General, Antitrust Division; FNN

ANTITRUST CASES REPORTED

The following is a list of Mr. Alioto’s cases that have been published in official reporters, and it therefore represents only a small fraction of his historical case file.

1. *Texaco Inc. v. Dagher*, Nos. 04-805 & 04-814, SUPREME COURT OF THE UNITED STATES, 547 U.S. 1 (2006).
2. *AD/SAT v. AP*, Docket No. 96-7304, UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT, 181 F.3d 216 (1999)
3. *Alpha Lyracom Space Communs. v. COMSAT Corp.*, Docket No. 96-9283, UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT, 113 F.3d 372 (1997).
4. *Am. Channel, LLC v. Time Warner Cable, Inc.* , Civil No. 06-2175 (DWF/SRN) , UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MINNESOTA , 2007 U.S. Dist. LEXIS 3484 (2007).
5. *Assigned Container Ship Claims, Inc. v. American President Lines, Ltd.*, No. 85-1978, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 784 F.2d 1420 (1986).
6. *AT&T Corp. v. JMC Telecom, LLC*, No. 05-1304 , UNITED STATES COURT OF APPEALS FOR THE THIRD CIRCUIT , 470 F.3d 525 (2006).
7. *Azizian v. Federated Dep't Stores, Inc.*, No. C 03-3359 SBA , UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA (2006).
8. *Betz v. Trainer Wortham & Co.*, No. 05-15704, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT (2007).
9. *Black v. Acme Markets, Inc.*, No. 76-3040, UNITED STATES COURT OF APPEALS FOR THE FIFTH CIRCUIT, 564 F.2d 681 (1977).
10. *Cinema Service Corp. v. Twentieth Century-Fox Film Corp.*, Civ. A. No. 77-265 B, UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF PENNSYLVANIA, 477 F. Supp. 174 (1979).
11. *CSY Liquidating Corp. v. Harris Trust & Sav. Bank*, No. 96 C 1216, UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS, EASTERN DIVISION (1998).

12. *Dollar Rent A Car Systems, Inc. v. Hertz Corp.*, No. C-75-2650-CBR, UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA, 434 F. Supp. 513 (1977).
13. *EEOC v. Local Union No. 38*, Civil Action No. C 73-0645 SC., United States District Court for the Northern District of California. (1981).
14. *Enron Corp. Secs. v. Enron Corp.*, MDL-1446, CIVIL ACTION NO. H-01-3624, CONSOLIDATED CASES , UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS, HOUSTON DIVISION , 439 F. Supp. 2d 692 (2006).
15. *Feinstein v. Nettleship Co. of Los Angeles*, Nos. 77-3998, 82-5698, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 714 F.2d 928 (1983).
16. *Gibson v. Greater Park City Co.*, Civil No. C-81-0823W., United States District Court for the District of Utah, Central Division. (1984).
17. *Golden State Transit Corp. v. Los Angeles*, CA No. 83-5903, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 726 F.2d 1430 (1984).
18. *Go-Video v. Motion Picture Ass'n of Am.* (In re Dual-Deck Video Cassette Recorder Antitrust Litig.), No. 92-15967, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 10 F.3d 693 (1993).
19. *Go-Video, Inc. v. Akai Electric Co.*, No. 88-2900, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 885 F.2d 1406 (1989).
20. *High Tech. Careers v. San Jose Mercury News*, CIVIL NO. 90-20579 SW, UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA (1994).
21. *Hilo v. BP Exploration & Oil*, No. 95-56545, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT (1997).
22. *Hilo v. Exxon Corp.*, No. 92-56496, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 997 F.2d 641 (1993).

23. *Horizons International, Inc. v. Baldrige*, Nos. 86-1135, 86-1144, UNITED STATES COURT OF APPEALS FOR THE THIRD CIRCUIT, 811 F.2d 154 (1987).
24. *In re Airport Car Rental Antitrust Litigation*, No. 81-4399, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 693 F.2d 84 (1982).
25. *In re Beef Industry Antitrust Litigation*, MDL No. 248, UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF TEXAS, DALLAS DIVISION, 542 F. Supp. 1122 (1982).
26. *In re Municipal Bond Reporting Antitrust Litigation*, No. 80-2012, UNITED STATES COURT OF APPEALS, FIFTH CIRCUIT, 672 F.2d 433 (1982).
27. *In re Tableware Antitrust Litig.*, No C-04-3514 VRW , UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA (2007).
28. *J.T. Gibbons, Inc. v. Crawford Fitting Co.*, Civ. A. No. 79-1127, UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF LOUISIANA, 565 F. Supp. 167 (1981).
29. *Jacobs v. G. Heileman Brewing Co.*, Civil Action No. 82-736, UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE, 551 F. Supp. 639 (1982).
30. *Johnston v. IVAC Corp.*, No. 88-1639, UNITED STATES COURT OF APPEALS FOR THE FEDERAL CIRCUIT, 885 F.2d 1574 (1989).
31. *Kalmanovitz v. G. Heileman Brewing Co.*, No. 84-5682, UNITED STATES COURT OF APPEALS FOR THE THIRD CIRCUIT, 769 F.2d 152 (1985).
32. *Lec Tec Corp. v. Johnson & Johnson*, Civil No. 3-81-644; Civil No. 4-79-189., United States District Court for the District of Minnesota, Fourth Division (1982).
33. *Los Angeles Memorial Coliseum Comm'n v. National Football League*, Nos. 83-5907, 83-5908, 83-5909, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 791 F.2d 1356 (1986).
34. *McDonald v. Johnson & Johnson*, Civ. No. 4-79-189, UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MINNESOTA, FOURTH DIVISION, 537 F. Supp. 1282 (1982)

35. *Montreal Trading, Ltd. v. Amax, Inc.*, No. 79-1999, UNITED STATES COURT OF APPEALS, TENTH CIRCUIT, 661 F.2d 864 (1981).
36. *National Ass'n of Review Appraisers & Mortgage Underwriters v. Appraisal Found.*, No. 94-2689, No. 94-3074, UNITED STATES COURT OF APPEALS FOR THE EIGHTH CIRCUIT, 64 F.3d 1130 (1995).
37. *Newby v. Enron Corp. (In re Enron Corp. Secs., Derivative & ERISA Litig.)*, MDL-1446, CIVIL ACTION NO. H-01-3624 CONSOLIDATED CASES , UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS, HOUSTON DIVISION (2007).
38. *Omni Resource Dev. Corp. v. Conoco, Inc.*, No. 82-4615, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 739 F.2d 1412 (1984).
39. *Ostrofe v. H. S. Crocker Co.*, No. 77-3985, UNITED STATES COURT OF APPEALS, NINTH CIRCUIT, 670 F.2d 1378 (1982).
40. *Overhead Door Corp. v. Nordpal Corp.*, No. 4-75-Civ. 523., United States District Court for the District of Minnesota, Fourth Division. (1978).
41. *Pabst Brewing Co. v. Kalmanovitz*, Civil Action No. 82-711, UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE, 551 F. Supp. 882 (1982).
42. *Paramount Film Distributing Corp. v. Civic Center Theatre, Inc.*, Nos. 7565, 7566, 7573, 7585, UNITED STATES COURT OF APPEALS TENTH CIRCUIT, 333 F.2d 358 (1964).
43. *Phototron Corp. v. Eastman Kodak Co.*, No. 88-1128, UNITED STATES COURT OF APPEALS FOR THE FIFTH CIRCUIT, 842 F.2d 95 (1988).
44. *Reazin v. Blue Cross & Blue Shield, Inc.*, No. 85-6027-K, UNITED STATES DISTRICT COURT FOR THE DISTRICT OF KANSAS, 663 F. Supp. 1360 (1987).
45. *Reilly v. Medianews Group, Inc.*, No. C 06-04332 SI , UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA (2007).

46. *Spanish International Communications Corp., SIN, Inc. v. Leibowitz*, Case No. 84-0655-Civ-Aronovitz, UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF FLORIDA, 608 F. Supp. 178 (1985).
47. *Stratmore v. Goodbody*, No. 88-5130, UNITED STATES COURT OF APPEALS FOR THE SIXTH CIRCUIT, 866 F.2d 189; 1989 U.S. App. LEXIS 492 (1989).
48. *Syufy Enterprises v. National General Theatres, Inc.*, No. 76-2003, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 575 F.2d 233 (1978).
49. *Thornhill Pub. Co. v. General Tel. & Electronics Corp.*, No. 76-3428, UNITED STATES COURT OF APPEALS, NINTH CIRCUIT, 594 F.2d 730 (1979).
50. *Transeuro Amertrans Worldwide Moving & Relocations, Ltd. v. Conoco, Inc.*, No. 02-5174 , UNITED STATES COURT OF APPEALS FOR THE TENTH CIRCUIT , 95 Fed. Appx. 288 (2004).
51. *Traweek v. San Francisco*, No. C 83-5640 TEH, UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA, 659 F. Supp. 1012 (1985).
52. *Turner v. Johnson & Johnson*, Civil Action No. 79-2259-MC, UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MASSACHUSETTS, 549 F. Supp. 807 (1982).
53. *Turner v. Johnson & Johnson*, Nos. 86-1211, 86-1212, UNITED STATES COURT OF APPEALS FOR THE FIRST CIRCUIT, 809 F.2d 90 (1986).
54. *Unioil, Inc. v. E.F. Hutton & Co.*, No. 85-6024, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 809 F.2d 548 (1986).
55. *United Ass'n Local 38 Pension Trust Fund v. Aetna Cas. & Sur. Co.*, No. 84-2667, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 790 F.2d 1428 (1986).
56. *United States v. G. Heileman Brewing Co.*, Civil Action No. 82-750, UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE, 563 F. Supp. 642 (1983).

57. *Unocal Corp. v. Kaabipour*, No. 97-56324, No. 98-56216, No. 98-56631, No. 98-56365, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 177 F.3d 755 (1999).
58. *Wang & Wang, LLP v. Banco Do Brasil, S.A.*, No. Civ. S-06-00761 DFL KJM , UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF CALIFORNIA (2007).
59. *Zinser v. Continental Grain Co.*, Nos. 79-2296, 79-2310, UNITED STATES COURT OF APPEALS, TENTH CIRCUIT, 660 F.2d 754 (1981).

Theresa D. Moore

Ms. Moore specializes in trial practice and mediation. Her substantive legal focus includes antitrust, unfair competition, business, consumer and complex class actions. Ms. Moore has been representing antitrust plaintiffs with the Alioto Law Firm since 1981. She has been lead counsel in over 100 trials, including civil and criminal trials as a Deputy District Attorney in San Francisco.

Ms. Moore is a Judge Pro Tem for the State of California in San Francisco Superior Court. She is a distinguished Professor Adjunct in Trial Advocacy and Evidence at the University of California, Hastings College of Law. She has served as keynote speaker and co-chair for the National College of Advocacy.

Ms. Moore is also a California Certified Mediator and Special Master. She has successfully mediated approximately 150 cases for private and government organizations, including the Federal Equal Employment Opportunity Commission, Multi-Option ADR Project, State of California First District Court of Appeal, Conflicts Resolution Center, San Francisco Superior Court, and the San Mateo County Courts.

Ms. Moore received her Juris Doctor from the University of California, Hastings College of the Law in 1981. She received her Bachelor of Arts from the University of Santa Clara in 1978.

Ms. Moore is admitted to practice in the State of California, as well as the United States District Courts for the Northern, Eastern and Central Districts of California.

A representative sample of Ms. Moore's class action and antitrust cases includes:

AD/SAT v. Associated Press, et al.-- antitrust litigation alleging illegal pricing
U.S.D.C. S.D.N.Y.; Case No. 94-civ-6655 (PKL)

In Re: Airline Ticket Commission Antitrust Litigation- antitrust class action alleging that the airlines conspired to fix travel agents' commission rates
U.S.D.C. Minn Fourth Division; MDL Docket No. 1058 Master File No. 4-95-107 (all actions combined)

AD/SAT v. McClatchy Newspapers, Inc.-- antitrust litigation alleging and illegal pricing conspiracy U.S.D.C. for the Eastern District of California; Case No. CIV-S-95-1387 (DFL) (PAN)

Neve Brothers, et al. v. Potash Corporation of Saskatchewan, et al.-- antitrust class action of indirect purchasers of potash.
Sup. Ct. CA S.F. Case No. 959767; Ct. App. CA, 1st Dist..

Notz et al v Ticketmaster-Southern Californai, Inc. et al. --antitrust consumer class action alleging a territorial allocation in violation of the Cartwright Act
Sup. Ct. CA S.F. Case No. 943-327

Cosmetics Antitrust Litigation--antitrust class action alleging that manufacturers of prestige cosmetics and retail department stores conspired to prevent discounting of cosmetics Sup. Ct CA Marin J.C.C.P. 4056; USDC N.D.CA No. 3:03 cv-03359 SBA

Automobile Antitrust Litigation I, II JCCP 4298 and 4303—antitrust statewide class action by indirect purchasers alleging a price fixing conspiracy. Sup.Ct. CA S.F; J.C.C.P. Nos. 004298, 004303;U.S.D.C. for the District of Maine; MDL 03-md-1532

Clayworth, et al. v. Pfizer, Inc., et al.--antitrust action by indirect purchaser retail pharmacists alleging a price fixing conspiracy. against major drug manufacturers
Sup. Ct. CA Alameda Case No. 04172428

In Re Nasdaq Market Makers Antitrust Litigation --antitrust nationwide class action alleging a price fixing conspiracy MDL 1023 94 Civ. 3996 (RWS)

Breaux v Agency Rent a Car; USDC N.D. CA--class action of employees of rental car company

In Re Tableware Litigation; antitrust action alleging illegal pricing and boycott U.S.D.C. Case No. C-04-3514 VRW

Angelina Alioto-Grace

Mrs. Alioto-Grace is a general commercial litigator with a focus in antitrust, complex business tort, class action, intellectual property, unfair competition, and racial discrimination. Her experience includes both trial and appellate advocacy in the federal and state courts. Mrs. Alioto-Grace's responsibilities include all aspects of trial preparation from opening statement through argument. Additional pre-trial responsibilities include initiating pleadings, all phases of discovery, client retention and management, and settlement negotiation.

Mrs. Alioto-Grace is admitted to practice in the State of California, the State of New York, the Commonwealth of Massachusetts, the United States Supreme Court, the Ninth Circuit Court of Appeals, the United States District Court for the Northern District of California and the United States District Courts for the Southern and Eastern Districts of New York.

Mrs. Alioto-Grace is a former associate of Akin, Gump, Strauss, Hauer & Feld L.L.P. in New York, New York. She received her Juris Doctorate in 1995 from the Georgetown University Law Center. After law school, Mrs. Alioto-Grace clerked for the Office of the Counsel to the President of the United States.

Thomas P. Pier

Mr. Pier practices antitrust litigation with the Alioto Law Firm. He is admitted to practice in the State of California, as well as the United States District Court for the Northern District of California. Since joining the Alioto Law Firm in 2005, Mr. Pier has been involved in antitrust litigation in the fields of transportation, communications, pharmaceuticals, and building controls. Mr. Pier's primary responsibilities are in the coordination of discovery matters.

Mr. Pier received a Juris Doctorate from the University of San Francisco in 2004. Mr. Pier also received a Master of Arts in Journalism from the University of Texas, Austin in 2003, and a Bachelor of Arts in Public Policy from Brown University in 1995.

EXHIBIT 2

EXHIBIT 1

IN RE CATHORADE RAY TUBE (CRT) ANTITRUST LITIGATION; MDL NO. 1917

TIME AND LODESTAR SUMMARY

INDIRECT PURCHASER PLAINTIFFS

| | | | |
|----------------|---------------------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | Inception through Present | | |

| Year | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | Hours | Lodestar |
|------|-----------|------|-----|-----|-----|-----|-----|-----|-----|------|-------|------|-----|-------|---------------|
| 2007 | \$ 695.00 | 2.0 | 0.0 | 1.5 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2.5 | 3.5 | 0.0 | 0.0 | 9.5 | \$ 6,602.50 |
| 2008 | \$ 725.00 | 17.5 | 2.0 | 1.1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2.0 | 56.1 | 0.0 | 0.0 | 78.7 | \$ 57,057.50 |
| 2009 | \$ 750.00 | 4.5 | 2.1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 7.5 | 7.4 | 1.3 | 0.0 | 22.8 | \$ 17,062.50 |
| 2010 | \$ 750.00 | 4.3 | 1.2 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 4.5 | 12.3 | 0.0 | 0.0 | 22.3 | \$ 16,687.50 |
| 2011 | \$ 750.00 | 2.8 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1.0 | 5.4 | 2.2 | 0.0 | 11.4 | \$ 8,550.00 |
| 2012 | \$ 750.00 | 5.4 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2.6 | 3.0 | 12.2 | 2.6 | 0.0 | 25.8 | \$ 19,312.50 |
| 2013 | \$ 775.00 | 5.1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2.5 | 2.5 | 8.7 | 1.0 | 0.0 | 19.8 | \$ 15,345.00 |
| 2014 | \$ 785.00 | 5.6 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 4.9 | 7.3 | 4.3 | 0.0 | 22.1 | \$ 17,348.50 |
| 2015 | \$ 795.00 | 2.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 3.0 | 0.0 | 9.1 | 4.0 | 0.0 | 18.1 | \$ 14,397.45 |
| | | 49.2 | 5.3 | 2.6 | 0.0 | 0.0 | 0.0 | 0.0 | 8.1 | 27.9 | 122.0 | 15.4 | 0.0 | 230.4 | \$ 172,363.45 |

STATUS:

(P) Partner
(OC) Of Counsel
(A) Associate
(LC) Law Clerk
(PL) Paralegal
(I) Investigator

CATEGORIES:

1 Attorney Meeting/Strategy
2 Court Appearance
3 Client Meeting
4 Draft Discovery Requests or Responses
5 Deposition Preparation
6 Attend Deposition - Conduct/Defend
7 Document Review
8 Experts - Work or Consult
9 Research
10 Motions/Pleadings
11 Settlement
12 Trial

TIME AND LODESTAR SUMMARY

INDIRECT PURCHASER PLAINTIFFS

| | | | |
|-----------------------|---------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | 2007 | | |

[illegible]

TIME AND LODESTAR SUMMARY

| | | | |
|----------------|---------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | 2008 | | |

[illegible]

TIME AND LODESTAR SUMMARY

| | | | |
|----------------|---------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | 2009 | | |

[illegible]

TIME AND LODESTAR SUMMARY INDIRECT PURCHASER PLAINTIFFS

| | | | |
|-----------------------|---------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | 2010 | | |

[illegible]

TIME AND LODESTAR SUMMARY

| | | | |
|-----------------------|----------------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | 2011 | | |

[illegible]

TIME AND LODESTAR SUMMARY INDIRECT PURCHASER PLAINTIFFS

| | | | |
|-----------------------|---------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | 2012 | | |

[illegible]

TIME AND LODESTAR SUMMARY INDIRECT PURCHASER PLAINTIFFS

| | | | |
|-----------------------|---------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | 2013 | | |

[illegible]

EXHIBIT 1

IN RE CATHORADE RAY TUBE (CRT) ANTITRUST LITIGATION; MDL NO. 1917

TIME AND LODESTAR SUMMARY

INDIRECT PURCHASER PLAINTIFFS

| | | | |
|-----------------------|---------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | 2014 | | |

[illegible]

TIME AND LODESTAR SUMMARY INDIRECT PURCHASER PLAINTIFFS

| | | | |
|-----------------------|--------------|--|--|
| Firm Name | Therea Moore | | |
| Reporting Year | 2015 | | |

[illegible]

EXHIBIT C

From: Lauren Capurro (Russell) LaurenRussell@tatp.com

Subject: In Re: Cathode Ray Tube (CRT) Antitrust Litig., MDL No. 1917/Filing Claims from Settlement Fund

Date: August 24, 2015 at 6:26 PM

To: wjonckheer@schubertlawfirm.com, dhoward@minamitamaki.com, Sean Tamura-Sato seant@MinamiTamaki.com, Richard Horsley richardfhorsley@gmail.com, Christy Crow cdc@jinkslaw.com, Alexander E. Barnett abarnett@masonlawdc.com, Bob Gralewski bgralewski@kmlp.com, Brent Irby birby@mhclaw.com, Brian Sund BSund@morrisonssund.com, bribarry1@yahoo.com, Bruce Mulkey bruce@mulkeylaw.com, CCORBITT@zelle.com, Charles M. Kester cmkester@nwork.com, Christopher T. Micheletti CMicheletti@zelle.com, Christy Crow CCrow@jinkslaw.com, clovell@lshllp.com, craigessenmacher@yahoo.com, D. Syrios dsyrios@ademilaw.com, Dan Gustafson dgustafson@gustafsongluek.com, Daniel Hume dhume@kmlp.com, Daniel Karon dkaron@karonllc.com, David Freedman daf@fbdlaw.com, dbirkhaeuser@bramsonplutzik.com, Dennis J. Stewart dstewart@hulettharper.com, Diane Pritchard dpritchard@vmbllp.com, Donald Amamgbo donald@amamgbolaw.com, Donald Perelman dperelman@finekaplan.com, Donna Solen dsolen@masonlawdc.com, Eric Hoagland ehoagland@mhclaw.com, Eric Pickar epickar@bangsmccullen.com, Francis Scarpulla fos@scarpullalaw.com, Frank Balint fbalint@bffb.com, Guri Ademi gademi@ademilaw.com, Ike Diel idiel@sharpmcqueen.com, James H. McManis jmcmanis@mcmanislaw.com, Janelle Welling gw@classcounsel.com, Jeff Crabtree lawyer@consumerlaw.com, Jeffrey Bartos jbartos@geclaw.com, Jennie Lee Anderson jennie@andrusanderson.com, Joe Goldberg JG@FBDLAW.com, Joel Flom joel@jeffrieslaw.com, Joel Smith joelsmith@bellsouth.net, John D. Bogdanov jdb@coopkirk.com, John G. Felder, Jr. jfelder@mcgowanhood.com, Josef D. Cooper jdc@coopkirk.com, jpatane@tatp.com, jahid@zelle.com, Kit Belt keithb@beltlawfirm.com, knarine@kbnlaw.com, kvalinoti@valinoti-dito.com, lauren russell@tatp.com, LGPapale@Papalelaw.com, malioto@tatp.com, Marvin A. Miller mmiller@millerlawllc.com, Marwa Elzankaly melzankaly@mcmanislaw.com, Mary Kirkpatrick mkirk@vtlawfirm.com, Matthew Duncan md@finekaplan.com, msimon@facslaw.com, ncihar@strauss-boies.com, Paul Novak pnovak@milberg.com, Reggie T2@aol.com, Robert Bonsignore rbonsignore@aol.com, Robert Bonsignore rbonsignore@classactions.us, Robert G. Methvin rgm@mmlaw.net, Robert Gerard rgerard@gerardlaw.com, Robert Pohlman rpohlman@rcalaw.com, Robert S. Green rsg@classcounsel.com, Rodney Ray rray@fordraylaw.com, S. Randall Hood rhood@mcgowanhood.com, sademi@ademilaw.com, Seymour J. Mansfield smansfield@foleymansfield.com, Sheri Carter scarter@strauss-boies.com, Sherman Kassof heevay@yahoo.com, skupfer@glancylaw.com, Sylvie Kern sylviekern@yahoo.com, terry@grossbelsky.com, Theresa Moore tmoore@aliotolaw.com, Thomas A. Doyle TAD@wexlerwallace.com, Timothy Battin tbattin@strauss-boies.com, Veronica Besmer veronica@besmerlaw.com, W. Timothy Needham tneedham@janssenlaw.com

Cc: malioto@tatp.com, jpatane@tatp.com

Dear Counsel:

I am pleased to inform you that notice of the CRT Settlements has been published in accordance with the notice plan approved by the Court, and that class members may now file claims on the settlement website, www.CRTclaims.com. I've attached copies of the published Notices. We ask that you please make sure that the named plaintiffs, and any of your other clients who purchased CRT televisions or computer monitors during the class period, file claims. **It is very important that each of the named plaintiffs file a claim.** We also encourage you to tell your friends, family members and other acquaintances and encourage them to file claims too. The claim form does not require proof of purchase, and we anticipate paying each claimant a minimum of \$25.

There is a simple online claim form, which is available on the website and should only take a few minutes for an individual consumer to complete and submit. This is the easiest and fastest method to submit a claim. The claim form can also be downloaded from the website and completed in hard copy and submitted by mail. I've also attached a copy of the claim form here.

There is a list of Frequently Asked Questions and responses on the website that should answer any questions that you or potential claimants may have.

Thank you for your assistance in making sure that the settlement funds are properly paid to the consumer class members who were injured by the CRT conspiracy.

Best regards,

Lauren

Lauren C. Capurro (Russell)
Attorney at Law
Trump, Alioto, Trump & Prescott, LLP
2280 Union Street
San Francisco, CA 94123
Tel: (415) 563-7200
Direct line: (415) 447-1496
Cell: (415) 860-5051
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E-mail: lauren russell@tatp.com

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| <p>If You Bought Televisions, Computer Monitors</p> <p>or Other Products Containing</p> <p>Cathode Ray Tubes</p> <p>Get Money from \$576.75 Million in Settlements</p> <p>Simple Online</p> | <p>Class action settlements have been reached involving Cathode Ray Tubes ("CRTs"), a display device that was sold by itself or as the main component in TVs and computer monitors. The lawsuit claims that the Defendants fixed the prices of CRTs causing consumers to pay more for CRTs and products containing CRTs, such as TVs and computer monitors (collectively "CRT Products"). The Defendants deny Plaintiffs' allegations.</p> <p>Who is included in the Settlements?</p> <p>Individuals and businesses that:</p> <ul style="list-style-type: none">• Purchased a CRT or a product containing a CRT, such as a TV or computer monitor, in the United States (except Illinois, Washington and Oregon) between March 1, 1995 and November 25, 2007;• For their own use and not for resale. <p>Purchases made directly from a defendant or alleged co-conspirator are <u>not</u> included (see the list of defendants and alleged co-conspirators at www.CRTclaims.com or by calling 1-800-649-0963).</p> <p>What do the Settlements provide?</p> <p>There are six new Settlements totaling \$541.75 million. Together with the two previously-approved settlements, the Settlement Fund is \$576.75 million. Only individuals and businesses who purchased CRT Products in AZ, CA, FL, HI, IA, KS, ME, MI, MN, MS, NE, NV, NM, NY, NC, ND, SD, TN, VT, WV, WI, or the District of Columbia, are eligible to file a claim for money. HI, NE and NV have shorter claims periods. The purchase must have been made in one of the foregoing states, but you do not have to be a resident of one of these states. The Settlements release the injunctive relief claims of purchasers of CRT Products nationwide.</p> <p>The amount of money you will receive depends on the type and quantity of CRT Products you purchased and the total number of claims made. Eligible individuals and businesses are expected to get a minimum payment of \$25. Large purchasers could recover many thousands of dollars.</p> <p>How can I get a payment?</p> <p>Claim online or by mail by December 7, 2015. The simple online Claim Form only takes 3-5 minutes for most individuals.</p> <p>What are my rights?</p> <p>If you do nothing you will be bound by the Court's decisions. If you</p> |
|--|---|

| | |
|---|---|
| <h2>Claim Form Takes 3-5 Minutes</h2> | <p>want to keep your right to sue the Defendants, you must exclude yourself from the Settlement Class by October 8, 2015. If you stay in the Settlement Class, you may object to the Settlements by October 8, 2015.</p> <p>The Court will hold a hearing on November 13, 2015 at 10:00 a.m. to consider whether to approve the Settlements and a request for attorneys' fees up to one-third of the Settlement Fund, plus reimbursement of litigation expenses and awards to Class Representatives. This date may change so please check the website. You or your own lawyer may appear and speak at the hearing at your own expense.</p> |
| For More Information: | <p>1-800-649-0963 www.CRTclaims.com Text: "CRTclaims" to 97000 (text messaging rates may apply) PLEASE DO NOT CONTACT THE COURT</p> |



CRT-Notice.pdf



CRT_CLAIM_FORM_Final.pdf

EXHIBIT D

Theresa D. Moore (99978)
Attorney At Law
c/o **ALIOTO LAW FIRM**
One Sansome Street, 35th Floor
San Francisco, CA 94104
Telephone: (415) 434-8900
Facsimile: (415) 434-9200
tmoore@aliotolaw.com

Counsel for Indirect Purchaser Plaintiffs

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

**IN RE: CATHODE RAY TUBE (CRT)
ANTITRUST LITIGATION**

Case No. 3:07-cv-5944
MDL No. 1917

CLASS ACTION

This Document Relates to:
All Indirect Purchaser Actions

**DECLARATION OF THERESA D. MOORE IN
SUPPORT OF PLAINTIFFS' APPLICATION
FOR ATTORNEYS' FEES, EXPENSES AND
INCENTIVE AWARDS**

Judge: Honorable Samuel Conti
Courtroom One, 17th Floor

1 I, Theresa Driscoll Moore, declare as follows:

2 1. I am an attorney licensed to practice before the courts of the State of California as
3 well as the United States District Courts for the Northern, Eastern and Central Districts of
4 California, as well as admitted Pro Hoc Vice in Federal Courts throughout the nation. I am Of
5 Counsel to the law firm of Alioto Law Firm, and make this Declaration in support of my own
6 personal work in this matter at bar, and not those of the Alioto Law Firm. Any further request for
7 expenses or attorneys' fees from the Alioto Law Firm do not include my work in this case. I have
8 personal knowledge of the facts stated in this declaration and, if called as a witness, I could and
9 would testify competently to them. I make this declaration in support of my own request for
10 attorneys' fees and reimbursement of litigation expenses, as set forth in Plaintiffs' Application for
11 Attorneys' Fees, Expenses and Incentive Awards.

12 2. I am counsel of record in this case, and represent plaintiffs Margaret Slagle, Mark
13 Pierce, Barbara Caldwell, Barry Kushner, Brian A. Luscher, Jerry Cook, Scott Friedson as well as
14 Indirect Purchaser Plaintiffs. All of the work I have performed as counsel in this case is mine
15 alone. A brief description of me and of my firm to which I am Of Counsel is attached as Exhibit 1
16 and incorporated herein by reference.

17 3. I have been a trial lawyer for 34 years, as a Deputy District Attorney prosecuting
18 cases on behalf of the State of California, and in civil litigation prosecuting antitrust cases in
19 Federal and State Courts almost exclusively on behalf of antitrust plaintiffs. To date I have been
20 lead and/or co lead counsel in approximately 130 trials to verdict, having practiced both criminal
21 and civil litigation. I began my antitrust career with the Alioto Law Firm of Joseph M. Alioto in
22 1981 when I participated in my first antitrust trial. I then practiced as a Deputy District Attorney in
23 San Francisco for seven and one half years until returning to advocacy teaching and practice of
24 civil antitrust litigation at the Alioto Law Firm, where I remain today. I am a trial attorney
25 specializing in advocacy, and my substantive legal focus includes antitrust, unfair competition,
26 business, consumer and complex class actions. A representative sampling of my antitrust and class
27 action cases follows in paragraph 9.

1 4. I am a Judge Pro Tem for the State of California San Francisco Superior Court,
2 since 1996.

3 5. I am a distinguished Professor Adjunct in Trial/Evidence Practice at University of
4 California Hastings College of the Law where I have been teaching trial advocacy since the 1995-
5 1996 academic year.

6 6. I have served on multiple occasions as Co-chair, Keynote Speaker, and Instructor at
7 the National College of Advocacy, Intensive Advocacy Program, and multiple other associations.

8 7. I have authored books and articles, the most recent were published this year in 2015
9 by Lexis Nexis and the National Institute of Trial Advocacy, consisting of two separate books on
10 trial practice, and a third book is slated for publication in 2016, all as part of a series of Trial
11 Practice books authored by me named "Trial By Fire".

12 8. I am a Certified Mediator and a Special Master. In my capacity as a negotiator and
13 mediator I have successfully mediated approximately 150 cases for many private and government
14 organizations, including the Federal Equal Employment Opportunity Commission, Multi-Option
15 ADR Project, State of California First District Court of Appeal, Conflicts Resolution Center, San
16 Francisco Superior Court and San Mateo County Courts, Bar and Community as a partial list.

17 9. I studied at the Sorbonne and Institut d'Études Politiques de Paris in Paris, France,
18 received my Bachelor of Arts from Santa Clara University, and my Juris Doctorate from
19 University of California Hastings College of the Law.

20 A representative sample of my antitrust and class action cases includes:

- 21 • *AD/SAT v. Associated Press, et al.*-- antitrust litigation alleging illegal
22 pricing U.S.D.C. S.D.N.Y.; Case No. 94-civ-6655 (PKL)
- 23 • *In Re: Airline Ticket Commission Antitrust Litigation*- antitrust class action
24 alleging that the airlines conspired to fix travel agents' commission rates
25 U.S.D.C. Minn Fourth Division; MDL Docket No. 1058 Master File No. 4-
26 95 107 (all actions combined)
27

- *AD/SAT v. McClatchy Newspapers, Inc.*-- antitrust litigation alleging and illegal pricing conspiracy U.S.D.C. for the Eastern District of California; Case No. CIV-S-95-1387 (DFL) (PAN)
- *Neve Brothers, et al. v. Potash Corporation of Saskatchewan, et al.*-- antitrust class action of indirect purchasers of potash. Sup. Ct. CA S.F. Case No. 959767; Ct. App. CA, 1st Dist..
- *Notz et al v Ticketmaster-Southern Californai, Inc. et al.* --antitrust consumer class action alleging a territorial allocation in violation of the Cartwright Act Sup. Ct. CA S.F. Case No. 943-327
- *Cosmetics Antitrust Litigation*--antitrust class action alleging that manufacturers of prestige cosmetics and retail department stores conspired to prevent discounting of cosmetics Sup. Ct CA Marin J.C.C.P. 4056; USDC N.D.CA No. 3:03 cv-03359 SBA
- *Automobile Antitrust Litigation I, II* JCCP 4298 and 4303—antitrust statewide class action by indirect purchasers alleging a price fixing conspiracy. Sup.Ct. CA S.F; J.C.C.P. Nos. 004298, 004303;U.S.D.C. for the District of Maine; MDL 03-md-1532
- *Clayworth, et al. v. Pfizer, Inc., et al.*--antitrust action by indirect purchaser retail pharmacists alleging a price fixing conspiracy against major drug manufacturers Sup. Ct. CA Alameda Case No. 04172428
- *In Re Nasdaq Market Makers Antitrust Litigation* --antitrust nationwide class action alleging a price fixing conspiracy MDL 1023 94 Civ. 3996 (RWS)

- *Breaux v Agency Rent a Car*; --class action of employees of rental car company. *USDC N.D. CA*
- *In Re Tableware Litigation*-- antitrust action alleging illegal pricing and boycott U.S.D.C. Case No. C-04-3514 VRW
- *Tam Travel et al. v. Delta Airlines et al*-- antitrust action of opt outs from a class action alleging that the airlines conspired to fix travel agents' commission rates
- *In Re Verizon Wireless Data Charges Litigation*-- antitrust action alleging an illegal scheme of charging for data on mobile devices. *USDC 3:10-cv-01749*
- *In Re Optical Disc Drive Antitrust Litigation (ODD)*-- antitrust action alleging illegal conspiracy to fix the prices of optical disc drives used in electronic devices such as monitors and computers and other devices. *USDC ND CA Case No. MDL 02143*
- *Malaney v. United Airlines, Inc.*-- action under the Clayton Act alleging an illegal combination and merger of airlines *USDC ND CA Case No: 12-15182*
- *D' Augusta v. Northwest Airlines, Inc. and Delta Air Lines, Inc.*,--action under the Clayton Act alleging an illegal combination and merger of airlines *USDC ND CA 3:08-cv-3007*
- *In re Aftermarket Filters Antitrust Litigation*--antitrust action alleging illegal conspiracy of price fixing for replacement motor vehicle oil, fuel and engine air filters. *USDC ND ILL 1:08-cv-04883*

- *Wayne Taleff, et al v. Southwest Airlines Co., et al.*,-- action under the Clayton Act alleging an illegal combination and merger of major airlines
USDC NDCA 11-16173
- *Credit/Debit Card Tying Cases*-- represented the inventor of the credit card system as an objector to a class action settlement through a successful appeal California Superior Court, San Francisco J.C.C.P. 4335
- *RP Healthcare, Inc., et al. v. Pfizer, Inc., et al.*,--antitrust action alleging an illegal scheme to pay generics to not produce a drug in order to illegally keep the price at supracompetitive levels. (*USDC NJ MDL No. 3:12-cv-05129*)
- *Fjord et al In Re AMR Corporation*, --antitrust Action for violations of Section 7 of the Clayton Antitrust Act *Case No: 11-15463*
- *In re TFT-LCD (Flat Panel) Antitrust Litigation*-- Co lead counsel; antitrust action alleging illegal conspiracy to fix the prices of LCDs used in TVs, tablets, and computer monitors *USDC ND CA Case No. MDL 1827*
- *In Re Lipitor Antitrust Litigation*, --antitrust action of horizontal market allocations in the form of reverse payments to generics. (*USDC MDL No. 2332*)

10. Throughout the course of this litigation, I have kept files contemporaneously documenting all time spent, including tasks performed, and expenses incurred, and have transmitted time reports to Lead Counsel. All of the time and expenses reported were incurred for the benefit of the Indirect Purchaser Plaintiffs (“IPPs”).

11. During the course of this litigation, I have been involved in the following tasks and

1 activities on behalf of the IPPs. All of this work was assigned and/or approved by Lead Counsel.

2 I have been involved in the CRT litigation from the earliest stages, including the initial
3 investigation of claims, research of the industry, and monitoring of the MDL process. My firm
4 interviewed clients and reviewed documentation in support of their claims. We kept them apprised
5 of developments throughout the case. From the beginning we have advised and counseled
6 regarding strategy and tactics of the litigation including areas of discovery, motion practice, trial,
7 and prospectively for administration of claims. I have drafted underlying complaints and motions,
8 and monitored all developments in the case for our clients. All consultation and document review
9 of pleadings, motions etc. was performed to fulfill a current or expected project or role in the case,
10 including drafting, revising, and advising on memoranda, evidence and witnesses. I communicated
11 with lead and co counsel regarding status of the case, strategy of the case, assignments, discovery,
12 witnesses, law, motions, upcoming deadlines, and I investigated historical, financial and business
13 research on defendants.

14 12. The schedule attached as Exhibit 2, and incorporated herein, is a detailed summary
15 of the amount of time spent by me alone and does not reflect the work or expenses of others. It
16 does not include any time devoted to preparing this declaration or otherwise pertaining to the Joint
17 Fee Petition. The lodestar calculation is based on figures lower than my historical billing rates in
18 effect at the time services were performed. Exhibit 2 was prepared from contemporaneous time
19 records regularly prepared and maintained, and I authorize them to be submitted for inspection by
20 the Court if necessary. The hourly rates were, at the time the work was performed, and are
21 currently, lower than the usual and customary hourly rates charged for my services in similar
22 complex litigation. In Exhibit 2 I have charged a rate reduced from my usual and customary rate at
23 the time.

24 13. The number of hours reasonably expended and presented herein from 2007-2008 by
25 me is 33.25 hours. The lodestar at historical rates is \$22,918.75. The lodestar at current rates is
26 \$24,106.25. Lead counsel requests that I discount and submit time only for 2007-2008 at reduced
27 rate and hours of 33.25 hours, which I do herein. Expense hours are not duplicated in my lodestar.

14. The total number of I hours reasonably expended on this from inception to May 31, 2015 is 230.4 hours. Over the entire 9 year prosecution of the case my time expended was not duplicative or wasteful, and was necessary to abide by my ethical duties as representing multiple clients. The total lodestar at historical rates is \$172,363.45. The total lodestar at current rates is \$183,168.00. The hours expended by me were for the benefit of my clients and the class. Exhibit 2 reflects my time so reduced, but my actual time, effort, and lodestar for which I do apply herein is reflected in the pre-discounted hours and lodestar as described herein.

15. The expenses the firm incurred in litigating this action are reflected in the books and records of the firm. These books and records are prepared from expense vouchers, invoices, receipts, check records and other source materials and accurately reflect the expenses incurred. The firm's expense records are available for inspection by the Court if necessary.

16. My firm to which I am Of Counsel incurred unreimbursed expenses, all of which were reasonable and necessary for the prosecution of this litigation, and the firm may request such reimbursement separate and apart from me.

17. Herein, I am not requesting reimbursement of any expenses.

I declare under penalty of perjury that the foregoing is true and correct. Executed this 28th day of August 2015, in San Francisco, CA.

Theresa D. Moore
Theresa Driscoll Moore
Attorney At Law

EXHIBIT 1



UC HASTINGS
COLLEGE OF THE LAW

Theresa Driscoll Moore, Adjunct Faculty

Adjunct Professor Theresa Moore was born and raised in San Francisco, California. She received her Bachelor of Arts from the University of Santa Clara in 1978 and her Juris Doctor from the University of California, Hastings College of the Law in 1981. She attended and studied political science/communications and language at UCLA and at the Sorbonne in Paris, France.

Professor Moore has been practicing law since 1981 and has been lead trial attorney in over 100 trials, both jury and court trials. She has practiced civil litigation in private practice and criminal litigation as a San Francisco District Attorney. Her present practice includes complex litigation, antitrust, consumer, employment, business, and juvenile. Having tried so many cases, both criminal and civil, she is intimately familiar with the court and litigation process. In addition to practicing civil litigation, Professor Moore is a Judge Pro Tem and a California Certified Mediator, as well as being a member of several Continuing Legal Education faculties. She has developed a special insight and unique ability in litigation by having participated in cases from all perspectives in her legal litigation career.

In addition, Professor Moore is very active as a volunteer in the San Francisco community, for both legal and charitable organizations. She lives with her husband, who is also a San Francisco native, and their three children in San Francisco. Her offices are located in the San Francisco financial district.

Theresa D. Moore

Ms. Moore specializes in trial practice and mediation. Her substantive legal focus includes antitrust, unfair competition, business, consumer and complex class actions. Ms. Moore has been representing antitrust plaintiffs with the Alioto Law Firm since 1981. She has been lead counsel in over 100 trials, including civil and criminal trials as a Deputy District Attorney in San Francisco.

Ms. Moore is a Judge Pro Tem for the State of California in San Francisco Superior Court. She is a distinguished Professor Adjunct in Trial Advocacy and Evidence at the University of California, Hastings College of Law. She has served as keynote speaker and co-chair for the National College of Advocacy.

Ms. Moore is also a California Certified Mediator and Special Master. She has successfully mediated approximately 150 cases for private and government organizations, including the Federal Equal Employment Opportunity Commission, Multi-Option ADR Project, State of California First District Court of Appeal, Conflicts Resolution Center, San Francisco Superior Court, and the San Mateo County Courts.

Ms. Moore received her Juris Doctor from the University of California, Hastings College of the Law in 1981. She received her Bachelor of Arts from the University of Santa Clara in 1978.

Ms. Moore is admitted to practice in the State of California, as well as the United States District Courts for the Northern, Eastern and Central Districts of California.

A representative sample of Ms. Moore's class action and antitrust cases includes:

AD/SAT v. Associated Press, et al.-- antitrust litigation alleging illegal pricing
U.S.D.C. S.D.N.Y.; Case No. 94-civ-6655 (PKL)

In Re: Airline Ticket Commission Antitrust Litigation- antitrust class action alleging that the airlines conspired to fix travel agents' commission rates
U.S.D.C. Minn Fourth Division; MDL Docket No. 1058 Master File No. 4-95-107 (all actions combined)

AD/SAT v. McClatchy Newspapers, Inc.-- antitrust litigation alleging and illegal pricing conspiracy U.S.D.C. for the Eastern District of California; Case No. CIV-S-95-1387 (DFL) (PAN)

Neve Brothers, et al. v. Potash Corporation of Saskatchewan, et al.-- antitrust class action of indirect purchasers of potash.
Sup. Ct. CA S.F. Case No. 959767; Ct. App. CA, 1st Dist..

Notz et al v Ticketmaster-Southern Californai, Inc. et al. --antitrust consumer class action alleging a territorial allocation in violation of the Cartwright Act
Sup. Ct. CA S.F. Case No. 943-327

Cosmetics Antitrust Litigation--antitrust class action alleging that manufacturers of prestige cosmetics and retail department stores conspired to prevent discounting of cosmetics Sup. Ct CA Marin J.C.C.P. 4056; USDC N.D.CA No. 3:03 cv-03359 SBA

Automobile Antitrust Litigation I, II JCCP 4298 and 4303—antitrust statewide class action by indirect purchasers alleging a price fixing conspiracy. Sup.Ct. CA S.F; J.C.C.P. Nos. 004298, 004303;U.S.D.C. for the District of Maine; MDL 03-md-1532

Clayworth, et al. v. Pfizer, Inc., et al.--antitrust action by indirect purchaser retail pharmacists alleging a price fixing conspiracy. against major drug manufacturers
Sup. Ct. CA Alameda Case No. 04172428

In Re Nasdaq Market Makers Antitrust Litigation --antitrust nationwide class action alleging a price fixing conspiracy MDL 1023 94 Civ. 3996 (RWS)

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In Re Tableware Litigation; antitrust action alleging illegal pricing and boycott U.S.D.C. Case No. C-04-3514 VRW



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Antitrust - Unfair Competition - Complex Litigation
United States and Foreign Markets

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- Mission -

The **Alioto Law Firm** is a true architect in the Antitrust arena. Its founder *Joseph M. Alioto* is a pioneer in prosecuting private plaintiff's antitrust actions. The Firm was founded upon a vision that competition is not a privilege, but rather a right of all businesses and individuals, great and small; and the right of all consumers to have the benefits of free competition. The Alioto Law Firm is steeped in a legacy of innovation, excellence, and ultimately, success and is committed to pursuing this proud tradition of equality and opportunity in the marketplace.

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- History -

Joseph M. Alioto is an experienced trial attorney with an unprecedented successful legacy of antitrust actions in the United States. Joseph M. Alioto has represented plaintiffs in some of the largest monetary judgments in the history of the Antitrust Laws and has argued before the United States Supreme Court. ▲▼

Mr. Alioto received his Juris Doctorate from the University of San Francisco School of Law in 1968, was admitted to practice before the Supreme Court of California in January 1969 and since that time has become a nationally acclaimed litigator. He has argued antitrust cases and is a member of or was admitted pro hac vice to the following United States Courts of Appeal for the following circuits: the First Circuit in Boston, Massachusetts; the Second Circuit in New York, New York; the Third Circuit in Philadelphia, Pennsylvania; the Fourth Circuit in Richmond, Virginia; the Fifth Circuit in New Orleans, Louisiana; the Sixth

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- History -

Circuit in New York, New York; the Third Circuit in Philadelphia, Pennsylvania; the Fourth Circuit in Richmond, Virginia; the Fifth Circuit in New Orleans, Louisiana; the Sixth Circuit in Cincinnati, Ohio; the Eighth Circuit in St. Louis, Missouri; the Ninth Circuit in San Francisco, Los Angeles and Pasadena, California; the Tenth Circuit in Denver, Colorado and Oklahoma City and appeals in antitrust decisions from Georgia before it split into the Eleventh Circuit. He has prosecuted private antitrust actions in which he is either a member or was admitted pro hac vice in the following United States District Courts: San Francisco, Sacramento, Los Angeles and San Diego, California; Boston, Massachusetts; New York, New York; Philadelphia, Pennsylvania; Newark and Trenton, New Jersey; Wilmington, Delaware; Alexandria, Virginia; Washington, D.C.; Lexington, Kentucky; Atlanta, Georgia; New Orleans, Louisiana; Dallas, Fort Worth, Amarillo, Sherman, Austin, San Antonio and Laredo, Texas; St. Louis, Missouri; Minneapolis, Minnesota; Chicago, Illinois; Detroit, Michigan; Cleveland/Youngstown, Ohio; Oklahoma City and Tulsa, Oklahoma; Wichita,

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- History -

New Orleans, Louisiana; Dallas, Fort Worth, Amarillo, Sherman, Austin, San Antonio and Laredo, Texas; St. Louis, Missouri; Minneapolis, Minnesota; Chicago, Illinois; Detroit, Michigan; Cleveland/Youngstown, Ohio; Oklahoma City and Tulsa, Oklahoma; Wichita, Kansas; Albuquerque, New Mexico; Denver, Colorado; Salt Lake City, Utah; Boise, Idaho; Seattle/Tacoma, Washington; Portland, Oregon; Phoenix, Arizona; Las Vegas and Reno, Nevada; and Honolulu, Hawaii. He has also prosecuted antitrust cases in the state courts.

In his distinguished career Joseph M. Alioto has represented independent companies and corporations of all sizes, farmers, inventors, patent holders, manufacturers, suppliers, wholesalers, retailers, distributors, unions, consumers, and entrepreneurs against foreign as well as domestic corporations. The cases the Alioto Law Firm has tried have involved every major industry from manufacturing to service, trading to finance, supply to retail, transportation to mining, foreign and domestic.

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- C a s e s -

The Alioto Law Firm has engaged over 350 antitrust cases, and has tried approximately one hundred antitrust trials in federal and state court involving issues relating to monopolization, attempts to monopolize, conspiracies to monopolize, price fixing, group boycotts, market and customer allocations, tie-in arrangements, exclusive dealing, commercial bribery, unlawful acquisitions and mergers, discriminatory pricing, predatory pricing, interlocking directorates, and other anti-competitive conduct.

These trials averaged between three weeks and seven months.

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- I n d u s t r i e s -

The Alioto Law Firm's more than 350 antitrust cases have involved a number of industries including accounting, advertising, agricultural seeds and products, airlines, aluminum, appraisals, asphalt, automobiles, banking, barges, beef, beer, beets, brokerage, cable, casino advertising, cement, compact discs, computer batching, computers, construction, consumer electronic products, copyrights, corn wetmilling, cosmetics, crude oil, custom manufacturing, distilled spirits, distribution, electronic thermometers, electronics, entertainment, farm equipment, football, fructose, glass, golf and other sports restrictions, hardware and software, healthcare, heavy piping, hogs, hospitals, ice cream, insurance, intellectual property, international trading in commodities, iron production and distribution, lamb, livestock, medical equipment, medical insurance, medical supplies, minerals, milk, mining, mortgage banking, movie production, distribution and exhibition, moving and storage, network electronics, newspapers, oil, optic fibers, overhead doors, pacemakers, patents, peripheral manufacturers,

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newspapers, oil, optic fibers, overhead doors, pacemakers, patents, peripheral manufacturers, pharmaceuticals, photo-finishing, physicians, plastics, potatoes, potash, potato chips, processing, professionals and professional services, publishing, railroads, real estate, rental cars, retailing, refined oil, rendering, satellites manufacturing, services and equipment, securities, shipping, ship-to-shore telecommunication and satellites, soda ash, soft drinks, software, steam shipping, steel production and distribution, sugar, sugar beets, tax preparation, telephone, telecommunication, theaters, thoroughbred horses, tickets, tobacco, trademarks, trading, transcutaneous electronic nerve stimulator, travel industries, trucking, VCR's, veterinarians, wheat, wine, wool, and others.



Of these cases approximately 100 to 150 were published (mostly appeals).

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- Consulting & Testimony -

CONSULTING

With its great depth and diverse experience in the antitrust arena, The Alioto Law Firm provides knowledgeable and practical advice on complex antitrust and unfair competition matters to both domestic and international clients. Joseph M. Alioto frequently consults on strategy and tactics for both plaintiffs as well as defendants and serves as a member on a number of boards.

TESTIMONY

Due to Joseph M. Alioto's national recognition as one of the leading antitrust attorneys in the United States, he is frequently asked to give testimony related to pending antitrust legislation. He has done so before the Judiciary Committee of the United States Senate, the Judiciary and Maritime Committees of the United States House of Representatives, the Judiciary Committee of the Senate and Assembly of the State of California.

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CONTACT

- Consulting & Testimony -

Mr. Alioto has also had national televised debates with United States Antitrust Division Chiefs, including William Baxter, Assistant Attorney General, Antitrust Division, on CNN, and Charles Rule, Assistant Attorney General, Antitrust Division on FNN, as well as being a guest on national programs such as “Hard Ball” with Christopher Matthews, the McNeil Lehrer Report and others. ▲▼

Mr. Alioto has given dozens of lectures at American Bar Associations, State Bar Associations, law schools, universities and symposiums on the antitrust laws. His topics have varied from practical instruction, “How to Try an International Antitrust Case,” to philosophical underpinnings of the antitrust laws, “Adam Smith Antitrust and the United States.”

Mr. Alioto is frequently interviewed on radio and television and quoted in the written media, including national magazines and major national newspapers (*USA Today* and *Wall*

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ALIOTO
LAW • FIRM

Alioto Law Firm

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MISSION

HISTORY

CASES

INDUSTRIES

CONSULTING

CONTACT

- Consulting & Testimony -

Mr. Alioto has given dozens of lectures at American Bar Associations, State Bar Associations, law schools, universities and symposiums on the antitrust laws. His topics have varied from practical instruction, “How to Try an International Antitrust Case,” to philosophical underpinnings of the antitrust laws, “Adam Smith Antitrust and the United States.”



Mr. Alioto is frequently interviewed on radio and television and quoted in the written media, including national magazines and major national newspapers (*USA Today* and *Wall Street Journal*) and major metropolitan newspapers throughout the country (i.e., *New York Times*, *Chicago Sun Times*, *Los Angeles Times*, *San Francisco Chronicle and Examiner*, *Washington Post*, *Dallas Morning News*, *Atlanta Constitution*, *Miami Herald*, *Denver Post*, *Boston Globe*)

TOP OF PAGE

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ALIOTO LAW FIRM

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SAN FRANCISCO, CALIFORNIA 94104
(415) 434-8900 • FAX (415) 434-9200

Joseph M. Alioto

Joseph M. Alioto has prosecuted private antitrust cases for the last forty-six years. He has tried and won more or as many antitrust cases as any other attorney in the country. He has represented farmers, independent companies, inventors, patent holders, manufacturers, suppliers, wholesalers, retailers, distributors, unions, consumers, and entrepreneurs against foreign as well as domestic corporations. Mr. Alioto's cases involve every major industry from manufacturing to service, trading to finance, supply to retail, transportation to mining, foreign and domestic.

COURT MEMBERSHIP

Mr. Alioto has argued antitrust appeals on behalf of plaintiffs in the United States Supreme Court and all twelve Circuit Courts of Appeals. He has represented antitrust plaintiffs in federal court in almost every state in the country. He has appeared before the California Supreme Court, every California appellate district, and has tried cases in every major California city.

- United States Supreme Court

- United States Court of Appeals for the First Circuit
- United States Court of Appeals for the Second Circuit
- United States Court of Appeals for the Third Circuit
- United States Court of Appeals for the Fourth Circuit
- United States Court of Appeals for the Fifth Circuit
- United States Court of Appeals for the Sixth Circuit
- United States Court of Appeals for the Seventh Circuit
- United States Court of Appeals for the Eighth Circuit
- United States Court of Appeals for the Ninth Circuit
- United States Court of Appeals for the Tenth Circuit
- United States Court of Appeals for the Eleventh Circuit
- United States Court of Appeals for the District of Columbia Circuit

- United States District Courts, Pro Hac Vice: Mr. Alioto has tried antitrust cases in the federal District Courts in some forty states including courts in New York, New York

Boston, Massachusetts; Atlanta, Georgia; Minneapolis, Minnesota; Wichita Kansas; Fort Worth, Dallas, and Amarillo, Texas; Las Vegas and Reno, Nevada; Boise, Idaho; Seattle/Tacoma, Washington; Salt Lake City, Utah; Honolulu, Hawaii; Phoenix, Arizona; Albuquerque, New Mexico; Philadelphia, Pennsylvania; and others.

ANTITRUST CASES SINCE 1969

Since 1969, Mr. Alioto has represented antitrust plaintiffs in more than 300 cases involving various industries, including:

| | | | |
|--------------------|-------------------|--------------------|---------------------|
| Accounting | Computers | Mining | Satellites Services |
| Advertising | Construction | Mortgage Banking | and Equipment |
| Agriculture | Consumer | N.F.L. Football | Shipping |
| Air Transportation | Electronics | Newspapers | Soda Ash |
| Aluminum | Corn Wet Milling | Oil | Soft Drinks |
| Appraising | Cosmetics | Optic Fibers | Steam Shipping |
| Automobiles | Distilled Spirits | Overhead Doors | Telecomm. |
| Barges | Distribution | Pacemakers | Tickets |
| Cable Television | Farm Equipment | Peripheral Mftring | Trading |
| Cattle Ranching | Glass | Photo-Finishing | Travel Industries |
| Compact Discs | Health Care | Potash | Trucking |
| Computer | Hospitals | Potatoes | Wheat |
| Hardware | Ice Cream | Processing | Wool Mftring |
| Computer | Insurance | Professional Svcs. | and others |
| Network | Livestock | Publishing | |
| Computer | Medical Devices | Rendering | |
| Software | Milk | Retailing | |

ANTITRUST TRIALS

Mr. Alioto has tried and won more or as many antitrust cases as any attorney in the country. A sampling of his trials, which last anywhere from three weeks to seven months, include the following:

Gary v. Shell (Oil)
Hallmark v. Reynolds (Alumina)
Treasure Valley Potato Growers v. Ore-Ida (Potatoes)
Fulhurst v. Maytag (electronic ticketing)

Chisholm v. International Harvester (farm equipment)
Kohn v. Maricopa Rendering (rendering)
De Voto v. Bankers Mortgage (mortgage banking)
Janich v. American Distilling (distilled spirits)
Bray v. Safeway Stores (cattle/beef)
Cointronics v. Burroughs (computer processing)
TwoDoor v. Overhead Door (electronic doors)
Kaplan v. Burroughs (computer processing)
Aloha Airlines v. Hawaiian Airlines (passenger air transportation)
Bubble-Up v. Coca-Cola (soft drinks)
Selton v. PT&T (yellow page publishing)
Dimmitt v. CPC International (corn wet milling)
Broadway v. UPS (delivery/consolidation service)
McDonald v. Johnson & Johnson (TENS/pacemakers)
Rickards v. CERF (veterinarians)
Adams Construction (construction)
Ringsby v. Consolidated Freightways (long haul trucking)
Lightwave v. Corning (optic fiber)
Metropolitan News v. Daily Journal (newspaper publishing)
Las Vegas Sun v. Summa Corp. (advertising/publishing)
Syufy v. American Theaters (motion picture production and exhibition)
Forro v. IBM (computer/peripheral manufacturers)
Amec v. Johnson & Johnson (electronic medical equipment)
Filco v. Amana (consumer electronic sales)
United States v. Rice Growers (rice)
Go-Video v. Matsushita (VCRs)
Blue Cross v. HCA (hospitals/insurance)
Southern Publishing v. Leshner Publishing (newspapers)
Rao v. S/T Hospitals (physicians)
Los Angeles Raiders v. NFL (professional football)
Baldareli v. H&R Block (tax preparation)
Latian v. Banco do Brasil (foreign finance)
Anderson v. Deloitte, Touche & Ross (accounting)
Montreal Trading v. MIC (international potash trading)
King v. National Benevolent Association (real estate)
Reilly v. Hearst Newspaper Corporation (newspapers)
Raiders v. National Football League (football)
IREF v. Arthur Andersen (accounting)

TRIALS OF SPECIAL NOTE

Mr. Alioto has twice set the record for the largest judgments in the history of the Antitrust Laws:

- *Bray v. Safeway*, largest judgment in the history of the antitrust laws up to that date (1974, \$32 million).
- *McDonald v. Johnson & Johnson*, largest judgment for individuals in the history of the antitrust laws up to that date (1981, \$170 million).

LECTURES ON ANTITRUST

Approximately 10-20 at American Bar Association, State Bar Associations, Law Schools, Symposia, etc. Topics have varied from practical instruction (*e.g.* “How To Try An International Antitrust Case”) to philosophical underpinning of the antitrust laws (*e.g.* “Adam Smith, Antitrust, and the United States.”)

CONGRESSIONAL TESTIMONY

- United States Senate, Judiciary Committee; United States Congress, Judiciary, Maritime Committees; California Senate
- United States Senate Judiciary Committee hearing regarding “Consolidation in the Oil and Gas Industry: Raising Prices?”

TELEVISED DEBATES WITH REAGAN/BUSH ADMINISTRATION DEPARTMENT OF JUSTICE CHIEFS

- William Baxter, Assistant Attorney General, Antitrust Division; CNN
- Charles Rule, Assistant Attorney General, Antitrust Division; FNN

ANTITRUST CASES REPORTED

The following is a list of Mr. Alioto’s cases that have been published in official reporters, and it therefore represents only a small fraction of his historical case file.

1. *Texaco Inc. v. Dagher*, Nos. 04-805 & 04-814, SUPREME COURT OF THE UNITED STATES, 547 U.S. 1 (2006).
2. *AD/SAT v. AP*, Docket No. 96-7304, UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT, 181 F.3d 216 (1999)
3. *Alpha Lyracom Space Communs. v. COMSAT Corp.*, Docket No. 96-9283, UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT, 113 F.3d 372 (1997).
4. *Am. Channel, LLC v. Time Warner Cable, Inc.* , Civil No. 06-2175 (DWF/SRN) , UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MINNESOTA , 2007 U.S. Dist. LEXIS 3484 (2007).
5. *Assigned Container Ship Claims, Inc. v. American President Lines, Ltd.*, No. 85-1978, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 784 F.2d 1420 (1986).
6. *AT&T Corp. v. JMC Telecom, LLC*, No. 05-1304 , UNITED STATES COURT OF APPEALS FOR THE THIRD CIRCUIT , 470 F.3d 525 (2006).
7. *Azizian v. Federated Dep't Stores, Inc.*, No. C 03-3359 SBA , UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA (2006).
8. *Betz v. Trainer Wortham & Co.*, No. 05-15704, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT (2007).
9. *Black v. Acme Markets, Inc.*, No. 76-3040, UNITED STATES COURT OF APPEALS FOR THE FIFTH CIRCUIT, 564 F.2d 681 (1977).
10. *Cinema Service Corp. v. Twentieth Century-Fox Film Corp.*, Civ. A. No. 77-265 B, UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF PENNSYLVANIA, 477 F. Supp. 174 (1979).
11. *CSY Liquidating Corp. v. Harris Trust & Sav. Bank*, No. 96 C 1216, UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS, EASTERN DIVISION (1998).

12. *Dollar Rent A Car Systems, Inc. v. Hertz Corp.*, No. C-75-2650-CBR, UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA, 434 F. Supp. 513 (1977).
13. *EEOC v. Local Union No. 38*, Civil Action No. C 73-0645 SC., United States District Court for the Northern District of California. (1981).
14. *Enron Corp. Secs. v. Enron Corp.*, MDL-1446, CIVIL ACTION NO. H-01-3624, CONSOLIDATED CASES , UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS, HOUSTON DIVISION , 439 F. Supp. 2d 692 (2006).
15. *Feinstein v. Nettleship Co. of Los Angeles*, Nos. 77-3998, 82-5698, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 714 F.2d 928 (1983).
16. *Gibson v. Greater Park City Co.*, Civil No. C-81-0823W., United States District Court for the District of Utah, Central Division. (1984).
17. *Golden State Transit Corp. v. Los Angeles*, CA No. 83-5903, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 726 F.2d 1430 (1984).
18. *Go-Video v. Motion Picture Ass'n of Am.* (In re Dual-Deck Video Cassette Recorder Antitrust Litig.), No. 92-15967, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 10 F.3d 693 (1993).
19. *Go-Video, Inc. v. Akai Electric Co.*, No. 88-2900, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 885 F.2d 1406 (1989).
20. *High Tech. Careers v. San Jose Mercury News*, CIVIL NO. 90-20579 SW, UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA (1994).
21. *Hilo v. BP Exploration & Oil*, No. 95-56545, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT (1997).
22. *Hilo v. Exxon Corp.*, No. 92-56496, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 997 F.2d 641 (1993).

23. *Horizons International, Inc. v. Baldrige*, Nos. 86-1135, 86-1144, UNITED STATES COURT OF APPEALS FOR THE THIRD CIRCUIT, 811 F.2d 154 (1987).
24. *In re Airport Car Rental Antitrust Litigation*, No. 81-4399, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 693 F.2d 84 (1982).
25. *In re Beef Industry Antitrust Litigation*, MDL No. 248, UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF TEXAS, DALLAS DIVISION, 542 F. Supp. 1122 (1982).
26. *In re Municipal Bond Reporting Antitrust Litigation*, No. 80-2012, UNITED STATES COURT OF APPEALS, FIFTH CIRCUIT, 672 F.2d 433 (1982).
27. *In re Tableware Antitrust Litig.*, No C-04-3514 VRW , UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA (2007).
28. *J.T. Gibbons, Inc. v. Crawford Fitting Co.*, Civ. A. No. 79-1127, UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF LOUISIANA, 565 F. Supp. 167 (1981).
29. *Jacobs v. G. Heileman Brewing Co.*, Civil Action No. 82-736, UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE, 551 F. Supp. 639 (1982).
30. *Johnston v. IVAC Corp.*, No. 88-1639, UNITED STATES COURT OF APPEALS FOR THE FEDERAL CIRCUIT, 885 F.2d 1574 (1989).
31. *Kalmanovitz v. G. Heileman Brewing Co.*, No. 84-5682, UNITED STATES COURT OF APPEALS FOR THE THIRD CIRCUIT, 769 F.2d 152 (1985).
32. *Lec Tec Corp. v. Johnson & Johnson*, Civil No. 3-81-644; Civil No. 4-79-189., United States District Court for the District of Minnesota, Fourth Division (1982).
33. *Los Angeles Memorial Coliseum Comm'n v. National Football League*, Nos. 83-5907, 83-5908, 83-5909, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 791 F.2d 1356 (1986).
34. *McDonald v. Johnson & Johnson*, Civ. No. 4-79-189, UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MINNESOTA, FOURTH DIVISION, 537 F. Supp. 1282 (1982)

35. *Montreal Trading, Ltd. v. Amax, Inc.*, No. 79-1999, UNITED STATES COURT OF APPEALS, TENTH CIRCUIT, 661 F.2d 864 (1981).
36. *National Ass'n of Review Appraisers & Mortgage Underwriters v. Appraisal Found.*, No. 94-2689, No. 94-3074, UNITED STATES COURT OF APPEALS FOR THE EIGHTH CIRCUIT, 64 F.3d 1130 (1995).
37. *Newby v. Enron Corp. (In re Enron Corp. Secs., Derivative & ERISA Litig.)*, MDL-1446, CIVIL ACTION NO. H-01-3624 CONSOLIDATED CASES , UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS, HOUSTON DIVISION (2007).
38. *Omni Resource Dev. Corp. v. Conoco, Inc.*, No. 82-4615, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 739 F.2d 1412 (1984).
39. *Ostrofe v. H. S. Crocker Co.*, No. 77-3985, UNITED STATES COURT OF APPEALS, NINTH CIRCUIT, 670 F.2d 1378 (1982).
40. *Overhead Door Corp. v. Nordpal Corp.*, No. 4-75-Civ. 523., United States District Court for the District of Minnesota, Fourth Division. (1978).
41. *Pabst Brewing Co. v. Kalmanovitz*, Civil Action No. 82-711, UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE, 551 F. Supp. 882 (1982).
42. *Paramount Film Distributing Corp. v. Civic Center Theatre, Inc.*, Nos. 7565, 7566, 7573, 7585, UNITED STATES COURT OF APPEALS TENTH CIRCUIT, 333 F.2d 358 (1964).
43. *Phototron Corp. v. Eastman Kodak Co.*, No. 88-1128, UNITED STATES COURT OF APPEALS FOR THE FIFTH CIRCUIT, 842 F.2d 95 (1988).
44. *Reazin v. Blue Cross & Blue Shield, Inc.*, No. 85-6027-K, UNITED STATES DISTRICT COURT FOR THE DISTRICT OF KANSAS, 663 F. Supp. 1360 (1987).
45. *Reilly v. Medianews Group, Inc.*, No. C 06-04332 SI , UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA (2007).

46. *Spanish International Communications Corp., SIN, Inc. v. Leibowitz*, Case No. 84-0655-Civ-Aronovitz, UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF FLORIDA, 608 F. Supp. 178 (1985).
47. *Stratmore v. Goodbody*, No. 88-5130, UNITED STATES COURT OF APPEALS FOR THE SIXTH CIRCUIT, 866 F.2d 189; 1989 U.S. App. LEXIS 492 (1989).
48. *Syufy Enterprises v. National General Theatres, Inc.*, No. 76-2003, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 575 F.2d 233 (1978).
49. *Thornhill Pub. Co. v. General Tel. & Electronics Corp.*, No. 76-3428, UNITED STATES COURT OF APPEALS, NINTH CIRCUIT, 594 F.2d 730 (1979).
50. *Transeuro Amertrans Worldwide Moving & Relocations, Ltd. v. Conoco, Inc.*, No. 02-5174 , UNITED STATES COURT OF APPEALS FOR THE TENTH CIRCUIT , 95 Fed. Appx. 288 (2004).
51. *Traweek v. San Francisco*, No. C 83-5640 TEH, UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA, 659 F. Supp. 1012 (1985).
52. *Turner v. Johnson & Johnson*, Civil Action No. 79-2259-MC, UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MASSACHUSETTS, 549 F. Supp. 807 (1982).
53. *Turner v. Johnson & Johnson*, Nos. 86-1211, 86-1212, UNITED STATES COURT OF APPEALS FOR THE FIRST CIRCUIT, 809 F.2d 90 (1986).
54. *Unioil, Inc. v. E.F. Hutton & Co.*, No. 85-6024, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 809 F.2d 548 (1986).
55. *United Ass'n Local 38 Pension Trust Fund v. Aetna Cas. & Sur. Co.*, No. 84-2667, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 790 F.2d 1428 (1986).
56. *United States v. G. Heileman Brewing Co.*, Civil Action No. 82-750, UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE, 563 F. Supp. 642 (1983).

57. *Unocal Corp. v. Kaabipour*, No. 97-56324, No. 98-56216, No. 98-56631, No. 98-56365, UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT, 177 F.3d 755 (1999).
58. *Wang & Wang, LLP v. Banco Do Brasil, S.A.*, No. Civ. S-06-00761 DFL KJM , UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF CALIFORNIA (2007).
59. *Zinser v. Continental Grain Co.*, Nos. 79-2296, 79-2310, UNITED STATES COURT OF APPEALS, TENTH CIRCUIT, 660 F.2d 754 (1981).

Theresa D. Moore

Ms. Moore specializes in trial practice and mediation. Her substantive legal focus includes antitrust, unfair competition, business, consumer and complex class actions. Ms. Moore has been representing antitrust plaintiffs with the Alioto Law Firm since 1981. She has been lead counsel in over 100 trials, including civil and criminal trials as a Deputy District Attorney in San Francisco.

Ms. Moore is a Judge Pro Tem for the State of California in San Francisco Superior Court. She is a distinguished Professor Adjunct in Trial Advocacy and Evidence at the University of California, Hastings College of Law. She has served as keynote speaker and co-chair for the National College of Advocacy.

Ms. Moore is also a California Certified Mediator and Special Master. She has successfully mediated approximately 150 cases for private and government organizations, including the Federal Equal Employment Opportunity Commission, Multi-Option ADR Project, State of California First District Court of Appeal, Conflicts Resolution Center, San Francisco Superior Court, and the San Mateo County Courts.

Ms. Moore received her Juris Doctor from the University of California, Hastings College of the Law in 1981. She received her Bachelor of Arts from the University of Santa Clara in 1978.

Ms. Moore is admitted to practice in the State of California, as well as the United States District Courts for the Northern, Eastern and Central Districts of California.

A representative sample of Ms. Moore's class action and antitrust cases includes:

AD/SAT v. Associated Press, et al.-- antitrust litigation alleging illegal pricing
U.S.D.C. S.D.N.Y.; Case No. 94-civ-6655 (PKL)

In Re: Airline Ticket Commission Antitrust Litigation- antitrust class action alleging that the airlines conspired to fix travel agents' commission rates
U.S.D.C. Minn Fourth Division; MDL Docket No. 1058 Master File No. 4-95-107 (all actions combined)

AD/SAT v. McClatchy Newspapers, Inc.-- antitrust litigation alleging and illegal pricing conspiracy U.S.D.C. for the Eastern District of California; Case No. CIV-S-95-1387 (DFL) (PAN)

Neve Brothers, et al. v. Potash Corporation of Saskatchewan, et al.-- antitrust class action of indirect purchasers of potash.
Sup. Ct. CA S.F. Case No. 959767; Ct. App. CA, 1st Dist..

Notz et al v Ticketmaster-Southern Californai, Inc. et al. --antitrust consumer class action alleging a territorial allocation in violation of the Cartwright Act
Sup. Ct. CA S.F. Case No. 943-327

Cosmetics Antitrust Litigation--antitrust class action alleging that manufacturers of prestige cosmetics and retail department stores conspired to prevent discounting of cosmetics Sup. Ct CA Marin J.C.C.P. 4056; USDC N.D.CA No. 3:03 cv-03359 SBA

Automobile Antitrust Litigation I, II JCCP 4298 and 4303—antitrust statewide class action by indirect purchasers alleging a price fixing conspiracy. Sup.Ct. CA S.F; J.C.C.P. Nos. 004298, 004303;U.S.D.C. for the District of Maine; MDL 03-md-1532

Clayworth, et al. v. Pfizer, Inc., et al.--antitrust action by indirect purchaser retail pharmacists alleging a price fixing conspiracy. against major drug manufacturers
Sup. Ct. CA Alameda Case No. 04172428

In Re Nasdaq Market Makers Antitrust Litigation --antitrust nationwide class action alleging a price fixing conspiracy MDL 1023 94 Civ. 3996 (RWS)

Breaux v Agency Rent a Car; USDC N.D. CA--class action of employees of rental car company

In Re Tableware Litigation; antitrust action alleging illegal pricing and boycott U.S.D.C. Case No. C-04-3514 VRW

Angelina Alioto-Grace

Mrs. Alioto-Grace is a general commercial litigator with a focus in antitrust, complex business tort, class action, intellectual property, unfair competition, and racial discrimination. Her experience includes both trial and appellate advocacy in the federal and state courts. Mrs. Alioto-Grace's responsibilities include all aspects of trial preparation from opening statement through argument. Additional pre-trial responsibilities include initiating pleadings, all phases of discovery, client retention and management, and settlement negotiation.

Mrs. Alioto-Grace is admitted to practice in the State of California, the State of New York, the Commonwealth of Massachusetts, the United States Supreme Court, the Ninth Circuit Court of Appeals, the United States District Court for the Northern District of California and the United States District Courts for the Southern and Eastern Districts of New York.

Mrs. Alioto-Grace is a former associate of Akin, Gump, Strauss, Hauer & Feld L.L.P. in New York, New York. She received her Juris Doctorate in 1995 from the Georgetown University Law Center. After law school, Mrs. Alioto-Grace clerked for the Office of the Counsel to the President of the United States.

Thomas P. Pier

Mr. Pier practices antitrust litigation with the Alioto Law Firm. He is admitted to practice in the State of California, as well as the United States District Court for the Northern District of California. Since joining the Alioto Law Firm in 2005, Mr. Pier has been involved in antitrust litigation in the fields of transportation, communications, pharmaceuticals, and building controls. Mr. Pier's primary responsibilities are in the coordination of discovery matters.

Mr. Pier received a Juris Doctorate from the University of San Francisco in 2004. Mr. Pier also received a Master of Arts in Journalism from the University of Texas, Austin in 2003, and a Bachelor of Arts in Public Policy from Brown University in 1995.

EXHIBIT 2

EXHIBIT 1

IN RE CATHORADE RAY TUBE (CRT) ANTITRUST LITIGATION; MDL NO. 1917

TIME AND LODESTAR SUMMARY

INDIRECT PURCHASER PLAINTIFFS

| | | | |
|----------------|----------------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | 2007-2008 Discounted | | |

| Year | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | Hours | Lodestar |
|-------------|-----------|------|-----|-----|-----|-----|-----|-----|-----|-----|------|-----|-----|-------|--------------|
| 2007 | \$ 695.00 | 2.0 | 0.0 | 1.5 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2.5 | 3.5 | 0.0 | 0.0 | 9.5 | |
| | \$ 600.00 | | | | | | | | | | | | | | \$ 5,700.50 |
| 2008 | \$ 725.00 | 12.0 | 0.0 | 1.1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 5.0 | 5.7 | 0.0 | 0.0 | 23.8 | \$ 17,218.75 |
| 2009 | \$ 750.00 | 4.5 | 2.1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 7.5 | 7.4 | 1.3 | 0.0 | | \$ - |
| 2010 | \$ 750.00 | 4.3 | 1.2 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 4.5 | 12.3 | 0.0 | 0.0 | | \$ - |
| 2011 | \$ 750.00 | 2.8 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1.0 | 5.4 | 2.2 | 0.0 | | \$ - |
| 2012 | \$ 750.00 | 5.4 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2.6 | 3.0 | 12.2 | 2.6 | 0.0 | | \$ - |
| 2013 | \$ 775.00 | 5.1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2.5 | 2.5 | 8.7 | 1.0 | 0.0 | | \$ - |
| 2014 | \$ 785.00 | 5.6 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 4.9 | 7.3 | 4.3 | 0.0 | | |
| 2015 | \$ 795.00 | 2.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 3.0 | 0.0 | 9.1 | 4.0 | 0.0 | | \$ (0.00) |
| 07-08 Total | | 14.0 | 0.0 | 2.6 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 7.5 | 3.5 | 0.0 | 0.0 | 33.3 | \$ 22,919.25 |

STATUS:

(P) Partner
(OC) Of Counsel
(A) Associate
(LC) Law Clerk
(PL) Paralegal
(I) Investigator

CATEGORIES:

1 Attorney Meeting/Strategy
2 Court Appearance
3 Client Meeting
4 Draft Discovery Requests or Responses
5 Deposition Preparation
6 Attend Deposition - Conduct/Defend
7 Document Review
8 Experts - Work or Consult
9 Research
10 Motions/Pleadings
11 Settlement
12 Trial

TIME AND LODESTAR SUMMARY

INDIRECT PURCHASER PLAINTIFFS

| | | | |
|-----------------------|---------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | 2007 | | |

[illegible]

TIME AND LODESTAR SUMMARY

| | | | |
|-----------------------|---------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | 2008 | | |

[illegible]

TIME AND LODESTAR SUMMARY INDIRECT PURCHASER PLAINTIFFS

| | | | |
|-----------------------|---------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | 2009 | | |

[illegible]

TIME AND LODESTAR SUMMARY

| | | | |
|----------------|---------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | 2010 | | |

[illegible]

EXHIBIT 1

IN RE CATHORADE RAY TUBE (CRT) ANTITRUST LITIGATION; MDL NO. 1917

TIME AND LODESTAR SUMMARY

INDIRECT PURCHASER PLAINTIFFS

| | | | |
|-----------------------|---------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | 2011 | | |

[illegible]

TIME AND LODESTAR SUMMARY INDIRECT PURCHASER PLAINTIFFS

| | | | |
|-----------------------|---------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | 2012 | | |

[illegible]

EXHIBIT 1

IN RE CATHORADE RAY TUBE (CRT) ANTITRUST LITIGATION; MDL NO. 1917

TIME AND LODESTAR SUMMARY

INDIRECT PURCHASER PLAINTIFFS

CATEGORIES:

- 1 Attorney Meeting/Strategy
- 2 Court Appearance
- 3 Client Meeting
- 4 Discovery Requests or Responses
- 5 Deposition Preparation
- 6 Attend Deposition - Conduct/Defend
- 7 Document Review
- 8 Experts - Work or Consult
- 9 Research
- 10 Motions/Pleadings
- 11 Settlement
- 12 Trial

TIME AND LODESTAR SUMMARY

| | | | |
|----------------|---------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | 2013 | | |

[illegible]

TIME AND LODESTAR SUMMARY

| | | | |
|-----------------------|---------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | 2014 | | |

[illegible]

TIME AND LODESTAR SUMMARY

| | | | |
|-----------------------|---------------------|--|--|
| Firm Name | Therea Moore | | |
| Reporting Year | 2015 | | |

[illegible]

EXHIBIT 1

IN RE CATHORADE RAY TUBE (CRT) ANTITRUST LITIGATION; MDL NO. 1917

TIME AND LODESTAR SUMMARY

INDIRECT PURCHASER PLAINTIFFS

| | | | |
|----------------|---------------------------|--|--|
| Firm Name | Theresa Moore | | |
| Reporting Year | Inception through Present | | |

| Year | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | Hours | Lodestar |
|------|-----------|------|-----|-----|-----|-----|-----|-----|-----|------|-------|------|-----|-------|---------------|
| 2007 | \$ 695.00 | 2.0 | 0.0 | 1.5 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2.5 | 3.5 | 0.0 | 0.0 | 9.5 | \$ 6,602.50 |
| 2008 | \$ 725.00 | 17.5 | 2.0 | 1.1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2.0 | 56.1 | 0.0 | 0.0 | 78.7 | \$ 57,057.50 |
| 2009 | \$ 750.00 | 4.5 | 2.1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 7.5 | 7.4 | 1.3 | 0.0 | 22.8 | \$ 17,062.50 |
| 2010 | \$ 750.00 | 4.3 | 1.2 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 4.5 | 12.3 | 0.0 | 0.0 | 22.3 | \$ 16,687.50 |
| 2011 | \$ 750.00 | 2.8 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 1.0 | 5.4 | 2.2 | 0.0 | 11.4 | \$ 8,550.00 |
| 2012 | \$ 750.00 | 5.4 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2.6 | 3.0 | 12.2 | 2.6 | 0.0 | 25.8 | \$ 19,312.50 |
| 2013 | \$ 775.00 | 5.1 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 2.5 | 2.5 | 8.7 | 1.0 | 0.0 | 19.8 | \$ 15,345.00 |
| 2014 | \$ 785.00 | 5.6 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 4.9 | 7.3 | 4.3 | 0.0 | 22.1 | \$ 17,348.50 |
| 2015 | \$ 795.00 | 2.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 3.0 | 0.0 | 9.1 | 4.0 | 0.0 | 18.1 | \$ 14,397.45 |
| | | 49.2 | 5.3 | 2.6 | 0.0 | 0.0 | 0.0 | 0.0 | 8.1 | 27.9 | 122.0 | 15.4 | 0.0 | 230.4 | \$ 172,363.45 |

STATUS:

(P) Partner
 (OC) Of Counsel
 (A) Associate
 (LC) Law Clerk
 (PL) Paralegal
 (I) Investigator

CATEGORIES:

1 Attorney Meeting/Strategy
 2 Court Appearance
 3 Client Meeting
 4 Draft Discovery Requests or Responses
 5 Deposition Preparation
 6 Attend Deposition - Conduct/Defend
 7 Document Review
 8 Experts - Work or Consult
 9 Research
 10 Motions/Pleadings
 11 Settlement
 12 Trial

EXHIBIT E

UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA

If You Bought A Cathode Ray Tube Product, A Class Action Settlement May Affect You.

Cathode Ray Tube (CRT) Products include Cathode Ray Tubes and finished products that contain a Cathode Ray Tube such as Televisions and Computer Monitors

A Federal Court authorized this Notice. This is not a solicitation from a lawyer.

- There is a lawsuit pending involving CRT Products purchased indirectly from the Defendants set out below. “Indirect” means that you did not buy the CRT Product directly from any Defendant. The lawsuit, which is brought as a Class Action, seeks: (a) nationwide injunctive relief to prohibit the Defendants’ (listed below) behavior that is the subject of the lawsuit and (b) money for indirect purchasers in 24 states.
- The states receiving money include: Arizona, California, Florida, Hawaii, Illinois, Iowa, Kansas, Maine, Michigan, Minnesota, Mississippi, Nebraska, Nevada, New Mexico, New York, North Carolina, North Dakota, Oregon, South Dakota, Tennessee, Vermont, West Virginia, Wisconsin, and the District of Columbia.
- A Settlement has been reached with Chunghwa Picture Tubes Ltd. (called the “Settling Defendant”). The litigation is continuing against the remaining Non-Settling Defendants.
- Your legal rights are affected whether you act or don’t act. This Notice includes information on the Settlement and the continuing lawsuit. Please read the entire Notice carefully.

| These rights and options—and the deadlines to exercise them—are explained in this notice. | |
|--|-----------------|
| You can object or comment on the Settlement | see Question 11 |
| You may also exclude yourself from the Settlement | see Question 11 |
| You may go to a hearing and ask the Court to speak about the Settlement yourself | see Question 15 |

- The Court in charge of this case still has to decide whether to approve the Settlement. The litigation against the Non-Settling Defendants is moving towards trial.

For More Information: Call 1-800-673-4790 or Visit www.CRTsettlement.com

WHAT THIS NOTICE CONTAINS

| | |
|--|----------------------|
| BASIC INFORMATION | PAGES 3, 4 |
| 1. Why is there a notice? | |
| 2. Who are the Defendant companies? | |
| 3. What is this lawsuit about? | |
| 4. Why is there a Settlement but the litigation is continuing? | |
| 5. What is a Cathode Ray Tube (CRT) Product? | |
| 6. What is a class action? | |
| THE SETTLEMENT CLASS | PAGES 4, 5, 6 |
| 7. How do I know if I'm one of the Settlers? | |
| 8. Why does the Settlement exclude claims under the Washington Unfair Business Practices and Consumer Protection Act? | |
| 9. What does the Settlement provide? | |
| 10. When can I get a payment? | |
| 11. What are my rights in the Settlement? | |
| 12. What am I giving up to stay in the Settlement? | |
| THE SETTLEMENT APPROVAL HEARING..... | PAGE 6 |
| 13. When and where will the Court decide whether to approve the Settlement? | |
| 14. Do I have to come to the hearing? | |
| 15. May I speak at the hearing? | |
| THE LAWYERS REPRESENTING YOU | PAGES 7 |
| 16. Do I have a lawyer in the case? | |
| 17. How will the lawyers be paid? | |
| PURCHASE RECORDS | PAGE 7 |
| 18. What steps should I take to demonstrate evidence of purchase/ownership of a CRT Product? | |
| GETTING MORE INFORMATION | PAGE 7 |
| 19. How do I get more information? | |

BASIC INFORMATION

1. Why is there a notice?

You have the right to know about the litigation and about your legal rights and options before the Court decides whether to approve the Settlement.

The Court in charge of the case is the United States District Court for the Northern District of California, and the case is called *In re Cathode Ray Tube (CRT) Antitrust Litigation*, MDL No. 1917. The people who sued are called Plaintiffs and the companies they sued are called Defendants.

2. Who are the Defendant companies?

The Defendant companies include: LG Electronics, Inc., LG Electronics U.S.A., Inc., LG Electronics Taiwan Taipei Co., Ltd, Koninklijke Philips Electronics N.V., Philips Electronics North America Corporation, Philips Electronics Industries (Taiwan), Ltd., Philips da Amazonia Industria Electronica Ltda., LP Displays International, Ltd. f/k/a LG.Philips Displays, Samsung Electronics Co., Ltd., Samsung Electronics America, Inc., Samsung SDI Co. Ltd., Samsung SDI America, Inc., Samsung SDI Mexico S.A. de C.V., Samsung SDI Brasil Ltda., Shenzhen Samsung SDI Co. Ltd., Tianjin Samsung SDI Co. Ltd., Samsung SDI Malaysia Sdn. Bhd., Toshiba Corporation, Toshiba America, Inc., Toshiba America Consumer Products, LLC., Toshiba America Information Systems, Inc., Toshiba America Electronics Components, Inc., Panasonic Corporation f/k/a Matsushita Electric Industrial, Ltd., Panasonic Corporation of North America, MT Picture Display Co., Ltd., Beijing-Matsushita Color CRT Company, Ltd. (BMCC), Hitachi, Ltd., Hitachi Displays, Ltd., Hitachi Electronic Devices (USA), Inc., Hitachi America, Ltd., Hitachi Asia, Ltd., Tatung Company of America, Inc., Chunghwa Picture Tubes Ltd., Chunghwa Picture Tubes (Malaysia) Sdn. Bhd., IRICO Group Corporation, IRICO Display Devices Co., Ltd., IRICO Group Electronics Co., Ltd., Thai CRT Company, Ltd., and Samtel Color, Ltd.

3. What is this lawsuit about?

The lawsuit claims that the Defendants conspired to fix, raise, maintain or stabilize prices of CRT Products resulting in overcharges to consumers who bought CRT Products such as Televisions and Computer Monitors. The Defendants deny that they did anything wrong. The Court has not decided who is right.

4. Why is there a Settlement but the litigation is continuing?

Only one of the Defendants has agreed to settle the lawsuit. The case is continuing against the remaining Non-Settling Defendants. Additional money may become available in the future as a result of a trial or future settlements, but there is no guarantee that this will happen.

5. What is a Cathode Ray Tube (CRT) Product?

For the purposes of the Settlement, Cathode Ray Tube (CRT) Products means Cathode Ray Tubes of any type (e.g. color display tubes, color picture tubes and monochrome display tubes) and finished products which contain Cathode Ray Tubes, such as Televisions and Computer Monitors.

For More Information: Call 1-800-673-4790 or Visit www.CRTsettlement.com

6. What is a class action?

In a class action, one or more people, called class representatives, sue on behalf of people who have similar claims. All these people are a class or class members, except for those who exclude themselves from the class.

If the Plaintiffs obtain additional money or benefits as a result of a trial or future settlement(s), you will be notified about how to ask for a share or what your other options are at that time. These things are not known right now. Important information about the case will be posted on the website, www.CRTsettlement.com, as it becomes available. Please check the website to be kept informed about any future developments.

THE SETTLEMENT CLASS

7. How do I know if I am one of the Settlers?

The Settlement Class (“Settlers”) includes any person or business that indirectly bought in the U.S. (excluding claims under the Washington Unfair Business Practices and Consumer Protection Act) from March 1, 1995 through November 25, 2007, any CRT Product made by the Defendants. Both consumers and resellers are included in the Settlement Class. Also excluded are governmental entities.

8. Why does the Settlement exclude claims under the Washington Unfair Business Practices and Consumer Protection Act?

Based on a motion by the Washington Attorney General, the Special Master in this case has determined that the settlement may not release claims under the Washington Unfair Business Practices and Consumer Protection Act because only the Washington Attorney General may release those claims.

9. What does the Settlement provide?

The Settlement provides for the payment by Settling Defendant of \$10,000,000 in cash, plus interest, to the Settlers. It also provides that the Settling Defendant will furnish information about the case, including other Defendants’ involvement in the alleged conspiracy, to Class Counsel. Finally, it provides that part of the \$10 million settlement fund may be used to pay expenses incurred in the litigation.

More details are in the Settlement Agreement, available at www.CRTsettlement.com.

10. When can I get a payment?

No money will be distributed to Settlers yet. The lawyers will pursue the lawsuit against the Non-Settling Defendants to see if any future settlements or judgments can be obtained in the case and then be distributed together to reduce expenses. It is possible that money will be distributed to organizations who are, as nearly as practicable, representative of the interests of indirect purchasers of CRT Products instead of Settlers themselves if the cost to process claims would

For More Information: Call 1-800-673-4790 or Visit www.CRTsettlement.com

result in small payments to Settlers. Regardless of whether the money is distributed to organizations or the Settlers themselves, the money from the current settlement, will first be allocated amongst the 24 states listed on page 1 of this notice, so that each state receives its pro rata share. Each state's pro rata share shall be determined by computing its population as a percentage of the total population of all 24 states using census figures from the year 2000.

11. What are my rights in the Settlement?

Remain in the Settlement: If you wish to remain a participant in the Settlement you do not need to take any action at this time.

Get out of the Settlement: If you wish to keep your right to sue the Settling Defendant about the claims of the class in this case, you must exclude yourself. You will not be entitled to receive any money from this Settlement if you exclude yourself from the Settlement.

To exclude yourself from the Settlement, you must send a letter that includes the following:

- Your name, address and telephone number;
- A statement saying that you want to be excluded from *In re Cathode Ray Tube (CRT) Antitrust Litigation*, MDL No. 1917, Chunghwa Settlement; and
- Your signature.

You must mail your exclusion request, postmarked no later than **February 1, 2012**, to:

**CRT Indirect Exclusions
c/o The Notice Company Inc.
PO Box 778
Hingham, MA 02043**

Remain in the Settlement and Object: If you have comments about, or disagree with, any aspect of the Settlement, you may express your views to the Special Master by writing to the address below. The written response needs to include your name, address, telephone number, the case name and number (*In re Cathode Ray Tube (CRT) Antitrust Litigation*, MDL No. 1917), a brief explanation of your reasons for objection, and your signature. The response must be postmarked no later than **February 1, 2012** and mailed to:

| LOCATION | |
|--|--|
| Honorables Charles A. Legge (Ret.) JAMS Two Embarcadero, Suite 1500 San Francisco, CA 94111 | |
| INTERIM LEAD COUNSEL | DEFENSE COUNSEL |
| Mario N. Alioto, Esq. Trump, Alioto, Trump & Prescott LLP 2280 Union Street San Francisco, CA 94123 | Joel S. Sanders, Esq. Gibson Dunn & Crutcher LLP 555 Mission Street, Suite 3000 San Francisco, CA 94105 |

For More Information: Call 1-800-673-4790 or Visit www.CRTsettlement.com

12. What am I giving up to stay in the Settlement?

Unless you exclude yourself from the Settlement, you can't sue Settling Defendant, continue to sue, or be part of any other lawsuit against Settling Defendant about the legal issues in this case. It also means that all of the decisions by the Court will bind you. The "Release of Claims" includes any causes of actions asserted or that could have been asserted in the lawsuit, as described more fully in the Settlement Agreement. The Settlement Agreement is available at www.CRTsettlement.com.

THE SETTLEMENT APPROVAL HEARING

13. When and where will the Court decide whether to approve the Settlement?

The Special Master will hold a Fairness Hearing at 2:00 p.m. on **March 15, 2012**, at JAMS, Two Embarcadero, Suite 1500, San Francisco, CA 94111. The hearing may be moved to a different date or time without additional notice, so it is a good idea to check www.CRTsettlement.com. At this hearing the Special Master will consider whether the Settlement is fair, reasonable and adequate. The Special Master will also consider Interim Lead Class Counsel's request for payment of \$2.5 million from the Settlement Fund to be used for expenses incurred in this case. If there are objections or comments, the Special Master will consider them at that time. After the hearing, the Special Master will decide whether to approve the Settlement. We do not know how long these decisions will take.

14. Do I have to come to the hearing?

No. Interim Lead Class Counsel and the Attorneys General of Illinois and Oregon will answer any questions the Special Master may have. But you are welcome to come at your own expense. If you send an objection or comment, you don't have to come to the Fairness Hearing to talk about it. As long as you mailed your written objection on time, the Special Master will consider it. You may also pay another lawyer to attend, but it's not required.

15. May I speak at the hearing?

If you want your own lawyer instead of Interim Lead Class Counsel or the Attorneys General of Illinois and Oregon (if applicable) to speak at the Fairness Hearing, you must give the Special Master a paper that is called a "Notice of Appearance." The Notice of Appearance should include the name and number of the lawsuit (*In re Cathode Ray Tube (CRT) Antitrust Litigation*, MDL No. 1917), and state that you wish to enter an appearance at the Fairness Hearing. It also must include your name, address, telephone number, and signature. Your "Notice of Appearance" must be postmarked no later than **February 1, 2012**. You cannot speak at the Hearing if you previously asked to be excluded from the Settlement.

The Notice of Appearance must be filed with the Court, with copies sent to the Interim Lead Counsel and Defense Counsel at the addresses listed in Question 11.

For More Information: Call 1-800-673-4790 or Visit www.CRTsettlement.com

THE LAWYERS REPRESENTING YOU

16. Do I have a lawyer in this case?

The Court has appointed Mario N. Alioto of Trump, Alioto, Trump & Prescott LLP as “Interim Lead Class Counsel” to represent members of the Settlement Class. The Settlement Class is defined in Paragraph 1 of the Order Granting Preliminary Approval of Class Action Settlement with Defendant Chunghwa Picture Tubes, Ltd., which is available at www.CRTsettlement.com. If you are a resident of Illinois or Oregon, you are a member of the Settlement Class but are represented by the Attorney General of your state with respect to your claims under those states’ antitrust laws.

17. How will the lawyers be paid?

You do not have to pay Interim Lead Class Counsel. If you want to be represented by your own lawyers, and have that lawyer appear in court for you in this case, you may hire one at your own expense. At a future time, Interim Lead Class Counsel will ask the Court for attorneys’ fees not to exceed twenty five percent (25%) of the \$10,000,000 Settlement Fund plus reimbursement of their costs and expenses, in accordance with the provisions of the Settlement. Interim Lead Class Counsel may also request that an amount be paid to each of the Class Representatives who helped the lawyers on behalf of the whole Class.

PURCHASE RECORDS

18. What steps should I take to demonstrate evidence of purchase/ownership of a CRT Product?

If you have purchase receipts, invoices or any other proof of purchase of any CRT Product, please retain those documents. If you presently have a CRT Product, please keep it if at all possible. If you are unable to do so, please photograph the front and rear of the CRT Product including any brand name and record the model number, serial number, size and any other information which you believe will help identify the manufacturer of the CRT Product.

GETTING MORE INFORMATION

19. How do I get more information?

This Notice summarizes the lawsuit and the Settlement. You can get more information about the lawsuit and Settlements at www.CRTsettlement.com, by calling 1-800-673-4790, or writing to CRT Indirect Settlement, c/o The Notice Company Inc., PO Box 778, Hingham, MA 02043. Please do not contact JAMS or the Court about this case.

For More Information: Call 1-800-673-4790 or Visit www.CRTsettlement.com